

How to drive our families mad

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Abstract

Given a family \mathcal{F} of pairwise almost disjoint (ad) sets on a countable set S , we study maximal almost disjoint (mad) families $\tilde{\mathcal{F}}$ extending \mathcal{F} .

We define $\mathfrak{a}^+(\mathcal{F})$ to be the minimal possible cardinality of $\tilde{\mathcal{F}} \setminus \mathcal{F}$ for such $\tilde{\mathcal{F}}$ and $\mathfrak{a}^+(\kappa) = \max\{\mathfrak{a}^+(\mathcal{F}) : |\mathcal{F}| \leq \kappa\}$. We show that all infinite cardinals less than or equal to the continuum \mathfrak{c} can be represented as $\mathfrak{a}^+(\mathcal{F})$ for some ad \mathcal{F} (Theorem 4.6) and that the inequalities $\aleph_1 = \mathfrak{a} < \mathfrak{a}^+(\aleph_1) = \mathfrak{c}$ (Corollary 4.3) and $\mathfrak{a} = \mathfrak{a}^+(\aleph_1) < \mathfrak{c}$ (Theorem 4.4) are both consistent.

We also give several constructions of mad families with some additional properties.

1 Introduction

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Given a family \mathcal{F} of pairwise almost disjoint countable sets, we can ask what the maximal almost disjoint (mad) families extending \mathcal{F} look like. In this note and [5], we address some instances of this question and other related problems.

Let us begin with the definition of some notions and notation about almost disjointness we shall use here. Two countable sets A, B are said to be *almost disjoint* (*ad* for short) if $A \cap B$ is finite. A family \mathcal{F} of countable sets is said to be *pairwise almost disjoint* (*ad* for short) if any two distinct $A, B \in \mathcal{F}$ are ad.

If $\mathcal{X} \subseteq [S]^{\aleph_0}$ and $S = \bigcup \mathcal{X}$, $\mathcal{F} \subseteq \mathcal{X}$ is said to be *mad in \mathcal{X}* if \mathcal{F} is ad and there is no ad \mathcal{F}' such that $\mathcal{F} \subsetneq \mathcal{F}' \subseteq \mathcal{X}$. Thus an ad family \mathcal{F} is mad in \mathcal{X} if and only if there is no $X \in \mathcal{X}$ which is ad from every $Y \in \mathcal{F}$. If \mathcal{F} is mad in $[S]^{\aleph_0}$ for $S = \bigcup \mathcal{F}$, we say simply that \mathcal{F} is a mad family (on S). S as above is called the *underlying set* of \mathcal{F} .

Let

$$(1.1) \quad \mathfrak{a}(\mathcal{X}) = \min\{|\mathcal{F}| : |\mathcal{F}| \geq \aleph_0 \text{ and } \mathcal{F} \text{ is mad in } \mathcal{X}\}.$$

Clearly, the cardinal invariant \mathfrak{a} known as the almost disjoint number ([2]) can be characterized as:

Example 1.1 $\mathfrak{a} = \mathfrak{a}([S]^{\aleph_0})$ for any countable S .

In this paper we concentrate on the case where the underlying set $S = \bigcup \mathcal{X}$ (or $S = \bigcup \mathcal{F}$) is countable. In [5] and the forthcoming continuation of this paper, we will deal with the cases where S may be also uncountable.

As the countable $S = \bigcup \mathcal{X}$, we often use ω or $T = {}^{\omega}2$ where T is considered as a tree growing downwards. That is, for $b, b' \in T$, we write $b' \leq_T b$ if $b \subseteq b'$. Each $f \in {}^{\omega}2$ induces the (maximal) branch

$$(1.2) \quad B(f) = \{f \upharpoonright n : n \in \omega\} \subseteq T$$

in T .

In Section 2, we consider several cardinal invariants of the form $\mathfrak{a}(\mathcal{X})$ for some $\mathcal{X} \subseteq [T]^{\aleph_0}$.

For $\mathcal{X} \subseteq [S]^{\aleph_0}$ with $S = \bigcup \mathcal{X}$, let

$$(1.3) \quad \mathcal{X}^\perp = \{Y \in [S]^{\aleph_0} : \forall X \in \mathcal{X} \mid |X \cap Y| < \aleph_0\}.$$

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If $Y \in \mathcal{X}^\perp$ we shall say that Y is *almost disjoint* (ad) to \mathcal{X} .

For an ad family \mathcal{F} , let

$$(1.4) \quad \mathfrak{a}^+(\mathcal{F}) = \mathfrak{a}(\mathcal{F}^\perp).$$

For a cardinal κ , let

$$(1.5) \quad \mathfrak{a}^+(\kappa) = \sup\{\mathfrak{a}^+(\mathcal{F}) : \mathcal{F} \text{ is an ad family on } \omega \text{ of cardinality } \leq \kappa\}.$$

Clearly, $\mathfrak{a}^+(\omega) = \mathfrak{a}$ and $\mathfrak{a}^+(\kappa) \leq \mathfrak{a}^+(\lambda) \leq \mathfrak{c}$ for any $\kappa \leq \lambda \leq \mathfrak{c}$. In Section 3 we give several constructions of ad families \mathcal{F} for which \mathcal{F}^\perp has some particular property. Using these constructions, we show in Section 4 that $\mathfrak{a}^+(\mathfrak{c}) = \mathfrak{c}$ (actually we have $\mathfrak{a}^+(\bar{\mathfrak{a}}) = \mathfrak{c}$, see Theorem 4.1) and the consistency of the inequalities $\mathfrak{a} = \aleph_1 < \mathfrak{a}^+(\aleph_1) = \mathfrak{c}$ (see Corollary 4.3). We also show the consistency of $\mathfrak{a}^+(\aleph_1) < \mathfrak{c}$ (Theorem 4.4).

For notions in the theory of forcing, the reader may consult [7] or [8]. We mostly follow the notation and conventions set in [7] and/or [8]. In particular, elements of posets \mathbb{P} are considered in such a way that stronger conditions are smaller. We assume that \mathbb{P} -names are constructed just as in [8] for a poset \mathbb{P} but we use alphabets with a tilde below them like \tilde{a} , \tilde{b} etc. to denote the \mathbb{P} -names corresponding to the sets a , b etc. in the generic extension. V denotes the ground model (in which we live). The canonical \mathbb{P} -names of elements a , b etc. of V are denoted by the same symbols with hat like \hat{a} , \hat{b} etc. For a poset \mathbb{P} (in V) we use $V^\mathbb{P}$ to denote a “generic” generic extension $V[G]$ of V by some (V, \mathbb{P}) -generic filter G . Thus $V^\mathbb{P} \models \dots$ is synonymous to $\Vdash_{\mathbb{P}} \dots$ or $V \models \Vdash_{\mathbb{P}} \dots$ and a phrase like: “Let $W = V^\mathbb{P}$ ” is to be interpreted as saying: “Let W be a generic extension of V by some/any (V, \mathbb{P}) -generic filter”.

For the notation connected to the set theory of reals see [1] and [2]. By \mathfrak{c} we denote the size of the continuum 2^{\aleph_0} . \mathcal{M} and \mathcal{N} are the ideals of meager sets and null sets (e.g. over the Cantor space ${}^\omega 2$ or the Baire space ${}^\omega \omega$) respectively. For $I = \mathcal{M}, \mathcal{N}$ etc., $\text{cov}(I)$ and $\text{non}(I)$ are *covering number* and *uniformity* of I .

For an infinite cardinal κ let $\mathcal{C}_\kappa = \text{Fn}(\kappa, 2)$ or, more generally $\mathcal{C}_X = \text{Fn}(X, 2)$ for any set X . \mathcal{C}_κ is the Cohen forcing for adding κ many Cohen reals. \mathcal{R}_κ denotes the random forcing for adding κ many random reals. \mathcal{R}_κ is the poset consisting of Borel sets of positive measure in ${}^\kappa 2$, which corresponds to the homogeneous measure algebra of Maharam type κ .

For a poset $\mathbb{P} = \langle \mathbb{P}, \leq_{\mathbb{P}} \rangle$, $X \subseteq \mathbb{P}$ and $p \in \mathbb{P}$, let

$$X \downarrow p = \{q \in X : q \leq_{\mathbb{P}} p\}.$$

2 Mad families and almost disjoint numbers

One of the advantages of using $T = {}^\omega 2$ as the countable underlying set is that we can define some natural subfamilies of $[T]^{\aleph_0}$ such as \mathcal{O}_T , \mathcal{A}_T , \mathcal{B}_T below.

For $X \subseteq T$, let

$$(2.1) \quad [X] = \{f \in {}^\omega 2 : B(f) \subseteq X\}, \text{ and}$$

$$(2.2) \quad \lceil X \rceil = \{f \in {}^\omega 2 : |B(f) \cap X| = \aleph_0\}.$$

Clearly, we have $[X] \subseteq \lceil X \rceil$. For $X \subseteq T$, let X^\uparrow be the upward closure of X , that is:

$$(2.3) \quad X^\uparrow = \{t \upharpoonright n : t \in X, n \leq \ell(t)\}.$$

Then we have $\lceil X \rceil \subseteq \lceil X^\uparrow \rceil$ for any $X \subseteq T$.

Definition 2.1 (Off-binary sets, [9]) *Let*

$$\mathcal{O}_T = \{X \in [T]^{\aleph_0} : \lceil X \rceil = \emptyset\}.$$

T. Leathrum [9] called elements of \mathcal{O}_T off-binary sets. Note that $\lceil X \rceil = \emptyset$ if and only if there is no branch in T with infinite intersection with X .

Definition 2.2 (Antichains) *Let*

$$\mathcal{A}_T = \{X \in [T]^{\aleph_0} : X \text{ is an antichain in } T\}.$$

Clearly, we have $\mathcal{A}_T \subseteq \mathcal{O}_T$.

Using the notation above, the cardinal invariants \mathfrak{o} and $\bar{\mathfrak{o}}$ introduced by Leathrum [9] can be characterized as:

$$(2.4) \quad \mathfrak{o} = \mathfrak{a}(\mathcal{O}_T),$$

$$(2.5) \quad \bar{\mathfrak{o}} = \mathfrak{a}(\mathcal{A}_T)$$

(see [9]). Leathrum also showed $\mathfrak{a} \leq \mathfrak{o} \leq \bar{\mathfrak{o}}$. J. Brendle [3] proved $\text{non}(\mathcal{M}) \leq \mathfrak{o}$.

Definition 2.3 (Sets without infinite antichains) *Let*

$$\mathcal{B}_T = \{X \in [T]^{\aleph_0} : X \text{ does not contain any infinite antichain}\}.$$

Note that $\mathcal{B}_T = \mathcal{A}_T^\perp$. Elements of \mathcal{B}_T are those infinite subsets of T which can be covered by finitely many branches:

Lemma 2.1 (K. Kunen) *Let $X \in [T]^{\aleph_0}$. Then $X \in \mathcal{B}_T$ if and only if X is covered by finitely many branches in T .*

Proof. If X is covered by finitely many branches in T then X clearly does not contain any infinite antichain since otherwise one of the finitely many branches would contain an infinite antichain.

Suppose now that X cannot be covered by finitely many branches. By induction on n , we choose $t_n \in 2^n$ such that $t_0 = \emptyset$, $t_{n+1} = t_n \hat{\ } i$ for some $i \in 2$ and

$$(2.6) \quad X_{n+1} = X \downarrow t_{n+1} \text{ can not be covered by finitely many branches.}$$

This is possible since $X_0 = X$ and $X_n \subseteq (X_n \downarrow (t_n \hat{\ } 0)) \cup (X_n \downarrow (t_n \hat{\ } 1)) \cup \{t_n\}$.

By (2.6), the branch $B = \{t_n : n < \omega\}$ does not cover X_n for each $n \in \omega$. So we can pick $s_n \in X_n \setminus B$. Let $S = \{s_n : n \in \omega\}$. S is an infinite subset of X since $\ell(s_n) \geq n$ for all $n \in \omega$. If C is a branch in T different from B then $t_n \notin C$ for some $n \in \omega$ and so $s_m \notin C$ for all $m \geq n$. Hence $S \cap C$ is finite. Moreover $S \cap B = \emptyset$. So we have $[S] = \emptyset$. Thus S should contain an infinite antichain by König's Lemma. \square

Theorem 2.2 (K. Kunen) $\mathfrak{a}(\mathcal{B}_T) = \mathfrak{c}$.

Proof. Suppose that $\mathcal{F} \subseteq \mathcal{B}_T$ is an ad family of cardinality $< \mathfrak{c}$. We show that \mathcal{F} is not mad. For each $X \in \mathcal{F}$ there is $b_X \in {}^{\omega}2^{< \aleph_0}$ such that $X \subseteq \bigcup_{f \in b_X} B(f)$ by Lemma 2.1. Since $\mathcal{S} = \bigcup \{b_X : X \in \mathcal{F}\}$ has cardinality $\leq |\mathcal{F}| \cdot \aleph_0 < \mathfrak{c}$, there is $f^* \in {}^{\omega}2 \setminus \mathcal{S}$. We have $B(f^*) \in \mathcal{B}_T$ and $B(f^*)$ is ad to \mathcal{F} . \square \square Let us say $X \subseteq T$ is *nowhere dense* if $[X]$ is nowhere dense in the Cantor space ${}^{\omega}2$. It can be easily shown that X is nowhere dense if and only if

$$(2.7) \quad \forall t \in T \exists t' \leq_T t \forall t'' \leq_T t' (t'' \notin X).$$

Note that, if $X \subseteq T$ is not nowhere dense, then X is dense below some $t \in T$ (in terms of forcing). Also note that from (2.7) it follows that the property of being nowhere dense is absolute.

Definition 2.4 (Nowhere dense sets) Let

$$\mathcal{ND}_T = \{X \in [T]^{\aleph_0} : X \text{ is nowhere dense}\}.$$

Note that, for $X \in [T]^{\aleph_0}$ with $X = \{t_n : n \in \omega\}$, we have

$$[X] = \bigcap_{n \in \omega} \bigcup_{m > n} [T \downarrow t_m].$$

In particular $[X]$ is a G_δ subset of ${}^{\omega}2$. Hence by Baire Category Theorem we have

$$\mathcal{ND}_T = \{X \in [T]^{\aleph_0} : [X] \text{ is a meager subset of } {}^{\omega}2\}.$$

Lemma 2.3 If $X \in [T]^{\aleph_0}$ then there is $X' \in [X]^{\aleph_0}$ such that $X' \in \mathcal{ND}_T$.

Proof. If $[X] = \emptyset$ then $X \in \mathcal{ND}_T$. Thus we can put $X' = X$. Otherwise let $f \in [X]$ and let $X' = X \cap B(f)$. \square \square

Theorem 2.4 $\text{cov}(\mathcal{M}), \mathfrak{a} \leq \mathfrak{a}(\mathcal{ND}_T)$.

Proof. For the inequality $\text{cov}(\mathcal{M}) \leq \mathfrak{a}(\mathcal{ND}_T)$, suppose that $\mathcal{F} \subseteq \mathcal{ND}_T$ is an ad family of cardinality $< \text{cov}(\mathcal{M})$. Then $\bigcup\{[X] : X \in \mathcal{F}\} \neq {}^\omega 2$. Let $f \in {}^\omega 2 \setminus \bigcup\{[X] : X \in \mathcal{F}\}$. Then $B(f) \in \mathcal{ND}_T$ and $B(f)$ is ad from all $X \in \mathcal{F}$.

To show $\mathfrak{a} \leq \mathfrak{a}(\mathcal{ND}_T)$ suppose that $\mathcal{F} \subseteq \mathcal{ND}_T$ is an ad family of cardinality $< \mathfrak{a}$. Then \mathcal{F} is not a mad family in $[T]^{\aleph_0}$. Hence there is some $X \in [T]^{\aleph_0}$ ad to \mathcal{F} . By Lemma 2.3, there is $X' \subseteq X$ such that $X' \in \mathcal{ND}_T$. Since X' is also ad to \mathcal{F} , it follows that \mathcal{F} is not mad in \mathcal{ND}_T . \square \square

Let σ be the measure on Borel sets of the Cantor space ${}^\omega 2$ defined as the product measure of the probability measure on 2. For $X \subseteq T$, let $\mu(X) = \sigma([X])$.

Definition 2.5 (Null sets) *Let*

$$\mathcal{N}_T = \{X \in [T]^{\aleph_0} : \mu(X) = 0\}.$$

Theorem 2.5 $\text{cov}(\mathcal{N}), \mathfrak{a} \leq \mathfrak{a}(\mathcal{N}_T)$.

Proof. Similarly to the proof of Theorem 2.4. \square \square

Definition 2.6 (Nowhere dense null sets) *Let*

$$\mathcal{NDN}_T = \mathcal{ND}_T \cap \mathcal{N}_T.$$

Lemma 2.6 $\mathfrak{a}(\mathcal{ND}_T) \leq \mathfrak{a}(\mathcal{NDN}_T)$ and $\mathfrak{a}(\mathcal{N}_T) \leq \mathfrak{a}(\mathcal{NDN}_T)$.

Proof. For the first inequality, suppose that \mathcal{F} is a mad family in \mathcal{NDN}_T . Then \mathcal{F} is an ad family in \mathcal{ND}_T . It is also mad in \mathcal{ND}_T . Suppose not. Then there is an $X \in \mathcal{ND}_T$ ad to \mathcal{F} . Let $X' \in [X]^{\aleph_0}$ be as in the measure analog of Lemma 2.3. Then $X' \in \mathcal{NDN}_T$. Hence \mathcal{F} is not mad in \mathcal{NDN}_T . This is a contradiction. The second inequality can be also proved similarly. \square \square

The diagram Fig. 1 summarizes the inequalities obtained in this section integrated into the cardinal diagram given in Brendle [4]. “ $\kappa \rightarrow \lambda$ ” in the diagram means that “ $\kappa \leq \lambda$ is provable in ZFC”. There are still some open questions concerning the (in)completeness of this diagram. In particular:

Problem 2.7 (a) *Are the inequalities between $\mathfrak{a}(\mathcal{N}_T)$, $\mathfrak{a}(\mathcal{ND}_T)$, $\mathfrak{a}(\mathcal{NDN}_T)$ consistently strict and complete?*

(b) *Are $\mathfrak{a}(\mathcal{ND}_T)$ etc. independent from \mathfrak{o} , $\bar{\mathfrak{o}}$, \mathfrak{a}_s ?*

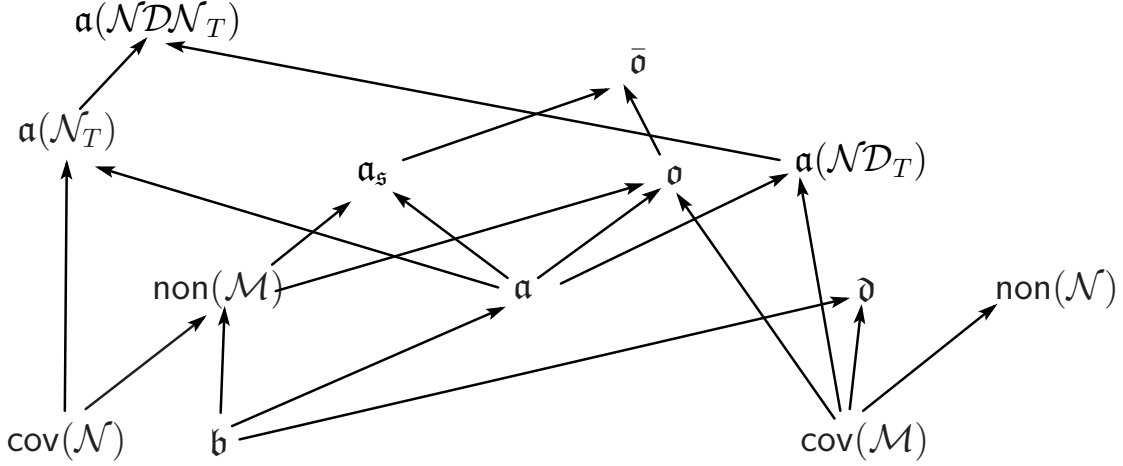


Figure 1:

3 Ad families \mathcal{F} for which \mathcal{F}^\perp is contained in a certain subfamily of $[T]^{\aleph_0}$

In this section we give several constructions of ad families with the property that the sets ad to them in a given generic extension are necessarily in a certain subfamily of $[T]^{\aleph_0}$. The constructions in this section are used in the proof of some results in the next sections.

Theorem 3.1 *There is an ad family $\mathcal{F} \subseteq \mathcal{A}_T$ of cardinality $\text{non}(\mathcal{M})$ such that, for any poset \mathbb{P} preserving the non-meagerness of ground-model non-meager sets, we have*

$$(3.1) \quad \Vdash_{\mathbb{P}} \text{“} \mathcal{F}^\perp \subseteq \mathcal{N}\mathcal{D}_T \text{”}.$$

The following assertion was originally proved under CH:

Corollary 3.2 *There is an ad family $\mathcal{F} \subseteq \mathcal{A}_T$ of cardinality $\text{non}(\mathcal{M})$ such that, for any cardinal κ , we have*

$$(3.2) \quad V^{\mathcal{C}_\kappa} \models \mathcal{F}^\perp \subseteq \mathcal{N}\mathcal{D}_T.$$

Proof. The corollary follows from Theorem 3.1 since the Cohen forcing \mathcal{C}_κ preserves the non-meagerness of ground-model non-meager sets (see e.g. 11.3 in [2])
 \square

For the proof of Theorem 3.1, we use the following lemma.

Let

$$(3.3) \quad \mathcal{P} = \{f : f : X \rightarrow \omega \text{ for some } X \in [\omega]^{\aleph_0}\}.$$

Lemma 3.3 *There is a mapping $F : {}^\omega\omega \rightarrow \mathcal{P}$ such that*

(3.4) If $f, g \in {}^\omega\omega$, $f \neq g$, then $|F(f) \cap F(g)| < \aleph_0$.

(3.5) If $h \in {}^\omega\omega$ and $X \subseteq {}^\omega\omega$ is non-meager, then there is $f \in X$ such that $|h \cap F(f)| = \aleph_0$.

Furthermore, F as above can be chosen such that it is definable and absolute in the sense that (3.4) and (3.5) hold for the extension of F with the same definition in any generic extension of the ground model.

Proof. Let $\langle s_n : n \in \omega \rangle$ be a one to one recursive enumeration of ${}^{>\omega}\omega$.

For $f \in {}^\omega\omega$, let $\text{dom}(F(f)) = \{n \in \omega : s_n \subseteq f\}$. Let $F(f) : \text{dom}(F(f)) \rightarrow \omega$ be defined by

$$(3.6) \quad F(f)(n) = f(\upharpoonright s_n)$$

for $n \in \text{dom}(F(f))$.

Claim 3.3.1 *This F is as desired.*

⊢ It is clear that F satisfies (3.4) — note that it is crucial here that the enumeration $\langle s_n : n \in \omega \rangle$ is chosen to be one to one.

To show that F also satisfies (3.5), suppose $h \in {}^\omega\omega$. It is enough to show that

$$(3.7) \quad N(h) = \{g \in {}^\omega\omega : |h \cap F(g)| < \aleph_0\}$$
 is a meager subset of ${}^\omega\omega$.

For $k \in \omega$, let $N_k(h) = \{g \in {}^\omega\omega : |h \cap F(g)| < k\}$.

Since $N(h) = \bigcup_{k \in \omega} N_k(h)$, it is enough to show that $N_k(h)$ is a nowhere dense subset of ${}^\omega\omega$ for each $k \in \omega$.

For this, we prove, by induction on k ,

$$(3.8) \quad \text{For any } s \in {}^{>\omega}\omega, \text{ there are } s' \in {}^{>\omega}\omega \text{ and } m' \in \omega \text{ such that } s' \subseteq s \text{ and } |(h \upharpoonright m') \cap F(g)| \geq k \text{ for all } g \in [s'].$$

Suppose that (3.8) holds for $k = \ell$ and let $s \in {}^{>\omega}\omega$. By the induction hypothesis we may assume without loss of generality that there is an $m \in \omega$ such that $|(h \upharpoonright m) \cap F(f)| \geq \ell$ for all $g \in [s]$.

Let $n \in \omega$ be such that $n \geq m, |s|$ and $s_n \supseteq s$. Let

$$(3.9) \quad s' = s_n \cup \{\langle \upharpoonright s_n, h(n) \rangle\}.$$

For any $g \in [s']$, we have $n \in \text{dom}(F(g))$ by $s_n \subseteq s' \subseteq g$, and $F(g)(n) = g(\upharpoonright s_n) = h(n)$. Letting $m' = n + 1$, we have $|(h \upharpoonright m') \cap F(g)| \geq \ell + 1$. Thus, (3.8) holds for $k = \ell + 1$ with these s' and m' . ⊢ (Claim 3.3.1)

The definability and the absoluteness of F is clear from the construction given above. □

Proof of Theorem 3.1: Let

$$(3.10) \quad Q = \{q \in T : q(n) \text{ is eventually } 0\}.$$

That is, for $q \in T$, $q \in Q$ if and only if $|\{n \in \omega : q(n) = 1\}| < \aleph_0$.

For $q \in Q$, let

$$(3.11) \quad \ell_q = \min\{\ell \in \omega : \forall m (\ell \leq m \rightarrow q(m) = 0)\}.$$

Let $\langle q_n : n \in \omega \rangle$ be a one to one enumeration of Q .

For $n, k \in \omega$ let

$$(3.12) \quad T_{n,k} = \{s \in T : q_n \upharpoonright (\ell_q + k) \cup \{(\ell_q + k, 1)\} \subseteq s\}$$

and let $\langle s_{n,k,i} : i \in \omega \rangle$ be a one to one enumeration of $T_{n,k}$. Let F be as in Lemma 3.3. For $n \in \omega$ and $f \in {}^\omega\omega$, let

$$(3.13) \quad F_n(f) = \{s_{n,k,i} : k \in \text{dom}(F(f)), i = F(f)(k)\}.$$

Let $N \subseteq {}^\omega\omega$ be a non-meager set with $|N| = \text{non}(\mathcal{M})$. Let $\mathcal{F}_n = F_n''N$ and $\mathcal{F} = \bigcup_{n \in \omega} \mathcal{F}_n$.

We show that this \mathcal{F} is as desired:

Claim 3.3.2 (1) $\mathcal{F} \subseteq \mathcal{A}_T$.

(2) \mathcal{F} is ad.

(3) (3.1) holds for all poset \mathbb{P} preserving non-meagerness of ground-model non-meager sets.

⊢ (1): Suppose that $A \in \mathcal{F}$ and $A = F_n(f)$ for some $n \in \omega$ and $f \in N$. If s_0, s_1 are two different elements of A , then there are $k_0, k_1 \in \text{dom}(F(f))$, $k_0 \neq k_1$ and $i_0, i_1 \in \omega$ such that $s_0 = s_{n,k_0,i_0}$ and $s_1 = s_{n,k_1,i_1}$. Since $s_0 \in T_{n,k_0}$ and $s_1 \in T_{n,k_1}$, it follows that s_0 and s_1 are incompatible.

(2): Suppose that $A_0, A_1 \in \mathcal{F}$ with $A_0 \neq A_1$. Let $A_0 = F_{n_0}(f_0)$ and $A_1 = F_{n_1}(f_1)$. If $n_0 \neq n_1$ then we have $|A_0 \cap A_1| \leq 1$. Then $f_0 \neq f_1$. Thus, by (3.4), $|A_0 \cap A_1| = |F(f_0) \cap F(f_1)| < \aleph_0$.

(3): Let G be a (V, \mathbb{P}) -generic set and we work in $V[G]$. Note, that by our assumption, N is still non-meager in $V[G]$.

Suppose that $B \in [T]^{\aleph_0} \setminus \mathcal{ND}_T$. We have to show that $|A \cap B| = \aleph_0$ for some $A \in \mathcal{F}$.

Since $B \notin \mathcal{ND}_T$ there is $n \in \omega$ such that $B \downarrow (q_n \upharpoonright \ell_{q_n})$ is dense below $q_n \upharpoonright \ell_{q_n}$. It follows that, for each $k \in \omega$, there is $h(k) \in \omega$ such that $s_{n,k,h(k)} \in B$. By (3.5) (which still holds in the generic extension $V[G]$), there is $f \in M$ such that $|h \cap F(f)| = \aleph_0$.

By the definition of h and $F_n(f)$, it follows that $|B \cap F_n(f)| = \aleph_0$. ⊣ (Claim 3.3.2)

□

We can also obtain a variation of Theorem 3.1 if our ground model is a generic extension of some inner model by adding uncountably many Cohen reals. Note that $\text{non}(\mathcal{M}) = \aleph_1$ holds in such a ground model.

Theorem 3.4 *Suppose that $W = V_{\omega_1}^{\mathcal{C}}$. Then, in W , there is an ad family $\mathcal{F} \subseteq \mathcal{A}_T$ of cardinality \aleph_1 such that*

$$(3.14) \quad \text{for any c.c.c. poset } \mathbb{P} \text{ with } \mathbb{P} \in V, \text{ we have } W^{\mathbb{P}} \models \mathcal{F}^\perp \subseteq \mathcal{ND}_T.$$

Proof. Let $A \in [T]^{\aleph_0} \cap V$ be an antichain and let $\langle t_n^* : n \in \omega \rangle$ be a one to one enumeration of A .

Let G be a $(V, \mathcal{C}_{\omega_1})$ -generic filter and $W = V[G]$. For $p \in \mathcal{C}_{\omega_1}$, $\alpha < \omega_1$ and $k \in \omega$, let

$$\begin{aligned} f_\alpha^p &= \{ \langle n, i \rangle \in \omega \times \omega : \langle \omega\alpha + 3n, i \rangle \in p \}; \\ n_{\alpha, k}^p &= \begin{cases} n, & \text{if } [\omega\alpha, \omega\alpha + 3n + 1] \subseteq \text{dom}(p), \\ & p(\omega\alpha + 3n + 1) = 1 \text{ and} \\ & | \{ m < n : p(\omega\alpha + 3m + 1) = 1 \} | = k, \\ \text{undefined,} & \text{if there is no such } n \text{ as above;} \end{cases} \\ t_\alpha^p &= \begin{cases} \{ \langle n, i \rangle \in \omega \times \omega : n < n_{\alpha, 0}^p, \langle \omega\alpha + 3n + 2, i \rangle \in p \}, \\ & \text{if } n_{\alpha, 0}^p \text{ is defined,} \\ \text{undefined,} & \text{otherwise} \end{cases} \\ \text{and} \\ t_{\alpha, k}^p &= \begin{cases} \{ \langle n, i \rangle \in \omega \times \omega : n < n_{\alpha, k+1}^p, \langle \omega\alpha + 3n + 2, i \rangle \in p \}, \\ & \text{if } n_{\alpha, k+1}^p \text{ is defined,} \\ \text{undefined,} & \text{otherwise.} \end{cases} \end{aligned}$$

Let

$$\begin{aligned} f_\alpha^G &= \bigcup_{p \in G} f_\alpha^p, \\ t_\alpha^G &= t_\alpha^p \text{ for some } p \in G \text{ such that } t_\alpha^p \text{ is defined, and} \\ t_{\alpha, k}^G &= t_{\alpha, k}^p \text{ for some } p \in G \text{ such that } t_{\alpha, k}^p \text{ is defined.} \end{aligned}$$

For $\alpha \in \omega_1$, let

$$(3.15) \quad A_\alpha = \{ t_\alpha^G \cap t_k^* \cap t_{\alpha, k}^G : k \in \omega \}.$$

Clearly each A_α is an antichain in T .

A_α , $\alpha < \omega_1$ are pairwise almost disjoint: Suppose that $\alpha < \beta < \omega_1$. Then there is $k_0 < \omega$ such that $t_{\alpha, k}^G \neq f_{\beta, k}^G$ for all $k \in \omega \setminus k_0$. It follows that $A_\alpha \cap A_\beta \subseteq \{ t_\alpha^G \cap t_k^* \cap t_{\alpha, k}^G : k < k_0 \}$.

We show that $\mathcal{F} = \{A_\alpha : \alpha < \omega_1\}$ satisfies (3.14).

Suppose that \mathbb{P} is a c.c.c. poset (in W) and $\mathbb{P} \in V$. Let H be a (W, \mathbb{P}) -generic filter. It is enough to show that, in $W[H]$, if $X \in [T]^{\aleph_0}$ is not nowhere dense then X is not almost ad to \mathcal{F} .

By the c.c.c. of $\mathcal{C}_{\omega_1} * \hat{\mathbb{P}} \sim \mathcal{C}_{\omega_1} \times \mathbb{P}$, there is an $\alpha^* \in \omega_1$ such that $X \in V[G \upharpoonright \mathcal{C}_{\omega_{\alpha^*}}][H]$. Let $t \in T$ be such that X is dense below t . Then

$$D = \{p \in \mathcal{C}_{\omega_1 \setminus \omega_{\alpha^*}} : t_\alpha^p \supseteq t \text{ for some } \alpha \in \omega_1 \setminus \omega_{\alpha^*}\}$$

is dense in $\mathcal{C}_{\omega_1 \setminus \omega_{\alpha^*}}$.

For $p \in D$ and $\alpha \in \omega_1 \setminus \omega_{\alpha^*}$ such that $t_\alpha^p \supseteq t$, letting \tilde{A}_α a $\mathcal{C}_{\omega_1 \setminus \omega_{\alpha^*}}$ -name of A_α , we have $p \Vdash_{\mathcal{C}_{\omega_1 \setminus \omega_{\alpha^*}}} \text{“} \tilde{A}_\alpha \cap X \downarrow t \text{”} = \aleph_0$ ” by (3.15) and since X is dense below t .

By genericity, it follows that, in $W[G]$, there is $\alpha < \omega_1$ such that $|A_\alpha \cap X| = \aleph_0$.

□

□ A measure version of Theorem 3.4 also holds:

Theorem 3.5 *Let $W = V_{\omega_1}^{\mathcal{C}}$. Then, in W , there is an ad family \mathcal{F} in \mathcal{N}_T of cardinality \aleph_1 such that for any c.c.c. poset \mathbb{P} with $\mathbb{P} \in V$, we have $W^{\mathbb{P}} \models \mathcal{F}^\perp \subseteq \mathcal{O}_T$.*

For the proof of Theorem 3.5 we note first the following:

Lemma 3.6 *Suppose that $X \subseteq T$ is such that $X = \{t_k : k \in \omega\}$ for some enumeration t_k , $k \in \omega$ of X with $\ell(t_k) \geq k$ for all $k \in \omega$. Then $X \in \mathcal{N}_T$.*

Proof. For all $n \in \omega$, we have $[X] \subseteq \bigcup_{k \in \omega \setminus n} [T \downarrow t_k]$. Hence

$$\mu(X) = \sigma([X]) \leq \sum_{k \in \omega \setminus n} \sigma([T \downarrow t_k]) \leq \sum_{k \in \omega \setminus n} 2^k = 2^{-n}.$$

It follows that $\mu(X) = 0$. □□ *Proof.* [of Theorem 3.5] Let G be a $(V, \mathcal{C}_{\omega_1})$ -generic filter and $W = V[G]$. In W , let

$$f_\alpha^G = \{\langle n, i \rangle : \langle \omega_\alpha + n, i \rangle \in p \text{ for some } p \in G\}$$

for $\alpha < \omega_1$ and let $g_\alpha^G \in {}^\omega \omega$ be the increasing enumeration of $(f_\alpha^G)^{-1}[\{1\}]$.

Further in W , we construct inductively $A_\alpha \in \mathcal{N}_T$, $\alpha < \omega_1$ as follows.

For $n \in \omega$, let $A_n \in \mathcal{N}_T$ be such that $\langle A_n : n \in \omega \rangle$ is a partition of T in V . We can be easily find such A_n 's by Lemma 3.6.

For $\omega \leq \alpha < \omega_1$, suppose that pairwise almost disjoint A_β , $\beta < \alpha$ have been constructed. Let $\langle B_\ell : \ell \in \omega \rangle$ be an enumeration of $\{A_\beta : \beta < \alpha\}$ and, for each $n \in \omega$, let $\langle b_{n,m} : m \in \omega \rangle$ be an enumeration of

$$(3.16) \quad C_n = T \setminus ({}^{n>}2 \cup \{B_\ell : \ell < n\}).$$

Let

$$(3.17) \quad A_\alpha = \{b_{n, g_\alpha^G(n)} : n \in \omega\}.$$

$A_\alpha \in \mathcal{N}_T$ by (3.16) and Lemma 3.6. A_α is ad to $\{A_\beta : \beta < \alpha\}$ by (3.16) and (3.17).

We show that $\mathcal{F} = \{A_\alpha : \alpha < \omega_1\}$ is as desired. Suppose that \mathbb{P} is c.c.c. (in W) and $\mathbb{P} \in V$. Let H be a (W, \mathbb{P}) -generic filter. It is enough to show that, in $W[H]$, if $X \in [T]^{\aleph_0} \setminus \mathcal{O}_T$ then X is not ad to \mathcal{F} . So suppose that (in $W[H]$) $X \in [T]^{\aleph_0} \setminus \mathcal{O}_T$ and $f \in [X]$. Let $B = X \cap B(f)$. By the c.c.c. of $\mathcal{C}_{\omega_1} * \hat{\mathbb{P}} \sim \mathcal{C}_{\omega_1} \times \mathbb{P}$, there is an $\alpha^* \in \omega_1 \setminus \omega$ such that $B \in V[(G \upharpoonright \mathcal{C}_{\omega_{\alpha^*}})][H]$. If $B \cap A_\alpha$ is infinite for some $\alpha < \alpha^*$ then we are done. So assume that B is ad to all A_α , $\alpha < \alpha^*$. Then $B \cap C_n$ is infinite for all $n \in \omega$. Since $f_{\alpha^*}^G$ is a Cohen real generic over $V[(G \upharpoonright \mathcal{C}_{\omega_{\alpha^*}})][H]$, it follows that $B \cap A_{\alpha^*}$ is infinite. \square

4 Almost disjoint numbers over ad families

In this section we turn to questions on the possible values of $\mathfrak{a}^+(\cdot)$.

Theorem 4.1 (K. Kunen) $\mathfrak{a}^+(\bar{\mathfrak{o}}) = \mathfrak{c}$.

Proof. Let \mathcal{F} be any mad family in \mathcal{A}_T of cardinality $\bar{\mathfrak{o}}$. By maximality of \mathcal{F} we have $\mathcal{F}^\perp = \mathcal{B}_T$. If $\mathcal{G} \subseteq [T]^{\aleph_0}$ is disjoint from \mathcal{F} and $\mathcal{F} \cup \mathcal{G}$ is mad then \mathcal{G} is mad in \mathcal{B}_T and hence $|\mathcal{G}| = \mathfrak{c}$ by Theorem 2.2. \square

Theorem 4.2 $V^{\mathcal{C}_\kappa} \models \mathfrak{a}^+(\aleph_1) \geq \kappa$ for all regular κ .

Proof. If $\kappa = \omega_1$ this is trivial. So suppose that $\kappa > \omega_1$. Let $W = V^{\mathcal{C}_{\omega_1}}$. Then $V^{\mathcal{C}_\kappa} = W^{\mathcal{C}_{\kappa \setminus \omega_1}}$. Let \mathcal{F} be as in the proof of Theorem 3.4. Suppose that $\tilde{\mathcal{F}} \supseteq \mathcal{F}$ is mad on T in $V^{\mathcal{C}_\kappa}$. Then $\tilde{\mathcal{F}} \subseteq (\mathcal{N}\mathcal{D}_T)^{V^{\mathcal{C}_\kappa}}$. Since $V_\kappa^{\mathcal{C}} \models \text{cov}(\mathcal{M}) \geq \kappa$, it follows that $|\tilde{\mathcal{F}}| \geq \kappa$ by Theorem 2.4. \square

Corollary 4.3 *The inequality $\mathfrak{a} = \aleph_1 < \mathfrak{a}^+(\aleph_1) = \mathfrak{c}$ is consistent.*

Proof. Start from a model V of CH. Since there is a \mathcal{C}_κ -indestructible mad family in V it follows that $V^{\mathcal{C}_{\omega_2}} \models \mathfrak{a} = \aleph_1$ (see e.g. [8], Theorem 2.3). On the other hand we have $V^{\mathcal{C}_{\omega_2}} \models \mathfrak{a}^+(\aleph_1) = \aleph_2 = \mathfrak{c}$ by Theorem 4.2. \square

Theorem 4.4 *The inequality $\mathfrak{a}^+(\aleph_1) < \mathfrak{c}$ is consistent.*

For the proof of the theorem we use the following forcing notions: for a family $\mathcal{I} \subseteq \{A \in [\omega]^{\aleph_0} : |\omega \setminus A| = \aleph_0\}$ closed under union, let $\mathbb{Q}_{\mathcal{I}} = \langle \mathbb{Q}_{\mathcal{I}}, \leq_{\mathbb{Q}_{\mathcal{I}}} \rangle$ be the poset defined by

$$\mathbb{Q}_{\mathcal{I}} = \mathcal{C}_\omega \times \mathcal{I};$$

For all $\langle s, A \rangle, \langle s', A' \rangle \in \mathbb{Q}_{\mathcal{I}}$

$$(4.1) \quad \langle s', A' \rangle \leq_{\mathbb{Q}_{\mathcal{I}}} \langle s, A \rangle \Leftrightarrow s \subseteq s', A \subseteq A' \text{ and} \\ \forall n \in \text{dom}(s') \setminus \text{dom}(s) (n \in A \rightarrow s'(n) = 0).$$

Clearly $\mathbb{Q}_{\mathcal{I}}$ is σ -centered.

For a $(V, \mathbb{Q}_{\mathcal{I}})$ -generic G , let

$$f_G = \bigcup \{s : \langle s, A \rangle \in G \text{ for some } A \in \mathcal{I}\} \text{ and} \\ A_G = f_G^{-1} \{1\}.$$

Let $\tilde{\mathcal{I}}$ be the ideal in $[\omega]^{\aleph_0}$ generated from \mathcal{I} (i.e. the downward closure of \mathcal{I} with respect to \subseteq). By the genericity of G and the definition of $\leq_{\mathbb{Q}_{\mathcal{I}}}$ it is easy to see that A_G is infinite and

$$(4.2) \quad \text{for every } B \in ([\omega]^{\aleph_0})^V, A_G \text{ is almost disjoint from } B \Leftrightarrow B \in \tilde{\mathcal{I}}.$$

Proof. [of *Theorem 4.4*] Working in a ground model V of $2^{\aleph_0} = 2^{\aleph_1} = \aleph_3$, let

$$\langle \mathbb{P}_\alpha, \mathbb{Q}_\beta : \alpha \leq \omega_2, \beta < \omega_2 \rangle$$

be the finite support iteration of c.c.c. posets defined as follows: for $\beta < \omega_2$, let \mathbb{Q}_β be the \mathbb{P}_β -name of the finite support (side-by-side) product of

$$(4.3) \quad \mathbb{Q}_{\tilde{\mathcal{F}}}, \tilde{\mathcal{F}} \in \Phi$$

where

$$\Phi = \{ \tilde{\mathcal{F}} : \tilde{\mathcal{F}} \text{ is an ideal in } [\omega]^{\aleph_0} \\ \text{generated from an ad family in } [\omega]^{\aleph_0} \text{ of cardinality } \aleph_1 \}$$

in $V^{\mathbb{P}_\beta}$. We have

$$V^{\mathbb{P}_\beta} \models \mathbb{Q}_\beta \text{ satisfies the c.c.c.}$$

since $V^{\mathbb{P}_\beta} \models \mathbb{Q}_{\tilde{\mathcal{F}}}$ is σ -centered for all $\tilde{\mathcal{F}} \in \Phi$. By induction on $\alpha \leq \omega_2$, we can show that \mathbb{P}_α satisfies the c.c.c. and $|\mathbb{P}_\alpha| \leq 2^{\aleph_1} = \aleph_3$ for all $\alpha \leq \omega_2$. It follows that

$$(4.4) \quad V^{\mathbb{P}_{\omega_2}} \models 2^{\aleph_0} = 2^{\aleph_1} = \aleph_3.$$

Thus the following claim finishes the proof:

Claim 4.4.1 $V^{\mathbb{P}_{\omega_2}} \models \mathfrak{a} = \mathfrak{a}^+(\aleph_1) = \aleph_2$.

\vdash Working in $V^{\mathbb{P}_{\omega_2}}$, suppose that \mathcal{F} is an ad family in $[\omega]^{\aleph_0}$ of cardinality \aleph_1 . By the c.c.c. of \mathbb{P}_{ω_2} , there is some $\alpha^* < \omega_2$ such that $\mathcal{F} \in V^{\mathbb{P}_{\alpha^*}}$. By (4.3) and (4.2), there are $A_\alpha, \alpha \in \omega_2 \setminus \alpha^*$ such that

$$(4.5) \quad \text{for every } B \in ([\omega]^{\aleph_0})^{V^{\mathbb{P}_\alpha}}, A_\alpha \text{ is ad from } B \Leftrightarrow B \in \text{the ideal generated} \\ \text{from } \mathcal{F} \cup \{A_\beta : \beta \in \alpha \setminus \alpha^*\}.$$

Since $([\omega]^{\aleph_0})^{V^{\mathbb{P}\omega_2}} = \bigcup_{\alpha < \omega_2} ([\omega]^{\aleph_0})^{V^{\mathbb{P}\alpha}}$, it follows that $\mathcal{F} \cup \{A_\alpha : \alpha \in \omega_2 \setminus \alpha^*\}$ is a mad family in $V^{\mathbb{P}\omega_2}$. This shows that $V^{\mathbb{P}\omega_2} \models \mathfrak{a}^+(\aleph_1) \leq \aleph_2$.

We also have $V^{\mathbb{P}\omega_2} \models \mathfrak{a} \geq \aleph_2$: for any ad family $\mathcal{G} \subseteq ([\omega]^{\aleph_0})^{V^{\mathbb{P}\omega_2}}$ of cardinality $\leq \aleph_1$, there is some $\alpha^* < \omega_2$ such that $\mathcal{G} \in V^{\mathbb{P}\alpha^*}$. But \mathbb{Q}_{α^*} adds an infinite subset of ω almost disjoint to every element of \mathcal{G} . Hence \mathcal{G} is not mad. \dashv (Claim 4.4.1)

□ □ Clearly, the method of the proof of Theorem 4.4 cannot produce a model of $\mathfrak{a}^+(\aleph_1) = \aleph_1 < \mathfrak{c}$.

Problem 4.5 *Is $\mathfrak{a}^+(\aleph_1) = \aleph_1 < \mathfrak{c}$ consistent?*

All infinite cardinals less than or equal to the continuum \mathfrak{c} can be represented as $\mathfrak{a}^+(\mathcal{F})$ for some \mathcal{F} .

Theorem 4.6 *For any infinite $\kappa \leq \mathfrak{c}$, there is an ad family $\mathcal{F} \subseteq [T]^{\aleph_0}$ of cardinality \mathfrak{c} such that $\mathfrak{a}^+(\mathcal{F}) = \kappa$.*

Proof. Let \mathcal{F}' be a mad family in \mathcal{A}_T . Then by Lemma 2.1, we have

$$(4.6) \quad \mathcal{F}'^\perp = \mathcal{B}_T.$$

Let X and X' be disjoint with ${}^\omega 2 = X \cup X'$, $|X| = \mathfrak{c}$ and $|X'| = \kappa$. Let

$$\mathcal{F} = \mathcal{F}' \cup \{B(f) : f \in X\}.$$

Clearly \mathcal{F} is an ad family. By (4.6) we have $\mathcal{F}^\perp \subseteq \mathcal{B}_T$.

We claim $\mathfrak{a}^+(\mathcal{F}) = \kappa$: Since $\mathcal{F} \cup \{B(f) : f \in X'\}$ is a mad family by Lemma 2.1, we have $\mathfrak{a}^+(\mathcal{F}) \leq \kappa$. Again by Lemma 2.1, if $\mathcal{G} \subseteq \mathcal{F}^\perp$ is an ad family of cardinality $< \kappa$, then there is $f \in X'$ such that $B(f)$ is ad from every $B \in \mathcal{G}$. Thus $\mathfrak{a}^+(\mathcal{F}) \geq \kappa$.

□

□

5 Destructibility of mad families

For a poset \mathbb{P} , a mad family \mathcal{F} in $[T]^{\aleph_0}$ is said to be \mathbb{P} -*destructible* if

$$V^{\mathbb{P}} \models \mathcal{F} \text{ is not mad in } [T]^{\aleph_0}.$$

Otherwise it is \mathbb{P} -*indestructible*.

The results in Section 3 can be also formulated in terms of destructibility of mad families.

Theorem 5.1 (1) *There is an ad family $\mathcal{F} \subseteq \mathcal{A}_T$ of size $\text{non}(\mathcal{M})$ which cannot be extended to a \mathcal{C}_ω -indestructible mad family in any generic extension of the ground model $V^{\mathbb{P}}$ as long as non-meager sets in V remain non-meager in $V^{\mathbb{P}}$.*

(2) Let $W = V^{\mathcal{C}_{\omega_1}}$. Then, in W , there is an ad family $\mathcal{F} \subseteq \mathcal{ND}_T$ of cardinality \aleph_1 such that, in any generic extension of W by a c.c.c. poset \mathbb{P} with $\mathbb{P} \in V$, \mathcal{F} cannot be extended to a \mathcal{C}_{ω} -indestructible mad family.

(3) Let $W = V^{\mathcal{C}_{\omega_1}}$. Then, in W , there is an ad family $\mathcal{F} \subseteq \mathcal{N}_T$ of cardinality \aleph_1 such that, in any generic extension of W by a c.c.c. poset \mathbb{P} with $\mathbb{P} \in V$, \mathcal{F} cannot be extended to a \mathcal{R}_{ω} -indestructible mad family.

Proof. (1): The family \mathcal{F} as in Theorem 3.1 will do. Since we have $\mathcal{F}' \subseteq \mathcal{ND}_T$ for any mad \mathcal{F}' extending \mathcal{F} in $V^{\mathbb{P}}$, a further Cohen real over $V^{\mathbb{P}}$ introduces a branch almost avoiding all elements of \mathcal{F}' . Thus \mathcal{F}' is no longer mad in $V^{\mathbb{P} * \mathcal{C}_{\omega}}$.

(2): By Theorem 3.4 and by an argument similar to the proof of (1).

(3): In W , let \mathcal{F} be as in the proof of Theorem 3.5. Then any mad $\mathcal{F}' \supseteq \mathcal{F}$ on T in any $W^{\mathbb{P}}$ for \mathbb{P} as above is included in \mathcal{N}_T by $\mathcal{O}_T \subseteq \mathcal{N}_T$. Hence, in $W^{\mathbb{P} * \mathcal{R}_{\omega}}$, the random real f over $W^{\mathbb{P}}$ introduces the branch $B(f)$ almost avoiding all elements of \mathcal{F}' . Thus \mathcal{F}' is no longer mad in $W^{\mathbb{P} * \mathcal{R}_{\omega}}$. \square \square

6 κ -almost decided and λ -minimal mad families

In this final section we collect several other constructions of mad families with some additional properties.

Given an ad family \mathcal{F} on T let $\mathcal{I}(\mathcal{F})$ be the ideal on T generated by $\mathcal{F} \cup [T]^{<\omega}$, i.e. for $S \subset T$ we have $S \in \mathcal{I}(\mathcal{F})$ if $S \subset^* \cup \mathcal{F}'$ for some finite subfamily \mathcal{F}' of \mathcal{F} .

Let \mathcal{F} be a mad family on T and $\mathcal{B} \subseteq \mathcal{F}$. Clearly $\mathcal{B}^{\perp} \supseteq \mathcal{I}(\mathcal{F} \setminus \mathcal{B}) \setminus [T]^{<\aleph_0}$. We say that \mathcal{B} *almost decides* \mathcal{F} if $\mathcal{B}^{\perp} = \mathcal{I}(\mathcal{F} \setminus \mathcal{B}) \setminus [T]^{<\aleph_0}$. A mad family \mathcal{F} is said to be κ -almost decided if every $\mathcal{B} \in [\mathcal{F}]^{\kappa}$ almost decides \mathcal{F} .

Theorem 6.1 *Assume that MA(σ -centered) holds. Then there is a \mathfrak{c} -almost decided mad family \mathcal{F} on T .*

Proof. Let $\langle B_{\beta} : \beta < \mathfrak{c} \rangle$ be an enumeration of $[T]^{\aleph_0}$. We define A_{α} , $\alpha < \mathfrak{c}$ inductively such that

$$(6.1) \quad \{A_n : n \in \omega\} \text{ is a partition of } T \text{ into infinite subsets;}$$

For all $\alpha \in \mathfrak{c} \setminus \omega$

$$(6.2) \quad A_{\alpha} \text{ is ad from } A_{\beta} \text{ for all } \beta < \alpha;$$

$$(6.3) \quad \text{For } \beta < \alpha, \text{ if } B_{\beta} \notin \mathcal{I}(\{A_{\delta} : \delta < \alpha\}) \text{ then } |A_{\alpha} \cap B_{\beta}| = \aleph_0;$$

Claim 6.1.1 *The construction of A_{α} , $\alpha < \mathfrak{c}$ as above is possible.*

\vdash Suppose that $\alpha \in \mathfrak{c} \setminus \omega$ and A_{β} , $\beta < \alpha$ have been constructed according to (6.1), (6.2) and (6.3). Let

$$S_\alpha = \{\beta < \alpha : B_\beta \notin \mathcal{I}(\{A_\delta : \delta < \alpha\})\}.$$

Let $\mathbb{P}_\alpha = \{\langle \varphi, s \rangle : \varphi \in \text{Fn}(T, 2), s \in [\alpha]^{<\aleph_0}\}$ be the poset with the ordering defined by

$$\begin{aligned} \langle \varphi', s' \rangle \leq_{\mathbb{P}_\alpha} \langle \varphi, s \rangle &\Leftrightarrow \\ \varphi &\subseteq \varphi', s \subseteq s' \text{ and} \\ \forall t \in \text{dom}(\varphi') \setminus \text{dom}(\varphi) &(\varphi'(t) = 1 \rightarrow t \notin A_\delta \text{ for all } \delta \in s) \end{aligned}$$

for $\langle \varphi, s \rangle, \langle \varphi', s' \rangle \in \mathbb{P}_\alpha$.

\mathbb{P}_α is σ -centered since $\langle \varphi, s \rangle, \langle \varphi', s' \rangle \in \mathbb{P}_\alpha$ are compatible if $\varphi = \varphi'$.

For $\beta < \alpha$, let

$$C_\beta = \{\langle \varphi, s \rangle \in \mathbb{P}_\alpha : \beta \in s\}$$

and, for $\beta \in S_\alpha$ and $n \in \omega$, let

$$D_{\beta,n} = \{\langle \varphi, s \rangle \in \mathbb{P}_\alpha : \exists t \in \text{dom}(\varphi) (\ell(t) \geq n \wedge \varphi(t) = 1 \wedge t \in B_\beta)\}.$$

It is easy to see that $C_\beta, \beta < \alpha$ and $D_{\beta,n}, \beta \in S_\alpha, n \in \omega$ are dense in \mathbb{P}_α . Let

$$\mathcal{D} = \{C_\beta : \beta < \alpha\} \cup \{D_{\beta,n} : \beta \in S_\alpha, n \in \omega\}.$$

Since $|\mathcal{D}| < \mathfrak{c}$, we can apply MA(σ -centered) to obtain a $(\mathcal{D}, \mathbb{P}_\alpha)$ -generic filter G . Let

$$A_\alpha = \{t \in T : \varphi(t) = 1 \text{ for some } \langle \varphi, s \rangle \in G\}.$$

Then this A_α is as desired. \dashv (Claim 6.1.1)

Let $\mathcal{F} = \{A_\alpha : \alpha < \mathfrak{c}\}$. \mathcal{F} is infinite by (6.2) and mad by (6.3).

We show that \mathcal{F} is \mathfrak{c} -almost decided. First, note that we have $\mathfrak{a} = \mathfrak{c}$ by the assumptions of the theorem. By (6.3), we have:

$$(6.4) \quad \text{For any } B \in [T]^{\aleph_0}, \text{ if } B \notin \mathcal{I}(\{A_\alpha : \alpha < \mathfrak{c}\}) \text{ then} \\ |\{\alpha < \mathfrak{c} : |A_\alpha \cap B| < \aleph_0\}| < \mathfrak{c}.$$

Suppose that $\mathcal{H} \in [\mathcal{F}]^c$ and $B \in \mathcal{H}^\perp$. Then $|\{\alpha < \mathfrak{c} : |A_\alpha \cap B| < \aleph_0\}| = \mathfrak{c}$ and so $B \in \mathcal{I}(\mathcal{F})$ by (6.4). Thus there is a finite $\mathcal{F}' \subset \mathcal{F}$ such that $B \subset^* \cup \mathcal{F}'$ and $F \cap B$ is infinite for each $F \in \mathcal{F}'$. But $B \in \mathcal{H}^\perp$ so $\mathcal{F}' \cap \mathcal{H} = \emptyset$. Thus \mathcal{F}' witnesses that $B \in \mathcal{I}(\mathcal{F} \setminus \mathcal{H})$ which was to be proved. \square

For a mad family \mathcal{F} on T , $\mathcal{C} \subseteq \mathcal{F}$ is said to be *minimal in \mathcal{F}* if $\mathfrak{a}^+(\mathcal{F} \setminus \mathcal{C}) = |\mathcal{C}|$. A mad family \mathcal{F} is said to be λ -*minimal* if every $\mathcal{C} \in [\mathcal{F}]^\lambda$ is minimal in \mathcal{F} .

Lemma 6.2 *Suppose that \mathcal{F} is a mad family on T .*

- (1) *If \mathcal{F} is $|\mathcal{F}|$ -minimal then $|\mathcal{F}| = \mathfrak{a}$.*
- (2) *If $\mathcal{B} \subseteq \mathcal{F}$ almost decides \mathcal{F} and $\mathcal{F} \setminus \mathcal{B}$ is infinite then $\mathcal{F} \setminus \mathcal{B}$ is minimal in \mathcal{F} .*
- (3) *If \mathcal{F} is κ -almost decided for $\kappa = |\mathcal{F}|$ then \mathcal{F} is λ -minimal for all $\omega \leq \lambda < \kappa$.*
- (4) *If $|\mathcal{F}| = \mathfrak{a}$ and \mathcal{F} is \mathfrak{a} -almost decided then \mathcal{F} is \mathfrak{a} -minimal.*

Proof. (1): If \mathcal{F} is $|\mathcal{F}|$ -minimal then \mathcal{F} itself is minimal in \mathcal{F} . Thus $\mathfrak{a} = \mathfrak{a}^+(\emptyset) = \mathfrak{a}^+(\mathcal{F} \setminus \mathcal{F}) = |\mathcal{F}|$.

(2): First, note that, for any infinite ad \mathcal{F} , we have $\mathfrak{a}(\mathcal{I}(\mathcal{F})) = |\mathcal{F}|$.

Suppose that \mathcal{F} is a mad family on T and $\mathcal{B} \subseteq \mathcal{F}$ almost decides \mathcal{F} , i.e. $\mathcal{B}^\perp = \mathcal{I}(\mathcal{F} \setminus \mathcal{B})$. Hence

$$\mathfrak{a}^+(\mathcal{F} \setminus (\mathcal{F} \setminus \mathcal{B})) = \mathfrak{a}^+(\mathcal{B}) = \mathfrak{a}(\mathcal{B}^\perp) = \mathfrak{a}(\mathcal{I}(\mathcal{F} \setminus \mathcal{B})) = |\mathcal{F} \setminus \mathcal{B}|.$$

(3): Suppose that $\kappa = |\mathcal{F}|$ and \mathcal{F} is κ -almost decided. If $\mathcal{C} \in [\mathcal{F}]^\lambda$ for some $\omega \leq \lambda < \kappa$ then $|\mathcal{F} \setminus \mathcal{C}| = \kappa$ and hence $\mathcal{F} \setminus \mathcal{C}$ almost decides \mathcal{F} . By (2) it follows that $\mathcal{C} = \mathcal{F} \setminus (\mathcal{F} \setminus \mathcal{C})$ is minimal in \mathcal{F} .

(4): Suppose that $|\mathcal{F}| = \mathfrak{a}$ and \mathcal{F} is \mathfrak{a} -almost decided. Suppose that $\mathcal{C} \in [\mathcal{F}]^\mathfrak{a}$. If $|\mathcal{F} \setminus \mathcal{C}| < \mathfrak{a}$, then clearly $\mathfrak{a}^+(\mathcal{F} \setminus \mathcal{C}) = \mathfrak{a} = |\mathcal{C}|$. Hence \mathcal{C} is minimal in \mathcal{F} . If $|\mathcal{F} \setminus \mathcal{C}| = \mathfrak{a}$ then $\mathcal{F} \setminus \mathcal{C}$ almost decides \mathcal{F} . Thus, by (2), $\mathcal{C} = \mathcal{F} \setminus (\mathcal{F} \setminus \mathcal{C})$ is again minimal in \mathcal{F} . \square \square

Corollary 6.3 *Assume that MA(σ -centered) holds. Then there is a mad family \mathcal{F} on T which is λ -minimal for all $\omega \leq \lambda \leq \mathfrak{c}$.*

Proof. By Theorem 6.1 and Lemma 6.2, (3), (4). \square \square Theorem 6.1 can be further improved to the following theorem:

Theorem 6.4 *Assume that MA(σ -centered) holds. Let $\kappa = \mathfrak{c}$. Then there is a \mathcal{C}_ω -indestructible mad family \mathcal{F} (of size κ) such that*

$$(6.5) \quad V_\omega^{\mathcal{C}} \models \mathcal{F} \text{ is } \kappa\text{-almost decided on } T.$$

Proof. Let $\langle \langle t_\beta, \tilde{B}_\beta \rangle : \beta < \kappa \rangle$ be an enumeration of

$$T \times \{ \tilde{B} : \tilde{B} \text{ is a nice } \mathcal{C}_\omega\text{-name of an element of } [T]^{\aleph_0} \text{ in } V^{\mathcal{C}_\omega} \}.$$

Let A_α , $\alpha < \kappa$ be then defined inductively just as in the proof of Theorem 6.1 with

$$(6.3)' \quad \text{For } \beta < \alpha, \text{ if } t \Vdash_{\mathcal{C}_\omega} \text{“} \tilde{B}_\alpha \notin \mathcal{I}(\{A_\delta : \delta < \alpha\}) \text{” then } t \Vdash_{\mathcal{C}_\omega} \text{“} |A_\alpha \cap \tilde{B}_\beta| = \aleph_0 \text{”}$$

in place of (6.3). \square \square

Corollary 6.5 *For any cardinal $\kappa \geq \mathfrak{c}$ in the ground model V there is a cardinal preserving generic extension W of V such that, in W , $\kappa < \mathfrak{c}$ and there is a κ -almost decided mad family \mathcal{F} of size κ (furthermore \mathcal{F} is λ -minimal for all $\omega \leq \lambda \leq \kappa$).*

Proof. First extend V to a model V' of $\kappa = \mathfrak{c}$ and MA(σ -centered). In V' , let \mathcal{F} be as in Theorem 6.4. Then \mathcal{F} is as desired in V'_μ for any $\mu > \kappa$. The claim in the parentheses follows from Lemma 6.2, (3) and (6.3)'. \square \square

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