

Generic Absoluteness Revisited

A joint work with Takehiko Gappo (合浦 岳彦)
and フランチェスコ・パレンテ (Francesco Parente)

Sakaé Fuchino (渚野 昌)

Kobe University, Japan

<https://fuchino.ddo.jp/index.html>

(2024 年 11 月 27 日 (23:31 JST) printer version)

2024 年 10 月 9 日 (11:25~12:05 JST), RIMS Set Theory Workshop 2024*

2024 年 11 月 15 日 (14:00~17:00 JST), Seminar with Yo matsubara and Francesco Parente, Kobe[†]

2024 年 11 月 27 日 (15:30~17:0 JST), Kobe Set Theory Seminar[†]

The following slides are typeset using up^LA^TE^X with beamer class, and
presented on GoodReader v.5.19.1202* (Skim vesion 1.7.6[†])

The most up-to-date version of these slides is downloadable as
<https://fuchino.ddo.jp/slides/RIMS2024-set-theory-fuchino-pf.pdf>

The research is supported by
Kakenhi Grant-in-Aid for Scientific Research (C) 20K03717

- [II] S.F., A. Ottenbreit Maschio Rodrigues, and H. Sakai, Strong downward Löwenheim-Skolem theorems for stationary logics, II
— reflection down to the continuum, Archive for Mathematical Logic, Vol.60, 3-4, (2021), 495–523. <https://fuchino.ddo.jp/papers/SDLS-II-x.pdf>
- [S.F.1] S.F., [Maximality Principles and Resurrection Axioms under a Laver generic large cardinal](#), (note for “Maximality Principles and Resurrection Axioms in light of a Laver generic large cardinal”, in preparation)
<https://fuchino.ddo.jp/papers/RIMS2022-RA-MP-x.pdf>
- [S.F. & Usuba] S.F., and T. Usuba, [On Recurrence Axioms](#), preprint.
<https://fuchino.ddo.jp/papers/recurrence-axioms-x.pdf>
- [S.F.2] S.F., [Reflection and Recurrence](#), to appear in the Festschrift on the occasion of the 75. birthday of Professor Janos Makowsky, Birkhäuser, (2024).
https://fuchino.ddo.jp/papers/reflection_and_recurrence-Janos-Festschrift-x.pdf
- [S.F. & Gappo & Parente] S.F., T. Gappo, and F. Parente, [Generic Absoluteness revisited](#), preprint.
<https://fuchino.ddo.jp/papers/generic-absoluteness-revisited-x.pdf>

▷ References

▷ Outline

- ▷ Viale's Absoluteness Theorem
 - ▷ Bagaria's Absoluteness Theorem
 - ▷ Recurrence Axioms
 - ▷ Recurrence Axiom⁺ = Maximality Principle
 - ▷ Solution(s) of Continuum Problem under Recurrence Axiom
 - ▷ Consistency strength of Maximality Principles (= Recurrence Axioms⁺)
 - ▷ Generic absoluteness under restricted Recurrence Axioms
 - ▷ Tightly \mathcal{P} -Laver-gen. ultrahuge cardinal
 - ▷ Generic absoluteness under \mathcal{P} -Laver-gen. large cardinals
 - ▷ Ground Axiom and generic absoluteness
-
- ▷ Tightly super $\mathcal{C}^{(\infty)}$ - \mathcal{P} -Laver-gen. ultrahuge cardinal
 - ▷ Bedrock of tightly \mathcal{P} -gen. hyperhuge cardinal
 - ▷ Equiconsistency as the Eternal Recurrence
 - ▷ Toward the Laver-generic Maximum

- We discuss “generalizations” of the following theorem (see Theorem 11 15).

Theorem 1. (M.Viale, Theorem 1.4 in ^[1]) Assume that MM^{++} holds, and there are class many Woodin cardinals. Then, for any stationary preserving p.o. \mathbb{P} with $\Vdash_{\mathbb{P}} \text{BMM}$, we have

$$\mathcal{H}(\aleph_2)^V \prec_{\Sigma_2} \mathcal{H}(\aleph_2)^{V[G]} \quad \text{for } (V, \mathbb{P})\text{-generic } G.$$



- MM^{++} is the double plus version of Martin's Maximum.

[[For any stationary preserving \mathbb{P} , any family \mathcal{D} of dense subsets of \mathbb{P} with $|\mathcal{D}| < \aleph_2$, and set \mathcal{S} of \mathbb{P} -names of stationary subsets of ω_1 with $|\mathcal{S}| < \aleph_2$, there is a \mathcal{D} -generic filter G over \mathbb{P} s.t. $\dot{S}[G] \subseteq \omega_1$ is stationary for all $\dot{S} \in \mathcal{S}$.]]

- ▷ **BMM** stands for Bounded Martin's Maximum.

[[For any stationary preserving \mathbb{P} , family \mathcal{D} of dense subsets of \mathbb{P} with $|\mathcal{D}| < \aleph_2$ s.t. each $D \in \mathcal{D}$ is generated by $D' \subseteq D$ with $|D'| < \aleph_2$, there is a \mathcal{D} -generic filter G over \mathbb{P} .]]

^[1] Matteo Viale, Martin's maximum revisited, Archive of Mathematical Logic, Vol.55, (2016), 295–316.

Bagaria's Absoluteness Theorem

Generic Absoluteness Revised (5/21)

Notation: For an ordinal α , let $\alpha^{(+)} := \sup(\{|\beta|^+ : \beta < \alpha\})$.

Note that $\alpha^{(+)} = \alpha$ if α is a cardinal. Otherwise, we have $\alpha^{(+)} = |\alpha|^+$.

► Viale's Theorem 1. is based on Bagaria's Absoluteness Theorem.

Theorem 2. (Bagaria's Absoluteness Theorem, Theorem 5 in [2])
For an uncountable cardinal κ and a class \mathcal{P} of p.o.s closed under forcing equivalence, and restriction, the following are equivalent:

- (a) $\text{BFA}_{<\kappa}(\mathcal{P})$.
- (b) For any $\mathbb{P} \in \mathcal{P}$, Σ_1 -formula φ in \mathcal{L}_ε and $a \in \mathcal{H}(\kappa)$, $\Vdash_{\mathbb{P}} \varphi(a) \Leftrightarrow \varphi(a)$.
- (c) For any $\mathbb{P} \in \mathcal{P}$ and (V, \mathbb{P}) -generic G , $\mathcal{H}(\kappa)^V \prec_{\Sigma_1} \mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]}$.

► $\text{BFA}_{<\kappa}(\mathcal{P})$ is the Bounded Forcing Axiom for \mathcal{P} .

[[For any $\mathbb{P} \in \mathcal{P}$ and any family of \mathcal{D} dense subsets of \mathbb{P} with $|\mathcal{D}| < \kappa$, and s.t. each $D \in \mathcal{D}$ is generated by some $D' \subseteq D$ with $|D'| < \kappa$, ...]]

[2] Joan Bagaria, Bounded forcing axioms as principles of generic absoluteness, Archive of Mathematical Logic, Vol.39, (2000), 393-401.

- Recurrence Axiom for a class \mathcal{P} of p.o.s and a set A ([S.F. & Usuba]) is the axiom scheme expressing:

$(\mathcal{P}, A)\text{-RcA}$: For any \mathcal{L}_ε -formula $\varphi = \varphi(\bar{x})$ and $\bar{a} \in A$,
if $\Vdash_{\mathbb{P}} \text{“}\varphi(\bar{a})\text{”}$ for a $\mathbb{P} \in \mathcal{P}$, then
there is a ground W of the universe V s.t. $\bar{a} \in W$ and $W \models \varphi(\bar{a})$.

- * An inner model W of V is called a **ground** if there is a p.o. $\mathbb{P} \in W$ and (W, \mathbb{P}) -generic \mathbb{G} s.t. $V = W[\mathbb{G}]$.

- The following is a natural strengthening of the Recurrence Axiom ([S.F. & Usuba]):

$(\mathcal{P}, A)\text{-RcA}^+$: For any \mathcal{L}_ε -formula $\varphi = \varphi(\bar{x})$ and any $\bar{a} \in A$,
if $\Vdash_{\mathbb{P}} \text{“}\varphi(\bar{a})\text{”}$ for a $\mathbb{P} \in \mathcal{P}$, then
there is a \mathcal{P} -ground W of the universe V s.t. $\bar{a} \in W$ and $W \models \varphi(\bar{a})$.

- * An inner model W of V is called a \mathcal{P} -ground if there is a p.o. $\mathbb{P} \in W$ with $W \models \text{“}\mathbb{P} \in \mathcal{P}\text{”}$, and (W, \mathbb{P}) -generic G s.t. $V = W[G]$.

Recurrence Axiom⁺ = Maximality Principle

Generic Absoluteness Revisited (8/21)

- A non-empty class \mathcal{P} of p.o.s is **iterable** if it satisfies: ① $\{1\} \in \mathcal{P}$, ① \mathcal{P} is closed w.r.t. forcing equivalence (i.e. if $\mathbb{P} \in \mathcal{P}$ and $\mathbb{P} \sim \mathbb{P}'$ then $\mathbb{P}' \in \mathcal{P}$), ② closed w.r.t. restriction, and ③ for any $\mathbb{P} \in \mathcal{P}$ and \mathbb{P} -name \mathbb{Q} , $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ implies $\mathbb{P} * \mathbb{Q} \in \mathcal{P}$.

- * For an iterable \mathcal{P} , an $\mathcal{L}_{\varepsilon}$ -formula $\varphi(\bar{a})$ with parameters $\bar{a} (\in V)$ is said to be a **\mathcal{P} -button** if there is $\mathbb{P} \in \mathcal{P}$ s.t. for any \mathbb{P} -name \mathbb{Q} of p.o. with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$, we have $\Vdash_{\mathbb{P} * \mathbb{Q}} \text{“}\varphi(\bar{a})\text{”}$.
- * If $\varphi(\bar{a})$ is a \mathcal{P} -button then we call \mathbb{P} as above a **push of the button $\varphi(\bar{a})$** .

- The **Maximality Principle** $\text{MP}(\mathcal{P}, A)$ for an iterable \mathcal{P} is the assertion expressed as an axiom scheme in $\mathcal{L}_{\varepsilon}$ (Hamkins ^[3]):

$\text{MP}(\mathcal{P}, A)$: For any $\mathcal{L}_{\varepsilon}$ -formula $\varphi(\bar{x})$ and $\bar{a} \in A$, if $\varphi(\bar{a})$ is a \mathcal{P} -button then $\varphi(\bar{a})$ holds.

^[3] Joel Hamkins, A simple maximality principle, The Journal of Symbolic Logic, Vol.68, no.7, (2003), 527–550.


Proposition 3. Suppose that \mathcal{P} is an iterable class of p.o.s and A a set (of parameters). $(\mathcal{P}, A)\text{-RcA}^+$ is equivalent to $\text{MP}(\mathcal{P}, A)$.

Proof.

Identity crisis

Inner Model Hypothesis (IMH) (Sy-D. Friedman) If a property φ holds in an inner model of an outer model, then there is an inner model of the universe which also satisfies the property φ .

Proposition 4. For a class \mathcal{P} of p.o.s with $\{\mathbb{1}\} \in \mathcal{P}$ and a set A (of parameters), $(\mathcal{P}, A)\text{-RcA}^+$ is equivalent to the ZFC version of IMH:

For any \mathcal{L}_ε -formula $\varphi = \varphi(\bar{x})$ and any $\bar{a} \in A$, if a $\mathbb{P} \in \mathcal{P}$ forces “there is a ground M with $\bar{a} \in M$ satisfying $\varphi(\bar{a})$ ”, then there is a \mathcal{P} -ground W of V s.t. $\bar{a} \in W$ and $W \models \varphi(\bar{a})$. 

► These equivalences in Propositions 3, 4 are also mentioned in [4].

[4] Neil Barton, Andrés Eduardo Caicedo, Gunter Fuchs, Joel David Hamkins, Jonas Reitz, and Ralf Schindler, Inner-Model Reflection Principles, *Studia Logica*, Vol.108, (2020),573–595.

Solution(s) of Continuum Problem under Recurrence Axiom Generic Absoluteness Revisited (10/21)

- For a family Γ of formulas (in \mathcal{L}_ε), we consider the following restricted version of Recurrence Axiom:

$(\mathcal{P}, A)_{\Gamma}\text{-RcA}^+ :$ For any Γ -formula $\varphi = \varphi(\bar{x})$ and $\bar{a} \in A$, if
 $\Vdash_{\mathbb{P}} \varphi(\bar{a})$ for a $\mathbb{P} \in \mathcal{P}$, then
 there is a \mathcal{P} -ground W of the universe V s.t. $\bar{a} \in W$ and $W \models \varphi(\bar{a})$.

- ▷ Let $\kappa_{\text{refl}} := \max\{N_2, 2^{N_0}\}$.

* κ_{refl} is a cardinal which appears as the reflection point (cardinal κ s.t. reflection down to $< \kappa$ holds) in many natural reflection principles.

Also we have κ_{refl} = the tightly \mathcal{P} -Laver-gen. large cardinal for many natural settings of \mathcal{P} and “large cardinal” if the generic large cardinal exists

Proposition 5. ([S.F. & Usuba]) If \mathcal{P} contains a p.o. which adds a real, as well as a p.o. which (preserves \aleph_1^V but) collapses \aleph_2^V (e.g. $\mathcal{P} = \text{proper p.o.s}$), then $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_2$.

Proposition 6. ([S.F. & Usuba]) If \mathcal{P} contains a p.o. which preserves \aleph_1^V but collapses \aleph_2 , and also a p.o. which collapses \aleph_1^V (e.g. $\mathcal{P} = \text{all p.o.s}$), then $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_1$.

Solution(s) of Continuum Problem under Recurrence Axiom (2/3) Generic Absoluteness Revisited (11/21)

Proposition 5. ([S.F. & Usuba]) If \mathcal{P} contains a p.o. which adds a real, as well as a p.o. which (preserves \aleph_1^V but) collapses \aleph_2^V (e.g. \mathcal{P} = proper p.o.s), then $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_2$.

Proposition 6. ([S.F. & Usuba]) If \mathcal{P} contains a p.o. which preserves \aleph_1^V but collapses \aleph_2 , and also a p.o. which collapses \aleph_1^V (e.g. \mathcal{P} = all p.o.s), then $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_1$.

- In Proposition 5, I put “preserves \aleph_1^V but” in parentheses because of the following Lemma 7, (1):

Lemma 7. ([S.F. & Usuba]) (1) Suppose that $(\mathcal{P}, \mathcal{H}(\aleph_2))_{\Sigma_1}$ -RcA holds. Then all elements of \mathcal{P} are stat. preserving.
(2) Assume $(\mathcal{P}, A)_{\Sigma_1}$ -RcA. If \mathcal{P} contains a p.o. adding a real, then $\mathcal{P}(\omega) \notin A$. If \mathcal{P} contains a p.o. collapsing $\kappa > \omega$ then $\kappa \notin A$.

- ▷ Lemma 7, (2) shows that $\mathcal{H}(\kappa_{\text{refl}})$ and $\mathcal{H}(2^{\aleph_0})$ in Recurrence Axioms in Lemmas 5,6 are maximal possible.

Proof of Propositions 5,6 & Lemma 7.

Proposition 8. Suppose that all $\mathbb{P} \in \mathcal{P}$ preserve cardinals, and \mathcal{P} contains p.o.s adding at least κ many reals for each $\kappa \in \text{Card}$ (This is the case e.g. if $\mathcal{P} = \text{ccc p.o.s}$). Then

- (a) $(\mathcal{P}, \emptyset)_{\Sigma_2}\text{-RcA}^+$ implies that 2^{\aleph_0} is very large.
- (b) $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_2}\text{-RcA}^+$ implies that 2^{\aleph_0} is a limit cardinal.

Thus, if 2^{\aleph_0} is regular in addition, then 2^{\aleph_0} is weakly inaccessible.

- (c) If there is a weakly inaccessible cardinal above 2^{\aleph_0} , then $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_2}\text{-RcA}^+$ implies that 2^{\aleph_0} is a limit of inaccessible cardinals.

Proof. (a): To prove e.g. that $2^{\aleph_0} > \aleph_\omega$, let $\mathbb{P} \in \mathcal{P}$ be s.t.

$\Vdash_{\mathbb{P}} "2^{\aleph_0} > \aleph_\omega"$. Then by $(\mathcal{P}, \emptyset)_{\Sigma_2}\text{-RcA}^+$, there is a \mathcal{P} -ground W of V s.t. $W \models 2^{\aleph_0} > \aleph_\omega$. Since V is \mathcal{P} -gen. extension of W and \mathcal{P} preserves cardinals, it follows that $V \models 2^{\aleph_0} > \aleph_\omega$.

(b): Suppose $\mu < 2^{\aleph_0}$. Then $\mu \in \mathcal{H}(2^{\aleph_0})$. There is $\mathbb{P} \in \mathcal{P}$ s.t.

$\Vdash_{\mathbb{P}} "2^{\aleph_0} > \mu^+"$. By $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_2}\text{-RcA}^+$, it follows that there is a \mathcal{P} -ground W of V which satisfies this statement. Since \mathcal{P} preserves cardinals it follows that $V \models 2^{\aleph_0} > \mu^+$. (c): ...

\square (Proposition 8)

- Maximality Principles and hence also Recurrence Axioms have relatively low consistency strength.

Theorem 9. (Hamkins [3], Asperó [5]) The following theories are equiconsistent to each other and they are also equiconsistent with ZFC + there are stationarily many inaccessibles:

ZFC + MP(all p.o.s, $\mathcal{H}(2^{\aleph_0})$), ZFC + MP(c.c.c p.o.s, $\mathcal{H}(2^{\aleph_0})$),

ZFC + MP(proper p.o.s, $\mathcal{H}(2^{\aleph_0})$),

ZFC + MP(semi-proper p.o.s, $\mathcal{H}(2^{\aleph_0})$).



- **Caution!!** The exact consistency strength of ZFC + MP(stationary preserving p.o.s, $\mathcal{H}(2^{\aleph_0})$) is not known and its lower bound is much higher than the consistency strength in Theorem 9.

[3] Joel Hamkins, A simple maximality principle, The Journal of Symbolic Logic Vol.68, no.7, (2003), 527–550.

[5] David Asperó, A Maximal Bounded Forcing, The Journal of Symbolic Logic, Vol.67, No.1 (2002), 130–142.

Bagaria's Absoluteness Th.

Theorem 10. (Ikegami, and Trang ^[6]) For an iterable class \mathcal{P} of p.o.s, and a cardinal κ the following are equivalent:

(a) $(\mathcal{P}, \mathcal{H}(\kappa))_{\Sigma_1}\text{-RcA}^+$. (b) $(\mathcal{P}, \mathcal{H}(\kappa))_{\Sigma_1}\text{-RcA}$. (c) $\text{BFA}_{<\kappa}(\mathcal{P})$. 

▷ Theorem 10 together with Proposition 5 implies

$$\text{BFA}_{<\kappa_{\text{refl}}}(\text{proper p.o.s}) \rightarrow 2^{\aleph_0} = \aleph_2.$$


Theorem 11. ([S.F. & Gappo & Parente]) Suppose that \mathcal{P} is an iterable Σ_n -definable class of p.o.s for $n \geq 2$ and $(\mathcal{P}, \mathcal{H}(\kappa))_{\Sigma_n \cup \Gamma\text{-RcA}^+}$ holds for an uncountable cardinal κ where Γ is a set of formulas which are conjunction of a Σ_2 -formula and a Π_2 -formula.

▷ Then, for any $\mathbb{P} \in \mathcal{P}$ s.t. $\Vdash_{\mathbb{P}} \text{“BFA}_{<\kappa}(\mathcal{P})\text{”}$, we have
 $\mathcal{H}(\mu^+)^V \prec_{\Sigma_2} \mathcal{H}(\mu^+)^{V[G]}$ for all $\mu < \kappa$ and for (V, \mathbb{P}) -generic G .

▷ Thus, we have $\mathcal{H}(\kappa)^V \prec_{\Sigma_2} \mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]}$.

cf.: Viale's Theorem

Proof of Theorem 11

[6] Daisuke Ikegami and Nam Trang, On a class of maximality principles, *Archive for Mathematical Logic*, Vol.57, (2018), 713–725. 

- For an iterable class \mathcal{P} of p.o.s, a cardinal κ is said to be (tightly) \mathcal{P} -Laver-generically ultrahuge, if

for any $\lambda > \kappa$ and $\mathbb{P} \in \mathcal{P}$ there is a \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$, s.t. for $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} , there are $j, M \subseteq V[\mathbb{H}]$ s.t. $j : V \xrightarrow{\sim}_{\kappa} M$, $j(\kappa) > \lambda$, $\mathbb{P}, \mathbb{H}, (V_{j(\lambda)})^{V[\mathbb{H}]} \in M$ and $|\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$ (more precisely: $\mathbb{P} * \mathbb{Q}$ is forcing equivalent to a p.o. of size $\leq j(\kappa)$).

Theorem 12. ([S.F. & Gappo & Parente]) If κ is tightly \mathcal{P} -Laver-gen. ultrahuge for an iterable class \mathcal{P} . Then $(\mathcal{P}, \mathcal{H}(\kappa))_{\Gamma}\text{-RcA}^+$ holds.

* $\Gamma =$ conjunctions of Σ_2 and Π_2 formulas.

Proof

► On the other hand:

Theorem 13. ([S.F.1]) Tightly \mathcal{P} -Laver-gen. ultrahugeness does not imply $\text{MP}(\mathcal{P}, \emptyset)$ (under the assumption of a large cardinal slightly more than the ultrahuge).

- The proof of Theorem 13 can be modified to show the non-implication of $(\mathcal{P}, \emptyset)_{\Pi_3}\text{-RcA}$ from a generic large cardinal for many instances of \mathcal{P} .

“ Γ ” in Theorem 12 for such \mathcal{P} is almost optimal.

super- $\mathcal{C}^{(\infty)}$...

► The following is a corollary of Theorem 11 (and Theorem 12 for (2)) :

Corollary 14. (1) Suppose that $(\mathcal{P}, \mathcal{H}(\kappa))$ -RcA⁺ holds for an iterable \mathcal{P} . Then, for any $\mathbb{P} \in \mathcal{P}$ s.t. $\Vdash_{\mathbb{P}} \text{BFA}_{<\kappa}(\mathcal{P})$, we have $\mathcal{H}(\mu^+)^V \prec_{\Sigma_2} \mathcal{H}(\mu^+)^{V[G]}$ for all $\mu < \kappa$ and for (V, \mathbb{P}) -generic G . Thus, $\mathcal{H}(\kappa)^V \prec_{\Sigma_2} \mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]}$.

(2) Suppose that κ is tightly \mathcal{P} -Laver-gen. ultrahuge for an iterable and Σ_2 -definable \mathcal{P} . Then, for any $\mathbb{P} \in \mathcal{P}$ s.t. $\Vdash_{\mathbb{P}} \text{BFA}_{<\kappa}(\mathcal{P})$, we have $\mathcal{H}(\mu^+)^V \prec_{\Sigma_2} \mathcal{H}(\mu^+)^{V[\mathbb{G}]}$ for all $\mu < \kappa$ and for (V, \mathbb{P}) -generic \mathbb{G} . Thus, $\mathcal{H}(\kappa)^V \prec_{\Sigma_2} \mathcal{H}((\kappa^{++})^{V[\mathbb{G}]})^{V[\mathbb{G}]}$. \square

► By a direct proof, we can improve (2) of the Corollary 14:

Theorem 15.([S.F. & Gappo & Parente]) For an iterable class \mathcal{P} of p.o.s, suppose that $\text{BFA}_{<\kappa}(\mathcal{P})$ holds, and κ is tightly \mathcal{P} -Laver-gen. huge. Then, for any $\mathbb{P} \in \mathcal{P}$ s.t. $\Vdash_{\mathbb{P}} \text{BFA}_{<\kappa}(\mathcal{P})$, we have $\mathcal{H}(\mu^+)^V \prec_{\Sigma_2} \mathcal{H}(\mu^+)^{V[G]}$ for all $\mu < \kappa$ and for (V, \mathbb{P}) -generic G . Thus, $\mathcal{H}(\kappa)^V \prec_{\Sigma_2} \mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]}$.

Theorem 15. ([S.F. & Gappo & Parente]) For an iterable class \mathcal{P} of p.o.s, suppose that $\text{BFA}_{<\kappa}(\mathcal{P})$ holds, and κ is tightly \mathcal{P} -Laver-gen. huge. Then, for any $\mathbb{P} \in \mathcal{P}$ s.t. $\Vdash_{\mathbb{P}} \text{BFA}_{<\kappa}(\mathcal{P})$, we have $\mathcal{H}(\mu^+)^V \prec_{\Sigma_2} \mathcal{H}(\mu^+)^{V[\mathbb{G}]}$ for all $\mu < \kappa$ and for (V, \mathbb{P}) -generic \mathbb{G} . Thus, $\mathcal{H}(\kappa)^V \prec_{\Sigma_2} \mathcal{H}((\kappa^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]}$.

Proof

- $\text{BFA}_{<\kappa}(\mathcal{P})$ in the assumption of Theorem 15 is absorbed in the Laver-genericity part of the assumption if we assume the Laver-genericity for a slightly (?) stronger notion of large cardinal:

Theorem 16. ([⁷], see also [S.F. & Gappo & Parente]) (1) Suppose that κ is \mathcal{P} -Laver-gen. supercompact. Then $\text{FA}_{<\kappa}(\mathcal{P})$ holds.
 (2) If all elements of the class \mathcal{P} of p.o.s are stationary preserving and κ is \mathcal{P} -Laver-gen. supercompact, then $\text{FA}_{<\kappa}^{+<\kappa}(\mathcal{P})$ holds. \square

[⁷] S.F., A. Ottenbreit Maschio Rodrigues, and H. Sakai, Strong Löwenheim-Skolem theorems for stationary logics, II — reflection down to the continuum, Archive for Mathematical Logic, Volume 60, issue 3-4, (2021), 495–523.

Ground Axiom and generic absoluteness

Generic Absoluteness Revisited (18/21)

- **The Ground Axiom (GA)** asserts that there is no proper ground of the universe V .

Theorem 17. $\text{MM}^{++} + \text{"there are class many supercompact cardinals"}$ is consistent with **GA**.

Proof. MM^{++} is preserved by $< \omega_2$ -directed closed forcing (Larson, Cox [8], Theorem 4.7). Starting from a model with cofinally many supercompact cardinals, use the first supercompact to force MM^{++} . Then the class forcing just like that in the proof of Laver's indestructibility theorem will produce a desired model. \square (Theorem 17)

Corollary 18. (cf. [S.F. & Gappo & Parente]) The conclusion of **Viale's Theorem**:

$$\mathcal{H}(\aleph_2)^V \prec_{\Sigma_2} \mathcal{H}(\aleph_2)^{V[G]} \quad \text{for all stationary preserving } \mathbb{P} \\ \text{and } (V, \mathbb{P})\text{-generic } G$$

is consistent with **GA**.

Proof. By **Viale's Absoluteness Theorem** and Theorem 17.

\square (Corollary 18)

[8] Sean D. Cox, Forcing axioms, approachability, and stationary set reflection, The Journal of Symbolic Logic Volume 86, Number 2, June 2021, 499–530.

Theorem 17. $MM^{++} + \text{“there are class many supercompact cardinals”}$ is consistent with GA.

Lemma 19. $GA + \mathfrak{b} > \aleph_1$ implies $\neg (ccc, \emptyset)_{\Sigma_2}\text{-RcA}$ and $\neg (ccc, \emptyset)_{\Pi_2}\text{-RcA}$.

Proof. Assume that $GA + MA + \neg CH$ holds. Let \mathbb{P} be a p.o. adding \aleph_1 Cohen reals then we have $\Vdash_{\mathbb{P}} \mathfrak{b} = \aleph_1$. If $(ccc, \emptyset)_{\Sigma_2}\text{-RcA}^+$ holds then, since $\mathfrak{b} = \aleph_1$ is Σ_2 , there is a ground satisfying this equation. The ground must be different from V since $V \models \mathfrak{b} > \aleph_1$. This is a contradiction.

► For $\neg (ccc, \emptyset)_{\Pi_1}\text{-RcA}^+$, argue similarly e.g. using the fact that $\mathfrak{b} < \mathfrak{d}$ is Π_2 .

□ (Lemma 19)

Corollary 20. ([S.F. & Gappo & Parente]) $MM^{++} + \text{“there are class many supercompact cardinals”}$ does not imply the existence of a tightly \mathcal{P} -Laver gen. ultrahuge cardinal for any class \mathcal{P} of p.o.s containing p.o. for adding \aleph_1 many Cohen reals.

Proof. Work in $ZFC + MM^{++} + \text{“there are class many supercompact cardinals”} + GA$ (Theorem 17). By Lemma 19 and Theorem 12, this theory proves that there is no tightly \mathcal{P} -Laver-gen. ultrahuge cardinal. □ (Corollary 20)

Some (presumably relatively easy) open problems

Generic Absoluteness Revisited (20/21)

- ▶ Is the conclusion of Theorems 11 and 15 consistent with GA for \mathcal{P} other than “stationary preserving” and with the continuum other than \aleph_2 ?
- ▶ Does (tightly) \mathcal{P} -Laver-gen. supercompactness already imply \neg GA ?

Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal

- ▶ The following strengthening of tightly \mathcal{P} -Laver-gen. ultrahugeness of κ (which is formulated in an axiom scheme) implies $\text{MP}(\mathcal{P}, \mathcal{H}(\kappa))$.
- ▶ For a natural number n , we call a cardinal κ **super- $\mathcal{C}^{(n)}$ -hyperhuge** if for any $\lambda_0 > \kappa$ there are $\lambda \geq \lambda_0$ with $V_\lambda \prec_{\Sigma_n} V$, and $j, M \subseteq V$ s.t. $j : V \xrightarrow{\prec_\kappa} M$, $j(\kappa) > \lambda$, $j^{(\lambda)}M \subseteq M$ and $V_{j(\lambda)} \prec_{\Sigma_n} V$.
- ▶ κ is **super- $\mathcal{C}^{(n)}$ -ultrahuge** if the condition above holds with “ $j^{(\lambda)}M \subseteq M$ ” replaced by “ $j^{(\kappa)}M \subseteq M$ and $V_{j(\lambda)} \subseteq M$ ”.
- ▷ If κ is super- $\mathcal{C}^{(n)}$ -hyperhuge then it is super- $\mathcal{C}^{(n)}$ -ultrahuge.
- ▶ We shall also say that κ is **super- $\mathcal{C}^{(\infty)}$ -hyperhuge** (**super- $\mathcal{C}^{(\infty)}$ -ultrahuge**, resp.) if it is super $\mathcal{C}^{(n)}$ -hyperhuge (super- $\mathcal{C}^{(n)}$ -ultrahuge, resp.) for all natural number n .
- ▶ A similar kind of strengthening of the notions of large cardinals which we call here “super- $\mathcal{C}^{(n)}$ ” appears also in Boney [Boney]. It is called “ $\mathcal{C}^{(n)+}$ ”, and is considered there in connection with extendibility.

[Boney] Will Boney, Model Theoretic Characterizations of Large Cardinals, Israel Journal of Mathematics, 236, (2020), 133–181.

Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal (2/6)

- ▶ For a natural number n and an iterable class \mathcal{P} of p.o.s, a cardinal κ is **super- $\mathcal{C}^{(n)}$ \mathcal{P} -Laver-generically ultrahuge** (super- $\mathcal{C}^{(n)}$ \mathcal{P} -Laver-gen. ultrahuge, for short) if, for any $\lambda_0 > \kappa$ and for any $\mathbb{P} \in \mathcal{P}$, there are a $\lambda \geq \lambda_0$ with $V_\lambda \prec_{\Sigma_n} V$, a \mathcal{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$, and j , $M \subseteq V[\mathbb{H}]$ s.t. $j : V \xrightarrow{\lambda} M$, $j(\kappa) > \lambda$, $\mathbb{P}, \mathbb{H}, V_{j(\lambda)}^{V[\mathbb{H}]} \in M$ and $V_{j(\lambda)}^{V[\mathbb{H}]} \prec_{\Sigma_n} V[\mathbb{H}]$.
- ▷ A super- $\mathcal{C}^{(n)}$ \mathcal{P} -Laver-generically ultrahuge cardinal κ is **tightly super- $\mathcal{C}^{(n)}$ \mathcal{P} -Laver-generically ultrahuge** (tightly super- $\mathcal{C}^{(n)}$ \mathcal{P} -Laver-gen. ultrahuge, for short), if $|\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$.
- ▶ **Super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahugeness** and **tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. ultrahugeness** are defined similarly to super- $\mathcal{C}^{(\infty)}$ ultrahugeness.
- ▶ Note that, in general, super- $\mathcal{C}^{(\infty)}$ hyperhugeness and super- $\mathcal{C}^{(\infty)}$ ultrahugeness are notions unformalizable in the language of ZFC without introducing a new constant symbol for κ since we need infinitely many \mathcal{L}_ε -formulas to formulate them.
- ▷ Exceptions are ...

Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal (3/6)

- ▷ Exceptions are when we are talking about a cardinal in a set model being with one of these properties, or when we are talking about a cardinal definable in V having these properties in an inner model. In the latter case, the situation is formalizable with infinitely many

 \mathcal{L}_ε -sentences.

Note that if κ is the \mathcal{P} -Laver gen. supercompact cardinal for a stationary preserving and iterable \mathcal{P} , then $\text{MA}^{++}(\mathcal{P} < \kappa)$ holds ([II]).

- In contrast, the super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. ultrahugeness of κ is expressible in infinitely many \mathcal{L}_ε -sentences. This is because a \mathcal{P} -Laver gen. large cardinal κ for relevant classes \mathcal{P} of p.o.s is uniquely determined as κ_{refl} or 2^{\aleph_0} (see e.g. [II] or [S.F.]).

Theorem 21. ([S.F. & Usaba]) Suppose that \mathcal{P} is an iterable class of p.o.s and κ is tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge. Then $(\mathcal{P}, \mathcal{H}(\kappa))\text{-RcA}^+$ (i.e. $\text{MP}(\mathcal{P}, \mathcal{H}(\kappa))$) holds.

Proof. Similarly to Theorem 12.

Corollary 21a. “there is a tightly super- \mathcal{C}^∞ (stationary preserving p.o.s) -Laver-gen. hyperhuge cardinal” is strictly stronger than MM^{++} . \square

Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal (4/6)

- Consistency of tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal for reasonable \mathcal{P} follows from 2-huge.

Lemma 22. ([S.F. & Usuba]) Suppose that κ is 2-huge with the 2-huge elementary embedding j , that is, $j : V \xrightarrow{\prec}_{\kappa} M \subseteq V$, for some $M \subseteq V$ and $j^{2(\kappa)}M \subseteq M$. Then

$V_{j(\kappa)} \models$ “ κ is super- $\mathcal{C}^{(\infty)}$ -hyperhuge cardinal”, and for each $n \in \omega$,
 $V_{j(\kappa)} \models$ “there are stationarily many super- $\mathcal{C}^{(n)}$ -hyperhuge cardinals”.



Theorem 23. ([S.F. & Usuba]) Suppose that μ is an inaccessible cardinal and κ is super- $\mathcal{C}^{(\infty)}$ -hyperhuge in V_{μ} . Then there is a Laver function $f : \kappa \rightarrow V_{\kappa}$ for super- $\mathcal{C}^{(\infty)}$ -hyperhugeness in V_{μ} .



Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal (5/6)

- Theorem 24.** ([S.F. & Usuba]) (1) Suppose that μ is inaccessible and $\kappa < \mu$ is super- $\mathcal{C}^{(\infty)}$ -ultrahuge in V_μ . Let $\mathbb{P} = \text{Col}(\aleph_1, \kappa)$. Then, in $V_\mu[\mathbb{G}]$, for any V_μ, \mathbb{P} -generic \mathbb{G} , $\aleph_2^{V_\mu[\mathbb{G}]} (= \kappa)$ is tightly super- $\mathcal{C}^{(\infty)}$ σ -closed-Laver-gen. ultrahuge and CH holds.
- (2) Suppose that μ is inaccessible and $\kappa < \mu$ is super- $\mathcal{C}^{(\infty)}$ -ultrahuge with a Laver function $f : \kappa \rightarrow V_\kappa$ for super- $\mathcal{C}^{(\infty)}$ -ultrahugeness in V_μ . If \mathbb{P} is the CS-iteration of length κ for forcing PFA along with f , then, in $V_\mu[\mathbb{G}]$ for any (V_μ, \mathbb{P}) -generic \mathbb{G} , $\aleph_2^{V_\mu[\mathbb{G}]} (= \kappa)$ is tightly super- $\mathcal{C}^{(\infty)}$ proper-Laver-gen. ultrahuge and $2^{\aleph_0} = \aleph_2$ holds.
- (2') Suppose that μ is inaccessible and $\kappa < \mu$ is super- $\mathcal{C}^{(\infty)}$ -ultrahuge with a Laver function $f : \kappa \rightarrow V_\kappa$ for super- $\mathcal{C}^{(\infty)}$ -ultrahugeness in V_μ . If \mathbb{P} is the RCS-iteration of length κ for forcing MM along with f , then, in $V_\mu[\mathbb{G}]$ for any (V_μ, \mathbb{P}) -generic \mathbb{G} , $\aleph_2^{V_\mu[\mathbb{G}]} (= \kappa)$ is tightly super- $\mathcal{C}^{(\infty)}$ semi-proper-Laver-gen. ultrahuge and $2^{\aleph_0} = \aleph_2$ holds.

Tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver-gen. ultrahuge cardinal (6/6)

- (3) Suppose that μ is inaccessible and κ is super- $\mathcal{C}^{(\infty)}$ -ultrahuge with a Laver function $f : \kappa \rightarrow V_\kappa$ for super- $\mathcal{C}^{(\infty)}$ -ultrahugeness in V_μ . If \mathbb{P} is a FS-iteration of length κ for forcing MA along with f , then, in $V_\mu[\mathbb{G}]$ for any (V_μ, \mathbb{P}) -generic \mathbb{G} , $2^{\aleph_0} (= \kappa)$ is tightly super- $\mathcal{C}^{(\infty)}$ c.c.c.-Laver-gen. ultrahuge, and κ is very large in $V_\mu[\mathbb{G}]$.
- (4) Suppose that μ is inaccessible and κ is super- $\mathcal{C}^{(\infty)}$ -ultrahuge with a Laver function $f : \kappa \rightarrow V_\kappa$ for super- $\mathcal{C}^{(\infty)}$ -ultrahugeness in V_μ . If \mathbb{P} is a FS-iteration of length κ along with f enumerating “all” p.o.s, then, in $V_\mu[\mathbb{G}]$ for any (V_μ, \mathbb{P}) -generic \mathbb{G} , $2^{\aleph_0} (= \aleph_1)$ is tightly super- $\mathcal{C}^{(\infty)}$ all p.o.s-Laver-gen. ultrahuge, and CH holds.



Bedrock of tightly \mathcal{P} -gen. hyperhuge cardinal

- Recall that a cardinal κ is **hyperhuge**, if for every $\lambda > \kappa$, there is $j : V \xrightarrow{\lambda}_{\kappa} M \subseteq V$ s.t. $\lambda < j(\kappa)$ and $j^{(\lambda)}M \subseteq M$. A hyperhuge cardinal κ can be characterized in terms of existence of κ -complete normal ultrafilters with certain additional properties (e.g. see [S.F. & Usuba]).
- For a class \mathcal{P} of p.o.s, a cardinal κ is **tightly \mathcal{P} -generic hyperhuge** (tightly \mathcal{P} -gen. hyperhuge, for short) if for any $\lambda > \kappa$, there is $\mathbb{Q} \in \mathcal{P}$ s.t. for a (V, \mathbb{Q}) -generic \mathbb{H} , there are $j, M \subseteq V[\mathbb{H}]$ s.t. $j : V \xrightarrow{\lambda}_{\kappa} M, \lambda < j(\kappa), |\mathbb{Q}| \leq j(\kappa)$, and $j''j(\lambda), \mathbb{H} \in M$.
- For a class \mathcal{P} of p.o.s, a cardinal κ is **tightly \mathcal{P} -Laver-generically hyperhuge** (tightly \mathcal{P} -Laver-gen. hyperhuge, for short) if for any $\lambda > \kappa$, and $\mathbb{P} \in \mathcal{P}$ there is a \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$ s.t. for a $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} , there are $j, M \subseteq V[\mathbb{H}]$ s.t. $j : V \xrightarrow{\lambda}_{\kappa} M, \lambda < j(\kappa), |\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$, and $j''j(\lambda), \mathbb{H} \in M$.

Bedrock of tightly \mathcal{P} -gen. hyperhuge cardinal (2/6)

For an iterable \mathcal{P} :

hyperhuge

tightly \mathcal{P} -Laver
gen. hyperhuge

tightly \mathcal{P} -gen. hyperhuge

tightly $\text{sup}_{\mathcal{P}}(\text{co})$ - \mathcal{P} -Laver gen,
hyperhuge

tightly $\text{sup}_{\mathcal{P}}(\text{co})$ - \mathcal{P} -Laver gen,
ultrahuge

Bedrock of tightly \mathcal{P} -gen. hyperhuge cardinal (3/6)

- For a cardinal κ , a ground W of the universe V is called a $\leq \kappa$ -ground if there is a p.o. $\mathbb{P} \in W$ of cardinality $\leq \kappa$ (in the sense of V) and (W, \mathbb{P}) -generic filter \mathbb{G} s.t. $V = W[\mathbb{G}]$.
- Let

$$\overline{W} := \bigcap \{W : W \text{ is a } \leq \kappa\text{-ground}\}.$$

Since there are only set many $\leq \kappa$ -grounds, \overline{W} contains a ground by Theorem 1.3 in [Usuba]. We shall call \overline{W} defined above the $\leq \kappa$ -mantle of V .

- The following theorem generalizes Theorem 1.6 in [Usuba].

Theorem 25. ([S.F. & Usuba]) Suppose that \mathcal{P} is any class of p.o.s. If κ is a tightly \mathcal{P} -gen. hyperhuge cardinal, then the $\leq \kappa$ -mantle is the smallest ground of V (i.e. it is the **bedrock** of V) and it is also a $\leq \kappa$ -ground.


[Usuba] Toshimichi Usuba, The downward directed grounds hypothesis and very large cardinals, Journal of Mathematical Logic, Vol. 17(2) (2017), 1–24.


Bedrock of tightly \mathcal{P} -gen. hyperhuge cardinal (4/6)

Theorem 25. ([S.F. & Usuba]) Suppose that \mathcal{P} is any class of p.o.s. If κ is a tightly \mathcal{P} -gen. hyperhuge cardinal, then the $\leq \kappa$ -mantle is the smallest ground of V (i.e. it is the **bedrock** of V) and it is also a $\leq \kappa$ -ground.

A very rough sketch of the Proof.

► Analyzing the proof of Theorem 25, we also obtain:


Theorem 26. ([S.F. & Usuba]) Suppose that \mathcal{P} is any class of p.o.s. If κ is a tightly \mathcal{P} -gen. hyperhuge cardinal, then κ is a hyperhuge cardinal in the bedrock \overline{W} of V . 

Theorem 27. ([S.F. & Usuba]) Suppose that \mathcal{P} is any class of p.o.s. If κ is a tightly super- $C^{(n)}$ \mathcal{P} -gen. hyperhuge cardinal, then κ is a super- C^n -hyperhuge cardinal in the bedrock \overline{W} of V . 


► These Theorems have many strong consequences. Some of them are ...

Equiconsistency as the Eternal Recurrence

Corollary 28.([S.F. & Usuba]) Suppose that \mathcal{P} is the class of all p.o.s. Then the following theories are equiconsistent:

- (a) ZFC + “there is a hyperhuge cardinal”.
- (b) ZFC + “there is a tightly \mathcal{P} -Laver gen. hyperhuge cardinal”.
- (c) ZFC + “there is a tightly \mathcal{P} -gen. hyperhuge cardinal”.
- (d) ZFC + “bedrock \overline{W} exists and ω_1 is a hyperhuge cardinal in \overline{W} ”. 

Corollary 29.([S.F. & Usuba]) Suppose that \mathcal{P} is one of the following classes of p.o.s: all semi-proper p.o.s; all proper p.o.s; all ccc p.o.s; all σ -closed p.o.s. Then the following theories are equiconsistent:

- (a) ZFC + “there is a hyperhuge cardinal”.
- (b) ZFC + “there is a tightly \mathcal{P} -Laver gen. hyperhuge cardinal”.
- (c) ZFC + “there is a tightly \mathcal{P} -gen. hyperhuge cardinal”.
- (d) ZFC + “bedrock \overline{W} exists and κ_{refl} is a hyperhuge cardinal in \overline{W} ”. 

Cf.: Theorem 24, and Theorem 27.

Equiconsistency as the Eternal Recurrence (2/2)

Corollary 30.([S.F. & Usuba]) Suppose that \mathcal{P} is the class of all p.o.s. Then the following theories are equiconsistent:

- (a) ZFC + “there is a tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. hyperhuge cardinal”.
- (b) ZFC + “bedrock \overline{W} exists and ω_1^V is a super- $\mathcal{C}^{(\infty)}$ -hyperhuge cardinal in \overline{W} ”.

Corollary 31.([S.F. & Usuba]) Suppose that \mathcal{P} is one of the following classes of p.o.s: all semi-proper p.o.s; all proper p.o.s; all ccc p.o.s; all σ -closed p.o.s. Then the following theories are equiconsistent:

- (a) ZFC + “there is a tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. hyperhuge cardinal”.
- (b) ZFC + “bedrock \overline{W} exists and κ_{refl}^V is a super- $\mathcal{C}^{(\infty)}$ -hyperhuge cardinal in \overline{W} ”.

Toward the Laver-generic Maximum

- ▶ The existence of tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. superhuge cardinal for the class \mathcal{P} of all semi-proper p.o.s is one of the strongest principle we considered so far. It implies the tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver gen. superhuge cardinal is $2^{\aleph_0} = \aleph_2$ and MM^{++} holds (see [II] or [S.F.1]), the existence of the bedrock (Theorem 25), and $(\mathcal{P}, \mathcal{H}(\aleph_2))\text{-RcA}^+$ (Theorem 21).
- ▷ MM^{++} implies many preferable set-theoretic axioms/principles including Woodin's (*) ([Aspero-Schindler]).

[Aspero-Schindler] David Asperó, and Ralf Schindler, Martin's Maximum++ implies Woodin's axiom (*). Annals of Mathematics, 193(3), (2021), 793-835.

- ▷ $(\mathcal{P}, \mathcal{H}(\aleph_2))\text{-RcA}^+$ claims that any property (even with any subset of ω_1 as parameter) forcable by a semi-proper p.o., is a theorem in some semi-proper ground. E.g. Cichón's Maximum is what happens in a semi-proper ground.
- ▶ Strong forms of Resurrection Axiom are also consequences of the existence of the super- $\mathcal{C}^{(\infty)}$ (semi-proper)-Laver gen. large cardinal:

Toward the Laver-generic Maximum (2/4)

- Suppose that \mathcal{P} is a class of p.o.s and μ^\bullet is a definition of a cardinal (e.g. " \aleph_1 ", " \aleph_2 ", " 2^{\aleph_0} ")
- The following boldface version of the Resurrection Axioms is considered in [Hamkins-Johnstone]:

$\mathbb{R}A_{\mathcal{H}(\mu^\bullet)}^{\mathcal{P}}$: For any $A \subseteq \mathcal{H}(\mu^\bullet)$ and any $\mathbb{P} \in \mathcal{P}$, there is a \mathbb{P} -name \mathbb{Q} of p.o. s.t. $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ and, for any $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} , there is $A^* \subseteq \mathcal{H}(\mu^\bullet)^{V[\mathbb{H}]}$ s.t. $(\mathcal{H}(\mu^\bullet)^V, A, \in) \prec (\mathcal{H}(\mu^\bullet)^{V[\mathbb{H}]}, A^*, \in)$.

Theorem 32. [S.F.1] For an iterable class of p.o.s \mathcal{P} , if κ_{refl} is tightly \mathcal{P} -Laver-gen. superhuge, then $\mathbb{RA}_{\mathcal{H}(\kappa_{\text{refl}})}^{\mathcal{P}}$ holds.

[Hamkins-Johnstone] Joel David Hamkins, and Thomas A. Johnstone, Strongly uplifting cardinals and the boldface resurrection axioms, *Archive for Mathematical Logic* Vol.56, (2017), 1115–1133.

Toward the Laver-generic Maximum (3/4)

- With a Laver-genericity corresponding to a larger large cardinal, we obtain the “tight” version of Unbounded Resurrection Principle in [Tsaprounis]:

TUR(\mathcal{P}) : For any $\lambda > \kappa_{\text{refl}}$, and $\mathbb{P} \in \mathcal{P}$, there exists a \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ s.t., for $(V, \mathbb{P} * \mathbb{Q})$ -gen. \mathbb{H} , there are $\lambda^* \in \text{On}$, and $j_0 \in V[\mathbb{H}]$ s.t. $j_0 : \mathcal{H}(\lambda)^V \xrightarrow{\sim}_{\kappa_{\text{refl}}} \mathcal{H}(\lambda^*)^{V[\mathbb{H}]}$, $j_0(\kappa_{\text{refl}}) > \lambda$, and $\mathbb{P} * \mathbb{Q}$ is forcing equivalent to a p.o. of size $j_0(\kappa_{\text{refl}})$.

Theorem 33. [S.F.1] For an iterable class \mathcal{P} , if κ_{refl} is tightly \mathcal{P} -Laver gen. ultrahuge, then TUR(\mathcal{P}) holds.

[Tsaprounis] Tsaprounis, On resurrection axioms, The Journal of Symbolic Logic, Vol.80, No.2, (2015), 587–608.

Toward the Laver-generic Maximum (4/4)

- We can even establish the consistency of:

- ▷ 2^{\aleph_0} is tightly super- $C^{(\infty)}$ (semi-proper)-Laver gen. superhuge + (all p.o.s, $\mathcal{H}(\aleph_1)^{\overline{W}}$)-RcA

A construction of a model: Work in a model V_λ where κ is super- $C^{(\infty)}$ -hyperhuge. Then $V_\kappa \prec V_\lambda$. Take an inaccessible $\delta < \kappa$ with $V_\delta \prec V_\lambda$. Use this to force (all p.o.s, $\mathcal{H}(\aleph_1)$)-RcA. κ is still super- $C^{(\infty)}$ -hyperhuge in the generic extension, so we can use it to force 2^{\aleph_0} to be tightly super- $C^{(\infty)}$ (semi-proper)-Laver gen. superhuge. (all p.o.s, $\mathcal{H}(\aleph_1)^{\overline{W}}$)-RcA survives this forcing. □

► Open Problems:

- ▷ Is there any natural axiom which would imply the combination of the principles above?
- ▷ A (possibly) related question: Is there anything similar to HOD dichotomy for the bedrock under a (tightly generic/tightly Laver-generic) very large cardinal?

Recurrence Axioms are monotonic in parameters

- For classes of p.o.s \mathcal{P} , \mathcal{P}' and sets A , A' of parameters, if $\mathcal{P} \subseteq \mathcal{P}'$ and $A \subseteq A'$, then we have

$$(\mathcal{P}', A')\text{-RcA} \Rightarrow (\mathcal{P}, A)\text{-RcA}.$$


- Note that, in general, we do not have similar implication between $\text{MP}(\mathcal{P}, A)$ and $\text{MP}(\mathcal{P}', A')$.

back

Proof of Propositions 5,6 and Lemma 7.

Proposition 5. If \mathcal{P} contains a p.o. which adds a real, as well as a p.o. which (preserves \aleph_1^V but) collapses \aleph_2^V (e.g. \mathcal{P} = proper p.o.s) then $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_2$.

Proof. Suppose that \mathcal{P} is as above and $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA holds.

- ▶ $2^{\aleph_0} \geq \aleph_2$: Otherwise CH holds. Then $\mathcal{P}(\omega)^V \in \mathcal{H}(\kappa_{\text{refl}})$. Hence “ $\exists x (x \subseteq \omega \wedge x \notin \mathcal{P}(\omega)^V)$ ” is a Σ_1 -formula with parameters from $\mathcal{H}(\kappa_{\text{refl}})$ and $\mathbb{P} \in \mathcal{P}$ adding a real forces (the formula in forcing language corresponding to) this formula.
- ▷ By $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA, the formula must hold in a ground. This is a contradiction.
- ▶ $2^{\aleph_0} \leq \aleph_2$: If $2^{\aleph_0} > \aleph_2$ then $\aleph_1^V, \aleph_2^V \in \mathcal{H}(2^{\aleph_0}) \subseteq \mathcal{H}(\kappa_{\text{refl}})$. Let $\mathbb{P} \in \mathcal{P}$ be a p.o. which preserves \aleph_1 but collapses \aleph_2 .
- ▷ Letting $\psi(x, y)$ a Σ_1 -formula saying “ $\exists f$ (f is a surjection from x to y)”, we have $\Vdash_{\mathbb{P}} “\psi((\aleph_1^V)^\vee, (\aleph_2^V)^\vee)”$.
- ▷ By $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_1}$ -RcA, the formula $\psi(\aleph_1^V, \aleph_2^V)$ must hold in a ground. This is a contradiction. 

Proof of Propositions 5,6 and Lemma 7. (2/3)

Proposition 6. If \mathcal{P} contains a p.o. which preserves \aleph_1^V but collapses \aleph_2 , and also a p.o. which collapses \aleph_1^V (e.g. $\mathcal{P} = \text{all p.o.s}$) then $(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))_{\Sigma_1}$ -RcA implies $2^{\aleph_0} = \aleph_1$.

Proof. We have $2^{\aleph_0} \leq \aleph_2$, by the second half of the proof of Proposition 5.

► If $2^{\aleph_0} = \aleph_2$, then $\aleph_1^V \in \mathcal{H}(2^{\aleph_0})$.

▷ Let $\mathbb{P} \in \mathcal{P}$ be a p.o. collapsing \aleph_1^V . I.e. $\Vdash_{\mathbb{P}} \text{“}\aleph_1^V \text{ is countable”}$.

Since “ \dots is countable” is Σ_1 , there is a ground M s.t.

$M \models \text{“}\aleph_1^V \text{ is countable”}$. This is a contradiction. \square (Proposition 6)

back

Proof of Propositions 5,6 and Lemma 7. (3/3)

- Lemma 7.** (1) Suppose that $(\mathcal{P}, \mathcal{H}(\aleph_2))_{\Sigma_1}$ -RcA holds. Then all elements of \mathcal{P} are \aleph_1 -preserving and stationary preserving.
- (2) Assume $(\mathcal{P}, A)_{\Sigma_1}$ -RcA. If \mathcal{P} contains a p.o. adding a real, then $\mathcal{P}(\omega) \notin A$. If \mathcal{P} contains a p.o. collapsing $\kappa > \omega$ then $\kappa \notin A$.

Proof. (1): Suppose otherwise and $\mathbb{P} \in \mathcal{P}$ is s.t.

$\Vdash_{\mathbb{P}} \aleph_1^V$ is countable". Note that $\omega, \aleph_1 \in \mathcal{H}(\kappa_{\text{refl}})$.

- By $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA, it follows that there is a ground W of V s.t. $W \models \aleph_1^V$ is countable". This is a contradiction.
- Suppose that $\mathbb{P} \in \mathcal{P}$ destroy the stationarity of $S \subseteq \omega_1$. Note that $\omega_1, S \in \mathcal{H}(\aleph_2)$. Let $\varphi = \varphi(y, z)$ be the Σ_1 -formula

$\exists x (y \text{ is a club subset of the ordinal } y \text{ and } z \cap x = \emptyset)$.

Then we have $\Vdash_{\mathbb{P}} \varphi(\omega_1, S)$ ". By $(\mathcal{P}, \mathcal{H}(\kappa_{\text{refl}}))_{\Sigma_1}$ -RcA, it follows that there is a ground $W \subseteq V$ s.t. $S \in W$ and $W \models \varphi(\omega_1, S)$. This is a contradiction.


- (2): By the first part of the proof of Proposition 5, and the proof of Proposition 6. □ (Lemma 7)

Proof of Theorem 12.

Theorem 12. ([S.F. & Gappo & Parente]) If κ is tightly \mathcal{P} -Laver-gen. ultrahuge for an iterable class \mathcal{P} . Then $(\mathcal{P}, \mathcal{H}(\kappa))_{\Gamma}\text{-RcA}^+$ holds.

Proof. We prove the case $\Gamma = \Sigma_2$.

p-Lg-RcA-0 in ...-revisited.pdf

Lemma 12a. If α is a limit ordinal and V_α satisfies a large enough fragment of ZFC, then for any $\mathbb{P} \in V_\alpha$ and (V, \mathbb{P}) -generic \mathbb{G} , we have $V_\alpha[\mathbb{G}] = V_\alpha^{V[\mathbb{G}]}$. 

- Assume that κ is tightly \mathcal{P} -Laver gen. ultrahuge for an iterable class \mathcal{P} of p.o.s. ▷ Suppose that $\varphi = \varphi(x)$ is Σ_2 -formula (in \mathcal{L}_ϵ),
- * The general case of a Γ -formula is proved similarly. $a \in \mathcal{H}(\kappa)$, and $\mathbb{P} \in \mathcal{P}$ is s.t.

$$(a) \quad V \models \Vdash_{\mathbb{P}} \varphi(a).$$

- Let $\lambda > \kappa$ be s.t. $\mathbb{P} \in V_\lambda$ and
- (0) $V_\lambda \prec_{\Sigma_n} V$ for a sufficiently large n .

In particular, we may assume that we have chosen the n above so that a sufficiently large fragment of ZFC holds in V_λ in the sense of Lemma 12a.

Proof of Theorem 12. (2/3)

Let \mathbb{Q} be a \mathbb{P} -name s.t. $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$, and for $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} , there are j , $M \subseteq V[\mathbb{H}]$ with

- (1) $j : V \xrightarrow{\sim}_{\kappa} M$,
- (2) $j(\kappa) > \lambda$,
- (3) $\mathbb{P} * \mathbb{Q}$, \mathbb{P} , \mathbb{H} , $V_{j(\lambda)}^{V[\mathbb{H}]} \in M$, and
- (4) $|\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$.

By (4), we may assume that the underlying set of $\mathbb{P} * \mathbb{Q}$ is $j(\kappa)$ and $\mathbb{P} * \mathbb{Q} \in V_{j(\lambda)}^V$.

Let $\mathbb{G} := \mathbb{H} \cap \mathbb{P}$. Note that $\mathbb{G} \in M$ by (3) and we have

Since $V_{j(\lambda)}^M (= V_{j(\lambda)}^{V[\mathbb{H}]})$ satisfies a sufficiently large fragment of ZFC by elementarity of j , and hence the equality follows by Lemma 12a

$$(5) \quad \underbrace{V_{j(\lambda)}^M}_{\text{by (3)}} = V_{j(\lambda)}^{V[\mathbb{H}]} = \overbrace{V_{j(\lambda)}^V}^{\text{by (3)}}[\mathbb{H}].$$

Thus, by (3), choice (0) of λ , and by the definability of grounds, we have $V_{j(\lambda)}^V \in M$ and $V_{j(\lambda)}^V[\mathbb{G}] \in M$.

Proof of Theorem 12. (3/3)

Claim 12b. $V_{j(\lambda)}^V[G] \models \varphi(a)$.

⊢ By Lemma 12a, $V_\lambda^V[G] = V_\lambda^{V[G]}$, and $V_{j(\lambda)}^V[G] = V_{j(\lambda)}^{V[G]}$ by (5). By (0), both $V_\lambda^{V[G]}$ and $V_{j(\lambda)}^{V[G]}$ satisfy large enough fragment of ZFC. Thus

$$(6) \quad V_\lambda^{V[G]} \prec_{\Sigma_1} V_{j(\lambda)}^{V[G]}.$$

By (a) and (0) we have $V_\lambda^{V[G]} \models \varphi(a)$. By (6) and since φ is Σ_2 , it follows that $V_{j(\lambda)}^{V[G]} \models \varphi(a)$. ⊢ (Claim 12b.)

Thus we have

$$(7) \quad M \models \text{“there is a } \mathcal{P}\text{-ground } N \text{ of } V_{j(\lambda)} \text{ s.t. } N \models \varphi(a)\text{”}.$$

By the elementarity (1), it follows that

$$(6) \quad V \models \text{“there is a } \mathcal{P}\text{-ground } N \text{ of } V_\lambda \text{ s.t. } N \models \varphi(a)\text{”}.$$

Now by (0), it follows that there is a \mathcal{P} -ground W of V s.t.

$$W \models \varphi(a).$$

□ (Theorem 12) □

A very rough sketch of the Proof of Theorem 14.

Theorem 14. ([S.F. & Usuba]) Suppose that \mathcal{P} is any class of p.o.s. If κ is a tightly \mathcal{P} -gen. hyperhuge cardinal, then the $\leq \kappa$ -mantle is the smallest ground of V (i.e. it is the **bedrock** of V) and it is also a $\leq \kappa$ -ground.

A rough sketch of the Proof.

- ▶ Suppose that κ is tightly \mathcal{P} -gen. hyperhuge and let \overline{W} be the $\leq \kappa$ -mantle.
- ▶ By Theorem 1.3 in [Usuba], it is enough to show that, for any ground $W \subseteq \overline{W}$ is actually a $\leq \kappa$ -ground and hence $W = \overline{W}$ holds.
- ▶ Let $W \subseteq \overline{W}$ be a ground. Let μ be the cardinality (in the sense of V) of a p.o. $\mathbb{S} \in W$ s.t. there is a (W, \mathbb{S}) -generic \mathbb{F} s.t. $V = W[\mathbb{F}]$. W.l.o.g., $\mu \geq \kappa$.
- ▶ By Laver-Woodin Theorem, there is $r \in V$ s.t. $W = \Phi(\cdot, r)^V$ for an \mathcal{L}_ε -formula Φ .
- ▶ Let $\theta \geq \mu$ be s.t. $r \in V_\theta$, and for a sufficiently large natural number n , we have $V_\theta^V \prec_{\Sigma_n} V$. By the choice of θ , $\Phi(\cdot, r)^{V_\theta^V} = \Phi(\cdot, r)^V \cap V_\theta^V = W \cap V_\theta^V = V_\theta^W$. Let $\mathbb{Q} \in \mathcal{P}$ s.t. for (V, \mathbb{Q}) -generic \mathbb{H} , there are j , $M \subseteq V[\mathbb{H}]$ with $j : V \xrightarrow{\sim}_\kappa M$, $\theta < j(\kappa)$, $|\mathbb{Q}| \leq j(\kappa)$, $V_{j(\theta)}^{V[\mathbb{H}]} \subseteq M$, and $\mathbb{H}, j''j(\theta) \in M$.

... (back and forth with j) ... Thus $V_\theta^{\overline{W}} \subseteq V_\theta^W$. Since θ can be arbitrary large, It follows that $\overline{W} \subseteq W$.



back



Proof of Theorem 11.

- Suppose that $\mathbb{P} \in \mathcal{P}$ is s.t. $\Vdash_{\mathbb{P}} \text{“BFA}_{<\kappa}(\mathcal{P})\text{”}$ and \mathbb{G} is a (V, \mathbb{P}) -generic set. Let $\varphi = \varphi(x)$ be a Σ_2 -formula in $\mathcal{L}_{\varepsilon}$, and $\varphi(x) = \exists y \psi(x, y)$ for a Π_1 -formula ψ in $\mathcal{L}_{\varepsilon}$. Let $\mu < \kappa$ and $a \in \mathcal{H}(\mu^+)$ ($\subseteq \mathcal{H}(\kappa)$). We have to show that $\mathcal{H}(\mu^+)^V \models \varphi(a) \Leftrightarrow \mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]} \models \varphi(a)$.
- Suppose first that $\mathcal{H}(\mu^+)^V \models \varphi(a)$. Let $b \in \mathcal{H}(\mu^+)^V$ be s.t. $\mathcal{H}((\mu^+)^V)^V \models \psi(a, b)$. Since we have $V \models \text{BFA}_{<\kappa}(\mathcal{P})$ by Ikegami-Trang Theorem 10, it follows that $\mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]} \models \psi(a, b)$ by Bagaria's Absoluteness Theorem 2, and thus $\mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]} \models \varphi(a)$.
Suppose now $\mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]} \models \varphi(a)$. By $(\mathcal{P}, \mathcal{H}(\kappa))_{\Sigma_n \cup \Gamma}\text{-RcA}^+$, there is a \mathcal{P} -ground W of V s.t.

$$* \quad W \models \text{“BFA}_{<\mu^+}(\mathcal{P}) \wedge \mathcal{H}(\mu^+) \models \varphi(a)\text{”}.$$

Note that the formula in (*) is Σ_n if $n \geq 3$ and Γ if $n = 2$.

Proof of Theorem 11. (2/2)

Let $b \in \mathcal{H}((\mu^+)^W)^W$ be s.t. $W \models \text{“}\mathcal{H}(\mu^+) \models \psi(a, b)\text{”}$. By Bagaria's Absoluteness Theorem 2, and since V is a \mathcal{P} -generic extension of W , it follows that $V \models \text{“}\mathcal{H}(\mu^+) \models \psi(a, b)\text{”}$ and hence $\mathcal{H}(\mu^+)^V \models \varphi(a)$.

- For the last statement of the present theorem, let φ be a Σ_2 -formula, and $a \in \mathcal{H}(\kappa)$. If $\mathcal{H}(\kappa) \models \varphi(a)$, then, by Lemma A1 below, there is $\mu < \kappa$ s.t. $\mathcal{H}(\mu^+) \models \varphi(a)$. By the first part of the theorem, it follows that $\mathcal{H}((\mu^+)^{V[G]})^{V[G]} \models \varphi(a)$. Thus $\mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]} \models \varphi(a)$ by Lemma A1. If $\mathcal{H}((\kappa^{(+)})^{V[G]})^{V[G]} \models \varphi(a)$, then there is $\mu < \kappa$ s.t. $\mathcal{H}((\mu^+)^{V[G]})^{V[G]} \models \varphi(a)$ (this is also shown using Lemma A1). Hence $\mathcal{H}((\mu^+)^V) \models \varphi(a)$ by the first part of the theorem.

□ (Theorem 11)

Lemma A1. (Levy) $\mathcal{H}(\kappa) \prec_{\Sigma_1} V$ for any cardinal $\kappa > \aleph_0$.



back

Proof of Proposition 3

Proposition 3. Suppose that \mathcal{P} is an iterable class of p.o.s and A a set (of parameters). $(\mathcal{P}, A)\text{-RcA}^+$ is equivalent to $\text{MP}(\mathcal{P}, A)$.

Proof. ► Suppose that $(\mathcal{P}, A)\text{-RcA}^+$ holds. We show that $\text{MP}(\mathcal{P}, A)$ holds. Let $\mathbb{P} \in \mathcal{P}$ be a push of the \mathcal{P} -button $\varphi(\bar{a})$.

- ▷ Let $\varphi'(\bar{x})$ be the formula saying $(*) \quad \forall \underline{Q} (\underline{Q} \in \mathcal{P} \rightarrow \Vdash_{\underline{Q}} \varphi(\bar{x}))$.
- ▷ Then we have $\Vdash_{\mathbb{P}} \varphi'(\bar{a})$. By $(\mathcal{P}, A)\text{-RcA}^+$, there is a \mathcal{P} -ground W of V s.t. $\bar{a} \in W$ and $W \models \varphi'(\bar{a})$ holds.
- ▷ By the definition $(*)$ of φ' , it follows that $V \models \varphi(\bar{a})$ holds.
- Now suppose that $\text{MP}(\mathcal{P}, A)$ holds, and $\mathbb{P} \in \mathcal{P}$ is s.t. $\Vdash_{\mathbb{P}} \varphi(\bar{a})$ for $\bar{a} \in A$.
- ▷ Let φ'' be a formula saying:

$(**) \quad \text{“there is a } \mathcal{P}\text{-ground } N \text{ s.t. } \bar{x} \in N \text{ and } N \models \varphi(\bar{x})\text{”}.$ [9]

Then $\varphi''(\bar{a})$ is a \mathcal{P} -button and \mathbb{P} is its push.

By $\text{MP}(\mathcal{P}, A)$, $\varphi''(\bar{a})$ holds in V and hence there is a \mathcal{P} -ground W of V s.t. $\bar{a} \in W$ and $W \models \varphi(\bar{a})$. This shows $(\mathcal{P}, A)\text{-RcA}^+$. \square (Proposition 3)

[9] This is formalizable in the language of ZFC by Laver-Woodin Theorem. See:

[back](#)

[9a] Jonas Reitz, The Ground Axiom, JSL, Vol.72, No.4 (2007), 1299–1317.

[9b] Joan Bagaria, Joel David Hamkins, Konstantinos Tsaprounis, Toshimichi Usuba, Superstrong and other large cardinals are never Laver indestructible, AML, Vol.55 (2016), 19–35.

Proof of Theorem 15.

Proof. Suppose that $\underbrace{\Vdash_{\mathbb{P}} \text{“} \mathcal{H}(\mu^+) \models \varphi(\bar{a}) \text{”}}_{\text{BFA}_{<\kappa}(\mathcal{P})}$ for $\mathbb{P} \in \mathcal{P}$ with $\mu < \kappa$, Σ_2 -formula φ and for $\bar{a} \in \mathcal{H}(\mu^+)$.

- ▶ Let \mathbb{G} be a (V, \mathbb{P}) -generic set. Then we have
 - (1) $V[\mathbb{G}] \models \text{“BFA}_{<\kappa}(\mathcal{P}) \wedge \mathcal{H}(\mu^+) \models \varphi(\bar{a})\text{”}$.
- ▶ Let $\varphi = \exists y \psi(\bar{x}, y)$ where ψ is a Π_1 -formula in \mathcal{L}_ε .
 Let $b \in \mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]}$. be s.t. $\mathcal{H}((\mu^+)^{V[\mathbb{G}]})^{V[\mathbb{G}]} \models \psi(\bar{a}, b)$.
- ▶ Since κ is tightly \mathcal{P} -Laver-gen. huge, there is a \mathbb{P} -name \mathbb{Q} with
 $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ s.t., for $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} with
 (2) $\mathbb{G} \subseteq \mathbb{H}$ (under the identification $\mathbb{P} \leq \mathbb{P} * \mathbb{Q}$),

there are $j, M \subseteq V[\mathbb{H}]$ s.t. $j : V \xrightarrow{\sim}_{\kappa} M$,

- (3) $|\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$ (by tightness),
- (4) $\mathbb{P}, \mathbb{P} * \mathbb{Q}, \mathbb{H} \in M$ and
- (5) $j'' j(\kappa) \in M$.

By (1), (2) and Bagaria's Absoluteness Theorem 2 (applied to $V[G]$), we have $V[\mathbb{H}] \models \psi(\bar{a}, b)$ and hence $V[\mathbb{H}] \models \mathcal{H}(\mu^+) \models \psi(\bar{a}, b)$.

Proof of Theorem 15. (2/2)

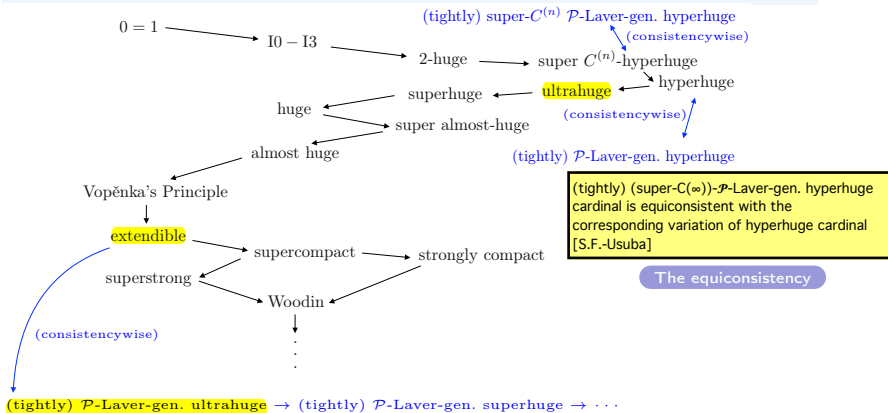
- ▶ By (3), (4) and (5), there is a \mathbb{P} -name of b in M . By (4), it follows that $b \in M$. By similar argument, we have $\mathcal{H}((\mu^+)^{\mathcal{V}[\mathbb{H}]})^{\mathcal{V}[\mathbb{H}]} \subseteq M$ and hence $\mathcal{H}((\mu^+)^{\mathcal{V}[\mathbb{H}]})^{\mathcal{V}[\mathbb{H}]} = \mathcal{H}((\mu^+)^M)^M \in M$. Thus we have $M \models \text{“}\mathcal{H}(\mu^+) \models \psi(\bar{a}, b)\text{”}$.
- ▶ By elementarity, it follows that $V \models \text{“}\mathcal{H}(\mu^+) \models \exists y \psi(\bar{a}, y)\text{”}$, and hence $V \models \text{“}\mathcal{H}(\mu^+) \models \varphi(\bar{a})\text{”}$ as desired.
- ▷ Suppose now that \mathbb{P} , μ , φ , \bar{a} are as above and assume that $V \models \text{“}\mathcal{H}(\mu^+) \models \varphi(\bar{a})\text{”}$ holds. For Π_1 -formula ψ as above let $b \in \mathcal{H}(\mu^+)^V$ be s.t. $V \models \text{“}\mathcal{H}(\mu^+) \models \psi(\bar{a}, b)\text{”}$. Since $V \models \text{BFA}_{<\kappa}(\mathcal{P})$ by assumption, it follows that $V[G] \models \psi(\bar{a}, b)$ by Bagaria's Absoluteness Theorem 2, and hence $V[G] \models \varphi(\bar{a})$.
The last assertion of the theorem follows by the same argument as that given at the end of the proof of Theorem 11. \square (Theorem 15.)

Additional slide 2: Identity crisis (or a resolution thereof)

► I am working on the following conjecture (suggested by G. Goldberg):

Proposition. A model with a/the tightly \mathcal{P} -Laver generically ultrahuge cardinal can be obtained starting from a model with an extendible cardinal.

Conjecture. A model with a/the tightly super- $\mathcal{C}^{(\infty)}$ \mathcal{P} -Laver generically ultrahuge cardinal can be obtained starting from a model with a super- $\mathcal{C}^{(\infty)}$ extendible cardinal, and this cardinal has relatively low consistency strength.



Additional slide 1: Identity crisis (or a resolution thereof)

- ▶ For many combination of \mathcal{P} , A , and Γ the exact consistency strength of $\text{MP}(\mathcal{P}, A)_\Gamma$ is known: they are usually quite low and compatible with $V = L$.
- ▷ For example for $\mathcal{P} = \text{ccc p.o.s, proper p.o.s, or semi-proper p.o.s}$, $\text{MP}(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))$ is known to be compatible with $V = L$.
- ▷ An exception is when $\mathcal{P} = \text{stationary preserving p.o.s}$. The known lower bound of $\text{MP}(\mathcal{P}, \mathcal{H}(2^{\aleph_0}))$ implies e.g. much more than $0^\#$ exists.
- ▶ On the other hand,

Theorem 34. MM^{++} (or even MM^{++} with class many, stationarily many etc. supercompact cardinals) does not imply any of $\text{MP}(\mathcal{P}, \emptyset)$ for any non-trivial \mathcal{P} .

Proof. MM^{++} (with class many supercompact cardinals) is compatible with GA (Ground Axiom) while $\text{MP}(\mathcal{P}, \emptyset)$ for any non-trivial \mathcal{P} implies $\neg \text{GA}$. ◻

back