

# On Magidor's characterization of supercompact cardinals as Löwenheim-Skolem numbers of the second-order logic

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- ▶ **Notation:** A structure  $\mathfrak{A}$  is a (first-order) structure of countable signature (if not mentioned otherwise).
  - ▷ For a structure  $\mathfrak{A}$ , we denote with  $|\mathfrak{A}|$  the underlying set of  $\mathfrak{A}$ , and  $\|\mathfrak{A}\|$  the cardinality (of the underlying set) of  $\mathfrak{A}$ .
- Cf.: if  $X$  is a set, we denote with  $|X|$  the cardinality of  $X$ .

**Theorem 1.** (Downward Löwenheim-Skolem Theorem) For any uncountable cardinal  $\kappa$  and a structure  $\mathfrak{A}$  (of countable signature) if  $S \subseteq |\mathfrak{A}|$  is of cardinality  $< \kappa$ , then there is  $\mathfrak{B} \prec \mathfrak{A}$  s.t.  $S \subseteq |\mathfrak{B}|$  and  $\|\mathfrak{B}\| < \kappa$ . 

- Let  $\mathcal{L}$  be a logic with a notion  $\prec_{\mathcal{L}}$  of elementary substructure. The Löwenheim-Skolem spectrum of the logic  $\mathcal{L}$  is defined as:

$$LSS(\mathcal{L}) := \{ \mu \in \text{Card} : \text{for any structure } \mathfrak{A} \text{ of a countable signature} \\ \text{and } S \subseteq |\mathfrak{A}| \text{ with } |S| < \mu, \\ \text{there is } \mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A} \text{ s.t. } S \subseteq |\mathfrak{B}| \text{ and } \|\mathfrak{B}\| < \mu \}.$$

- ▷ Denoting the first-order logic with  $L$ , (the classical) Downward Löwenheim-Skolem Theorem can be reformulated as:

**Theorem 2.**  $LSS(L) = \{ \kappa \in \text{Card} : \kappa \geq \aleph_1 \}.$

**Lemma 2a.** For a logic  $\mathcal{L}$  (with natural properties expected to a “logic”), we have

$$LSS(\mathcal{L}) = \{ \mu \in \text{Card} : \text{for any structure } \mathfrak{A} \text{ with a signature of} \\ \text{size } < \mu, \text{ there is } \mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A} \text{ s.t. } \|\mathfrak{B}\| < \mu \}.$$

**Lemma 2b.** For any logic  $\mathcal{L}$ ,  $LSS(\mathcal{L})$  is a closed class of cardinals.

**Proof.** Suppose that  $\langle \kappa_\alpha : \alpha < \delta \rangle$  is a strictly increasing sequence in  $LSS(\mathcal{L})$  and  $\kappa = \sup_{\alpha < \delta} \kappa_\alpha$ . We want to show that  $\kappa \in LSS(\mathcal{L})$ .

- Suppose that  $\mathfrak{A}$  is a structure and  $S \subseteq [|\mathfrak{A}|]^{<\kappa}$ . Let  $\alpha < \delta$  be s.t.  $|S| < \kappa_\alpha$ . Since  $\kappa_\alpha \in LSS(\mathcal{L})$ , there is a  $\mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A}$  s.t.  $S \subseteq |\mathfrak{B}|$  and  $\|\mathfrak{B}\| < \kappa_\alpha < \kappa$ . This shows that  $\kappa \in LSS(\mathcal{L})$ .  $\square$  (Lemma 2b)

- ▶ Let  $L(Q)$  be the logic obtained from the first-order logic by adding a new unary (first-order) quantifier  $Q$ .  
Interpretation:  $Qx \dots \Leftrightarrow$  "there are uncountably many  $x$  s.t.  $\dots$ ".
- ▷ The proof of the following theorem was given in my previous talk at [Tokyo Model Theory Seminar](#) (see the [\[slides of the talk\]](#)):

**Theorem 3.**  $LSS(L(Q)) = \{\kappa \in \text{Card} : \kappa \geq \aleph_2\}$ .

- ▶  $\mathcal{L}_{stat}^{\aleph_0}$  is the monadic second order logic whose second-order variables run over countable subsets of the underlying set of the structure, with new quantifier with the quantification  $stat \underbrace{X}_{\text{second-order variable}}$  whose interpretation is "there are stationarily many  $X$  s.t.  $\dots$ ".  
In my next talk, I will present some results about  $LSS(\mathcal{L}_{stat}^{\aleph_0})$ . E.g.:

**Theorem 4.** (see Fuchino, Ottenbreit Maschio Rodrigues, and Sakai [2021])

For any  $n \in \mathbb{N}$ ,  $n \geq 2$ , the statement " $\aleph_n = \min LSS(\mathcal{L}_{stat}^{\aleph_0})$ " is independent from ZFC (modulo a large cardinal).

- ▶  $\mathcal{L}^{\text{II}}$  denotes the (monadic, full) second-order logic with second-order variables  $X, Y, Z$  etc. running over all subsets of the underlying set of a structure. In addition to the constructs of the first-order logic, we have the symbol  $\varepsilon$  as a logical binary predicate and allow the expression " $x \varepsilon X$ " for a first order variable  $x$  and a second-order variable  $X$  as an atomic formula. We also allow the quantification of the form " $\exists X$ " (and its dual " $\forall X$ ") over the second-order variables  $X$ .
- ▷ The relation symbol  $\varepsilon$  is interpreted as the (real) element relation and the interpretation of the quantifier  $\exists X$  in  $\mathcal{L}^{\text{II}}$  is defined by:

$$\mathfrak{A} \models \exists X \varphi(a_0, \dots, a_{m-1}, B_0, \dots, B_{n-1}, X) \quad :\Leftrightarrow$$

there exists a  $B \in \mathcal{P}(|\mathfrak{A}|)$  s.t.  $\mathfrak{A} \models \varphi(a_0, \dots, a_{m-1}, B_0, \dots, B_{n-1}, B)$

for a first-order structure  $\mathfrak{A}$ , an  $\mathcal{L}^{\text{II}}$ -formula  $\varphi$  in the signature of the structure  $\mathfrak{A}$  with  $\varphi = \varphi(x_0, \dots, x_{m-1}, X_0, \dots, X_{n-1}, X)$  where  $x_0, \dots, x_{m-1}$  and  $X_0, \dots, X_{n-1}, X$  are first- and second-order variables,  $a_0, \dots, a_{m-1} \in |\mathfrak{A}|$ , and  $B_0, \dots, B_{n-1} \in \mathcal{P}(|\mathfrak{A}|)$ .

$\mathfrak{B} \prec_{\mathcal{L}^{\text{II}}} \mathfrak{A} \iff \mathfrak{B} \models \varphi(b_0, \dots, b_{n-1})$  holds if and only if  $\mathfrak{A} \models \varphi(b_0, \dots, b_{n-1})$  holds for all formulas  $\varphi = \varphi(x_0, \dots)$  in  $\mathcal{L}^{\text{II}}$  without free second-order variables, and for all  $b_0, \dots, b_{n-1} \in |\mathfrak{B}|$ .

- ▷ Exclusion of second-order free variables and parameters in this context is natural because of the following trivial example:

**Example 5.** Let  $\mathfrak{B} \subsetneq \mathfrak{A}$ . Let  $B = |\mathfrak{B}|$ . Then

$$\mathfrak{A} \models \exists x (x \notin B) \quad \text{but} \quad \mathfrak{B} \models \neg \exists x (x \notin B).$$

**Theorem 6.** (M. Magidor [1971])

$$\text{LSS}(\mathcal{L}^{\text{II}}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$$

- ▶ A cardinal  $\kappa$  is **supercompact** if, for any  $\lambda \geq \kappa$ , there are transitive class  $M$  and elementary embedding  $j : V \rightarrow M$  s.t.  $\kappa$  is the smallest ordinal moved by  $j$  (**critical point of  $j$** : we denote these conditions as  $j : V \overset{\lambda}{\rightarrow}_{\kappa} M$ ),  $j(\kappa) > \lambda$  and  $[M]^\lambda \subseteq M$ .

[Back to the proof of Proposition 12.](#)

# Full second order logic (3/6)

Theorem 6. (M. Magidor [1971])

$LSS(\mathcal{L}^{\text{II}}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$

**Proof.** " $\supseteq$ ": Since  $LSS(\mathcal{L}^{\text{II}})$  is closed (Lemma 2b), it is enough to prove that supercompact cardinals belong to  $LSS(\mathcal{L}^{\text{II}})$ .

- ▶ Suppose that  $\kappa$  is supercompact and  $\mathfrak{A}$  a structure in a countable signature. W.l.o.g.,  $|\mathfrak{A}|$  is a cardinal  $\lambda_0 < \lambda$  and let  $S \subseteq [\lambda_0]^{<\kappa} (= [|\mathfrak{A}|]^{<\kappa})$
- ▶ Let  $j : V \xrightarrow{\kappa} M$  be s.t.  $j(\kappa) > \lambda$  and  $[M]^\lambda \subseteq M$ .
- ▷ Then  $\mathfrak{A}, j(\mathfrak{A}) \upharpoonright j''\lambda_0, j \upharpoonright \lambda_0 \in M, M \models j \upharpoonright \lambda_0 : \mathfrak{A} \xrightarrow{\cong} j(\mathfrak{A}) \upharpoonright j''\lambda_0$  and  $\mathcal{P}(|\mathfrak{A}|)^V = \mathcal{P}(|\mathfrak{A}|)^M$ . For any  $\mathcal{L}^{\text{II}}$ -formula  $\varphi = \varphi(x_0, \dots)$  without free second order variables, and any  $a_0, \dots \in |\mathfrak{A}|$ ,  
$$M \models j(\mathfrak{A}) \models \varphi(j(a_0), \dots) \Leftrightarrow V \models \mathfrak{A} \models \varphi(a_0, \dots)$$
$$\Leftrightarrow M \models \mathfrak{A} \models \varphi(a_0, \dots) \Leftrightarrow M \models j(\mathfrak{A}) \upharpoonright j''\lambda_0 \models \varphi(j(a_0), \dots).$$
- ▶ Thus  $M \models j(\mathfrak{A}) \upharpoonright j''\lambda_0 \prec_{\mathcal{L}^{\text{II}}} j(\mathfrak{A})$ ,  
$$\|j(\mathfrak{A}) \upharpoonright j''\lambda_0\| < j(\kappa), j(S) = j''S \subseteq |j(\mathfrak{A}) \upharpoonright j''\lambda_0|.$$
- ▷ By elementarity,  $V \models$  there is  $\mathfrak{B} \prec_{\mathcal{L}^{\text{II}}} \mathfrak{A}$  s.t.  $S \subseteq |\mathfrak{B}|$  and  $\|\mathfrak{B}\| < \kappa$ .



Theorem 6. (M. Magidor [1971])

$LSS(\mathcal{L}^{II}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$

“ $\subseteq$ ”: The proof of this direction uses the following characterization of supercompact cardinals by Magidor:

Theorem 7. (M. Magidor [1971], see Theorem 22.10 [Kanamori])

A cardinal  $\kappa$  is supercompact

$\Leftrightarrow$  for class many  $\zeta > \kappa$ , there is  $\alpha < \kappa$  with  $e : V_\alpha \xrightarrow{\delta} V_{\zeta+\omega}$   
for a  $\delta < \alpha$  s.t.  $e(\delta) = \kappa$ .

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Theorem 6. (M. Magidor [1971])

$LSS(\mathcal{L}^{\text{II}}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$

“ $\subseteq$ ”: Assume that  $\kappa \in LSS(\mathcal{L}^{\text{II}})$  and suppose  $\mu < \kappa$ . We have to show that there is a supercompact cardinal  $\delta$  with  $\mu < \delta \leq \kappa$ .

► First, note that there is an  $\mathcal{L}^{\text{II}}$ -sentence  $\varphi^*$  s.t.

▷  $\langle X, E \rangle \models \varphi^* \Leftrightarrow E$  is well-founded and extensional binary relation and  $mcol(\langle X, E \rangle) = \langle V_\gamma, \in \rangle$  for some  $\gamma$ .

For each  $\lambda \geq \kappa$ , let  $\mathfrak{A}_\lambda = \langle V_{\lambda+\omega}, \kappa, \in \rangle$ . By the choice of  $\kappa$ , there is  $\mathfrak{B}_{\mu,\lambda} \prec_{\mathcal{L}^{\text{II}}} \mathfrak{A}_\lambda$  s.t. (1)  $\mu \subseteq |\mathfrak{B}_{\mu,\lambda}|$  and (2)  $\|\mathfrak{B}_{\mu,\lambda}\| < \kappa$ .

Theorem 6. (M. Magidor [1971])

$LSS(\mathcal{L}^{\text{II}}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$

“ $\subseteq$ ”: Assume that  $\kappa \in LSS(\mathcal{L}^{\text{II}})$  and suppose  $\mu < \kappa$ . We have to show that there is a supercompact cardinal  $\delta$  with  $\mu < \delta \leq \kappa$ .

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For each  $\lambda \geq \kappa$ , let  $\mathfrak{A}_\lambda = \langle V_{\lambda+\omega}, \kappa, \in \rangle$ . By the choice of  $\kappa$ , there is  $\mathfrak{B}_{\mu,\lambda} \prec_{\mathcal{L}^{\text{II}}} \mathfrak{A}_\lambda$  s.t. (1)  $\mu \subseteq |\mathfrak{B}_{\mu,\lambda}|$  and (2)  $\|\mathfrak{B}_{\mu,\lambda}\| < \kappa$ .

► We have  $\mathfrak{B}_{\mu,\lambda} \models \varphi^*$  by elementarity and since  $\mathfrak{A}_\lambda \models \varphi^*$ . Hence the Mostowski collapse of  $\mathfrak{B}_{\mu,\lambda}$  is of the form  $\langle V_\beta, \delta, \in \rangle$ . Let

$e_{\mu,\lambda} : V_\beta \xrightarrow{\cong} \mathfrak{B}_{\mu,\lambda} \prec_{\mathcal{L}^{\text{II}}} \mathfrak{A}_{\mu,\lambda}$  be the inverse of Mostowski collapsing function.

▷ Then we have  $e_{\mu,\lambda} \upharpoonright \mu = id_\mu$  by (1). Hence the critical point  $\delta_{\mu,\lambda}$  of  $e_{\mu,\lambda}$  is somewhere between  $\mu$  and  $\kappa$  (i.e.  $\mu \leq \delta_{\mu,\lambda} \leq \kappa$ ).

▷ Since there are only set many such cardinals, there is  $\mu \leq \delta_\mu^* \leq \kappa$  s.t. there are class many  $\lambda$ 's s.t.  $\delta_{\mu,\lambda} = \delta_\mu^*$ .

► By Theorem 7, it follows that  $\delta_\mu^*$  is supercompact.  $\square$  (Theorem 6)

**Theorem 6.** (M. Magidor [1971])

$$\text{LSS}(\mathcal{L}^{\text{II}}) = \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}.$$

- ▶ The proof of “ $\supseteq$ ” of **Theorem 6.** actually shows the following:
- ▷ Let  $\mathcal{L}^{\text{HO}}$  denote the higher order logic that is the union of  $n$ th order logics for all  $n \in \omega$ .
- ▷ Note that, if  $\mathcal{L}'$  has more expressive power than  $\mathcal{L}$  then we have  $\text{LSS}(\mathcal{L}') \subseteq \text{LSS}(\mathcal{L})$ .

**Corollary 8.** (M. Magidor [1971])

$$\begin{aligned} \text{LSS}(\mathcal{L}^{\text{II}}) &= \text{LSS}(\mathcal{L}^{\text{HO}}) \\ &= \{\kappa : \kappa \text{ is supercompact, or a limit of supercompact cardinals}\}. \end{aligned}$$

$$\text{Proof. } \text{LSS}(\mathcal{L}^{\text{II}}) \underbrace{\supseteq}_{\text{by the remark above}} \text{LSS}(\mathcal{L}^{\text{HO}}) \underbrace{\supseteq}_{\text{by a modification of the proof of Theorem 6. “}\supseteq\text{”}} \{\kappa : \dots\}$$

$$\underbrace{\supseteq}_{\text{Theorem 6. “}\subseteq\text{”}} \text{LSS}(\mathcal{L}^{\text{II}})$$

Theorem 6. “ $\subseteq$ ”

□ (Corollary 8)

► For a logic  $\mathcal{L}$ , the compactness spectrum of  $\mathcal{L}$  is defined as:

$CS(\mathcal{L}) := \{\kappa \in \text{Card} : \text{for any } \mathcal{L}\text{-theory } T \text{ (possibly of an uncountable signature), of size } \kappa, T \text{ is satisfiable if and only if all } S \in [T]^{<\kappa} \text{ are satisfiable}\}.$

► The strong compactness number of a logic  $\mathcal{L}$  is defined as:

$scn(\mathcal{L}) := \min(\{\kappa \in \text{Card} : \text{for any } \mathcal{L}\text{-theory } T \text{ (possibly of an uncountable signature) of any size, } T \text{ is satisfiable if and only if all } S \in [T]^{<\kappa} \text{ are satisfiable}\}).$

**Lemma 9.** For a logic  $\mathcal{L}$ ,  $\{\kappa \in \text{Card} : scn(\mathcal{L}) \leq \kappa\} \subseteq CS(\mathcal{L})$ .

**Proposition 10.**

$scn(\mathcal{L}^{\text{II}}) \leq$  the smallest extendible cardinal.

# The spectrum of compactness numbers of a logic (2/3)

**Proposition 10.** (follows from Theorem 11 below.)

$\text{scn}(\mathcal{L}^{\text{II}}) \leq$  the smallest extendible cardinal.

- ▶ A cardinal  $\kappa$  is **extendible** if, for any  $\eta > 0$ , there is  $j : V_{\kappa+\eta} \xrightarrow{\sim}_{\kappa} V_{\zeta}$  for some  $\zeta$  with  $\eta < j(\kappa)$ .
- ▶ For a cardinal  $\kappa$ ,  $\mathcal{L}_{\kappa,\omega}^{\text{II}}$  is the logic defined like  $\mathcal{L}^{\text{II}}$  but also conjunction and disjunction of  $< \kappa$  many formulas are allowed (while the number of free variables in such formulas is always kept finite).

**Theorem 11.** (M. Magidor [1971]), see Theorem 23.4 in [Kanamori]

The following are equivalent for  $\kappa > \omega$ :

- (a)  $\kappa$  is extendible.
  - (b) for any  $\mathcal{L}_{\kappa,\omega}^{\text{II}}$ -theory  $T^*$ , if all  $T \in [T^*]^{< \kappa}$  are satisfiable, then  $T^*$  is also satisfiable.
- ▶  $\kappa$  is the least extendible cardinal  $\underbrace{\Rightarrow}_{\text{by Theorem 11}} \kappa = \text{scn}(\mathcal{L}_{\kappa,\omega}^{\text{II}}) \geq \text{scn}(\mathcal{L}^{\text{II}})$ .

I will go into more detail of the following theorems in my next talk on Jun 1.:

**Theorem?? 12.** (M. Magidor) Let  $\kappa$  be the least extendible cardinal.

Then  $\text{scn}(\mathcal{L}^{\text{II}}) = \text{scn}(\mathcal{L}_{\kappa, \omega}^{\text{II}}) = \kappa$ .

**Theorem 13.** If  $\kappa$  is  $\sigma$ -closed-gen. supercompact, then  $\kappa \in \text{LSS}(\mathcal{L}_{\text{stat}}^{\aleph_0})$ .

**Theorem?? 14.**

If  $\kappa$  is  $\sigma$ -closed-gen. super-almost-huge, then  $\text{scn}(\mathcal{L}_{\text{stat}}^{\aleph_0}) \leq \kappa$ .

(Theorem 14 is false in this form: for a correct version of the theorem see the [slides of the next talk](#)).

- Note that  $\sigma$ -closed-gen. supercompact/super-almost-huge cardinals can be “small”. For example,  $\aleph_n$  for any  $n \geq \aleph_2$  can be  $\sigma$ -closed-gen. supercompact/super-almost-huge.

► For a class  $\mathcal{P}$  of p.o.s,

A cardinal  $\kappa$  is generically supercompact by  $\mathcal{P}$  ( $\mathcal{P}$  gen. supercompact, for short) if, for any  $\lambda \geq \kappa$ , there is  $\mathbb{P} \in \mathcal{P}$  s.t., for a  $(V, \mathbb{P})$ -generic  $\mathbb{G}$  there are  $j, M \subseteq V[\mathbb{G}]$  with  $V[\mathbb{G}] \models j : V \overset{\lambda}{\rightarrow} \kappa M$ ,  $j(\kappa) > \lambda$  and  $j''\lambda \in M$ .

A cardinal  $\kappa$  is generically super-almost-huge by  $\mathcal{P}$  ( $\mathcal{P}$  gen. superhuge, for short) if, for any  $\lambda \geq \kappa$ , there is  $\mathbb{P} \in \mathcal{P}$  s.t., for a  $(V, \mathbb{P})$ -generic  $\mathbb{G}$  there are  $j, M \subseteq V[\mathbb{G}]$  with  $V[\mathbb{G}] \models j : V \overset{\lambda}{\rightarrow} \kappa M$ ,  $j(\kappa) > \lambda$  and  $j''\mu \in M$  for all  $\mu < j(\kappa)$ .

**Theorem 13.** If  $\kappa$  is  $\sigma$ -closed-gen. supercompact, then  $\kappa \in \text{LSS}(\mathcal{L}_{stat}^{\aleph_0})$ .

**Theorem?? 14.**

If  $\kappa$  is  $\sigma$ -closed-gen. super-almost-huge, then  $\text{scn}(\mathcal{L}_{stat}^{\aleph_0}) \leq \kappa$ .

(Theorem 14 is false in this form: for a correct version of the theorem see the [slides of the next talk](#)).



Thank you for your attention!  
ご清聴ありがとうございました。

관심을 가져 주셔서 감사합니다

Gracias por su atención.

Dziękuję za uwagę.

Grazie per l'attenzione.

Dank u voor uw aandacht.

Ich danke Ihnen für Ihre Aufmerksamkeit.

## On the restriction to countable signatures

**Lemma 2a.** For a logic  $\mathcal{L}$  (with natural properties expected to a “logic”), we have

$$\text{LSS}(\mathcal{L}) = \{\mu \in \text{Card} : \text{for any structure } \mathfrak{A} \text{ with a signature of size } < \mu, \text{ there is } \mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A} \text{ s.t. } \|\mathfrak{B}\| < \mu\}.$$

**Proof.** “ $\subseteq$ ”: Suppose that  $\mu \in \text{LSS}(\mathcal{L})$  and let  $\mathfrak{A}$  be a structure with a signature of size  $\nu < \mu$ . W.l.o.g., we may assume that  $\mathfrak{A}$  is a relational structure and  $\mathfrak{A} = \langle |\mathfrak{A}|, R_{n,\alpha} \rangle_{n \in \omega, \alpha < \nu}$  where  $R_{n,\alpha}$  is an  $n$ -ary relation on  $|\mathfrak{A}|$  for  $n \in \omega$  and  $\alpha < \nu$ . We may also assume, w.l.o.g., that  $\|\mathfrak{A}\| \geq \mu$  and  $\nu \subseteq |\mathfrak{A}|$ .

- ▷ Let  $R_n := \bigcup_{\alpha < \nu} \{\alpha\} \times R_{n,\alpha}$  for each  $n \in \omega$ . Let  $\mathfrak{A}^- := \langle |\mathfrak{A}|, R_n \rangle_{n \in \omega}$ . Applying our assumption on  $\mu$ , we find  $\mathfrak{B}^- \prec_{\mathcal{L}} \mathfrak{A}^-$  with  $\|\mathfrak{B}^-\| < \mu$  and  $\nu \subseteq |\mathfrak{B}^-|$ . By the last condition, we can reconstruct a submodel  $\mathfrak{B}$  of  $\mathfrak{A}$  from  $\mathfrak{B}^-$  with the same underlying set and  $\mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A}$ .

## On the restriction to countable signatures (2/2)

**Lemma 2a.** For a logic  $\mathcal{L}$  (with natural properties expected to a “logic”), we have

$$\text{LSS}(\mathcal{L}) = \{\mu \in \text{Card} : \text{for any structure } \mathfrak{A} \text{ with a signature of size } < \mu, \text{ there is } \mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A} \text{ s.t. } \|\mathfrak{B}\| < \mu\}.$$

**Proof.** “ $\subseteq$ ”: Suppose that  $\mu \in \text{LSS}(\mathcal{L})$  and let  $\mathfrak{A}$  be a structure with a signature of size  $\nu < \mu$ . W.l.o.g., we may assume that  $\mathfrak{A}$  is a relational structure and  $\mathfrak{A} = \langle |\mathfrak{A}|, R_{n,\alpha} \rangle_{n \in \omega, \alpha < \nu}$  where  $R_{n,\alpha}$  is an  $n$ -ary relation on  $|\mathfrak{A}|$  for  $n \in \omega$  and  $\alpha < \nu$ . We may also assume, w.l.o.g., that  $\|\mathfrak{A}\| \geq \mu$  and  $\nu \subseteq |\mathfrak{A}|$ .

Let  $R_n := \bigcup_{\alpha < \nu} \{\alpha\} \times R_{n,\alpha}$  for each  $n \in \omega$ . Let  $\mathfrak{A}^- := \langle |\mathfrak{A}|, R_n \rangle_{n \in \omega}$ . Applying our assumption on  $\mu$ , we find  $\mathfrak{B}^- \prec_{\mathcal{L}} \mathfrak{A}^-$  with  $\|\mathfrak{B}^-\| < \mu$  and  $\nu \subseteq |\mathfrak{B}^-|$ . By the last condition, we can reconstruct an  $\mathcal{L}$ -elementary submodel  $\mathfrak{B}$  of  $\mathfrak{A}$  from  $\mathfrak{B}^-$  with the same underlying set.

“ $\supseteq$ ”: Suppose now that  $\mu$  is in the set on the right side of the equality. Let  $\mathfrak{A}$  be a structure of size  $\geq \mu$  with a countable signature, and  $S \in [|\mathfrak{A}|]^{< \mu}$ .

Let  $\mathfrak{A}^+ = \langle \mathfrak{A}, a \rangle_{a \in S}$ . Applying the assumption on  $\mu$ , we obtain  $\mathfrak{B}^+ \prec_{\mathcal{L}} \mathfrak{A}^+$  of size  $< \mu$ . Denoting by  $\mathfrak{B}$  the  $\mathfrak{B}^+$  reduced to the original language, we have  $\|\mathfrak{B}\| < \mu$ ,  $S \subseteq |\mathfrak{B}|$  and  $\mathfrak{B} \prec_{\mathcal{L}} \mathfrak{A}$ .

□ (Lemma 2a)