

# A/the (possible) solution of the Continuum Problem

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# The solution of the Continuum Problem

Continuum Problem (2/11)

## A ~~The~~ solution of the Continuum Problem

Continuum Problem (2/11)

- ▶ The continuum is either  $\aleph_1$  or  $\aleph_2$  or very large.

## A ~~The~~ solution of the Continuum Problem

- ▶ The continuum is either  $\aleph_1$  or  $\aleph_2$  or very large.
- ▷ Provided that a reasonable, and sufficiently strong reflection principle should hold.
- ▶ The continuum is either  $\aleph_1$  or  $\aleph_2$  or very large.
- ▷ Provided that a Laver-generically supercompact cardinal should exist. Under a Laver-generically supercompact cardinal, in each of the three scenarios, the respective reflection principle in the sense of above also holds.

## The results in the following slides ...

are going to appear in joint papers with André Ottenberreit Maschio Rodriques and Hiroshi Sakai:

- [1] Sakaé Fuchino, André Ottenberreit Maschio Rodriques and Hiroshi Sakai, Strong downward Löwenheim-Skolem theorems for stationary logics, I, Archive for Mathematical Logic (2020). <http://fuchino.ddd.jp/papers/SDLS-x.pdf>
- [2] Sakaé Fuchino, André Ottenberreit Maschio Rodriques and Hiroshi Sakai, Strong downward Löwenheim-Skolem theorems for stationary logics, II — reflection down to the continuum, to appear. <http://fuchino.ddd.jp/papers/SDLS-II-x.pdf>
- [3] Sakaé Fuchino, André Ottenberreit Maschio Rodriques and Hiroshi Sakai, Strong downward Löwenheim-Skolem theorems for stationary logics, III — mixed support iteration, submitted. <https://fuchino.ddd.jp/papers/SDLS-III-x.pdf>
- [4] Sakaé Fuchino, and André Ottenberreit Maschio Rodriques, Reflection principles, generic large cardinals, and the Continuum Problem, to appear in the Proceedings of the Symposium on Advances in Mathematical Logic 2018. [https://fuchino.ddd.jp/papers/refl\\_principles\\_gen\\_large\\_cardinals\\_continuum\\_problem-x.pdf](https://fuchino.ddd.jp/papers/refl_principles_gen_large_cardinals_continuum_problem-x.pdf)

## The size of the continuum

- ▶ The size of the continuum is either  $\aleph_1$  or  $\aleph_2$  or very large.
- ▷ provided that a “reasonable”, and sufficiently strong reflection principle should hold.

## The size of the continuum (1/2)

- ▶ The size of the continuum is either  $\aleph_1$  or  $\aleph_2$  or very large.
- ▷ provided that a “reasonable”, and sufficiently strong reflection principle should hold.

**Theorem 1.**  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  implies CH. 証明

Actually  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  is equivalent with Sean Cox's Diagonal Reflection Principle for internal clubness + CH.

**Theorem 2. (a)**  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  implies  $2^{\aleph_0} = \aleph_2$ . 証明

(b)  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  is equivalent to Diagonal Reflection Principle for internal clubness      (c)  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  is equivalent to  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2) + \neg\text{CH}$ . 証明

**Theorem 3.**  $\text{SDLS}_{+}^{int}(\mathcal{L}_{stat}^{PKL}, < 2^{\aleph_0})$  implies  $2^{\aleph_0}$  is very large (e.g. weakly Mahlo, weakly hyper Mahlo, etc.) 証明

## The size of the continuum (2/2)

Continuum Problem (6/11)

- ▶ The size of the continuum is either  $\aleph_1$  or  $\aleph_2$  or very large!
- ▷ provided that a strong variant of generic large cardinal exists.



- ▶ The size of the continuum is either  $\aleph_1$  or  $\aleph_2$  or very large!
- ▷ provided that a strong variant of generic large cardinal exists.

**Theorem 1.** *If there exists a Laver-generically supercompact cardinal  $\kappa$  for  $\sigma$ -closed p.o.s, then  $\kappa = \aleph_2$  and CH holds. Moreover  $MA^{+\aleph_1}(\sigma\text{-closed})$  holds. Thus  $SDLS(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  also holds.*

**Theorem 2.** *If there exists a Laver-generically supercompact cardinal  $\kappa$  for proper p.o.s, then  $\kappa = \aleph_2 = 2^{\aleph_0}$ . Moreover  $PFA^{+\aleph_1}$  holds. Thus  $SDLS^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  also holds.*

**Theorem 3.** *If there exists a Laver generically supercompact cardinal  $\kappa$  for c.c.c. p.o.s, then  $\kappa \leq 2^{\aleph_0}$  and  $\kappa$  is very large (for all regular  $\lambda \geq \kappa$ , there is a  $\sigma$ -saturated normal ideal over  $\mathcal{P}_\kappa(\lambda)$ ). Moreover  $MA^{+\mu}(ccc, < \kappa)$  for all  $\mu < \kappa$  and  $SDLS_+^{int}(\mathcal{L}_{stat}^{PKL}, < \kappa)$  hold.*

**Theorem 1. (1)** *Suppose that  $ZFC +$  “there exists a supercompact cardinal” is consistent. Then  $ZFC +$  “there exists a Laver-generically supercompact cardinal for  $\sigma$ -closed p.o.s” is consistent as well.*

**(2)** *Suppose that  $ZFC +$  “there exists a superhuge cardinal” is consistent. Then  $ZFC +$  “there exists a Laver-generically supercompact cardinal for proper p.o.s” is consistent as well.*

**(3)** *Suppose that  $ZFC +$  “there exists a supercompact cardinal” is consistent. Then  $ZFC +$  “there exists a strongly Laver-generically supercompact cardinal for c.c.c. p.o.s” is consistent as well.*

**Proof.** Starting from a model of  $ZFC$  with a supercompact cardinal  $\kappa$  (a superhuge cardinal in case of (2)), we can obtain models of respective assertions by iterating (in countable support in case of (1), (2) and in finite support in case of (3)) with respective p.o.s  $\kappa$  times along a Laver function (for (1) and (2) Laver function for supercompactness; for (2), Laver function for super-almost-hugeness).  $\square$

- ▶ By a slight modification of a proof by B. König, the implication of  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  from the existence of Laver-generically supercompact cardinal for  $\sigma$ -closed p.o.s can be interpolated by a **Game Reflection Principle** which by itself characterizes the usual version of generic supercompactness of  $\aleph_2$  by  $\sigma$ -closed p.o.s.

**Problem 1.** Does there exist some sort of Game Reflection Principle which plays similar role in the other two scenarios in the trichotomy?

**Problem 2.** Does (some variation of) Laver-generic supercompactness of  $\kappa$  for c.c.c. p.o.s imply  $\kappa = 2^{\aleph_0}$ ?

**Problem 3.** Is there any characterization of  $\text{MA}^{++}(\dots)$  which would fit our context?

**Problem 4.** What is about Laver-generic supercompactness for Cohen reals? What is about Laver-generic supercompactness for stationary preserving p.o.s?

**Lemma 1.** Suppose that  $\mathcal{P}$  is a class of p.o.s containing a p.o.  $\mathbb{P}$  which adds a new real. If  $\kappa$  is a Laver-generically supercompact for  $\mathcal{P}$ , then  $\kappa \leq 2^{\aleph_0}$ .

Proof. Let  $\mathbb{P} \in \mathcal{P}$  be s.t. any generic filter over  $\mathbb{P}$  codes a new real. Suppose that  $\mu < \kappa$ . We show that  $2^{\aleph_0} > \mu$ . Let  $\vec{a} = \langle a_\xi : \xi < \mu \rangle$  be a sequence of subsets of  $\omega$ . It is enough to show that  $\vec{a}$  does not enumerate  $\mathcal{P}(\omega)$ .

- ▶ By Laver-generic supercompactness of  $\kappa$  for  $\mathcal{P}$ , there are  $\mathbb{Q} \in \mathcal{P}$  with  $\mathbb{P} \leq \mathbb{Q}$ ,  $(V, \mathbb{Q})$ -generic  $\mathbb{H}$ , transitive  $M \subseteq V[\mathbb{H}]$  and  $j \subseteq M[\mathbb{H}]$  with  $j : V \xrightarrow{\check{}} M$ ,  $\text{crit}(j) = \kappa$  and  $\mathbb{P}, \mathbb{H} \in M$ . Since  $\mu < \kappa$ , we have  $j(\vec{a}) = \vec{a}$ .
- ▶ Since  $\mathbb{G} \in M$  where  $\mathbb{G} = \mathbb{H} \cap \mathbb{P}$  and  $\mathbb{G}$  codes a new real not in  $V$ , we have

$$M \models \text{“} j(\vec{a}) \text{ does not enumerate } 2^{\aleph_0}\text{”}.$$

- ▶ By elementarity, it follows that

$$V \models \text{“} \vec{a} \text{ does not enumerate } 2^{\aleph_0}\text{”}.$$

**Theorem 2.** If  $\kappa$  is tightly Laver-generically superhuge for ccc p.o.s, then  $\kappa = 2^{\aleph_0}$ .

Proof. Suppose that  $\kappa$  is tightly Laver-generically superhuge for ccc p.o.s. By Lemma 1 on the previous slide, we have  $2^{\aleph_0} \geq \kappa$ .

To prove  $2^{\aleph_0} \leq \kappa$ , let  $\lambda \geq \kappa$ ,  $2^{\aleph_0}$  be large enough and let  $\mathbb{Q}$  be a ccc p.o. s.t. there are  $(V, \mathbb{Q})$ -generic  $\mathbb{H}$  and  $j : V \xrightarrow{\dot{\lambda}} M \subseteq V[\mathbb{H}]$  with  $\text{crit}(j) = \kappa$ ,  $|\mathbb{Q}| \leq j(\kappa) > \lambda$ ,  $\mathbb{H} \in M$  and  $j''j(\kappa) \in M$ .

- ▶ Since  $M \models$  “ $j(\kappa)$  is regular” by elementarity,  $j(\kappa)$  is also regular in  $V$  by the closedness of  $M$ . Thus, we have  $V \models$  “ $j(\kappa)^{\aleph_0} = j(\kappa)$ ” by SCH above  $\max\{\kappa, 2^{\aleph_0}\}$  (available under the assumption on  $\kappa$ ).
- ▶ Since  $\mathbb{Q}$  has the ccc and  $|\mathbb{Q}| \leq j(\kappa)$ , it follows that  $V[\mathbb{H}] \models$  “ $2^{\aleph_0} \leq j(\kappa)$ ”. Now we have  $(j(\kappa)^+)^M = (j(\kappa)^+)^{V[\mathbb{H}]}$  by  $j''j(\kappa) \in M$ . Thus  $M \models$  “ $2^{\aleph_0} \leq j(\kappa)$ ”.
- ▶ By elementarity, it follows that  $V \models$  “ $2^{\aleph_0} \leq \kappa$ ”. □



Thank you for your attention.

Dziękuję za uwagę.

## A Proof of: $\text{SDLS}_+^{\text{int}}(\mathcal{L}_{\text{stat}}^{\text{PKL}}, < 2^{\aleph_0})$ implies $2^{\aleph_0}$ is very large.

- For a regular cardinal  $\kappa$  and a cardinal  $\lambda \geq \kappa$ ,  $\mathcal{S} \subseteq \mathcal{P}_\kappa(\lambda)$  is said to be *2-stationary* if, for any stationary  $\mathcal{T} \subseteq \mathcal{P}_\kappa(\lambda)$ , there is an  $a \in \mathcal{S}$  s.t.  $|\kappa \cap a|$  is a regular uncountable cardinal and  $\mathcal{T} \cap \mathcal{P}_{\kappa \cap a}(a)$  is stationary in  $\mathcal{P}_{\kappa \cap a}(a)$ . A regular cardinal  $\kappa$  has the *2-stationarity property* if  $\mathcal{P}_\kappa(\lambda)$  is 2-stationary (as a subset of itself) for all  $\lambda \geq \kappa$ .

**Lemma 1.** For a regular uncountable  $\kappa$ ,  $\text{SDLS}_+^{\text{int}}(\mathcal{L}_{\text{stat}}^{\text{PKL}}, < \kappa)$  implies that  $\kappa$  is 2-stationary.

**Lemma 2.** Suppose that  $\kappa$  is a regular uncountable cardinal.

- (1) If  $\kappa$  is 2-stationary then  $\kappa$  is a limit cardinal.
- (2) For any  $\lambda \geq \kappa$ , 2-stationary  $\mathcal{S} \subseteq \mathcal{P}_\kappa(\lambda)$ , and any stationary  $\mathcal{T} \subseteq \mathcal{P}_\kappa(\lambda)$ , there are stationarily many  $r \in \mathcal{S}$  s.t.  $\mathcal{T} \cap \mathcal{P}_{\kappa \cap r}(r)$  is stationary.
- (3) If  $\kappa$  is 2-stationary then  $\kappa$  is a weakly Mahlo cardinal.

$$\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0}) \Leftrightarrow \text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2) + \neg\text{CH}.$$

- ▶ If  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  holds then  $2^{\aleph_0} = \aleph_2$  by (a). Thus, it follows that  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2) + \neg\text{CH}$  holds.
- ▶ Suppose  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  holds. Then we have  $2^{\aleph_0} \leq \aleph_2$  by a theorem of Todorćević already mentioned. Thus, if  $2^{\aleph_0} > \aleph_1$  in addition, we have  $2^{\aleph_0} = \aleph_2$ . Thus  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  follows.



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# Baumgartner's Theorem

- ▷  $\kappa > |M| \geq |\lambda \cap M| \geq \aleph_2$
- ▷ there is a club  $C \subseteq [M]^{\aleph_0}$  with  $C \subseteq M$

**Theorem 1 (J.E. Baumgartner).** *Let  $\aleph_1 \leq \lambda_0 < \lambda$  and  $\lambda_0$  be regular. Then any club subset of  $[\lambda]^{<\lambda_0}$  has cardinality  $\geq \lambda^{\aleph_0}$ .*

- ▶  $\kappa > |M| \geq |C| \geq 2^{\aleph_0}$ .

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## SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \kappa$ ) for $\kappa > \aleph_2$ implies $\kappa > 2^{\aleph_0}$ .

- ▶ SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2$ ) implies  $2^{\aleph_0} \leq \aleph_2$ : it is easy to see that SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2$ ) implies the reflection principle  $\text{RP}(\omega_2)$  in Jech's [millennium-book].  $\text{RP}(\omega_2)$  implies  $2^{\aleph_0} \leq \aleph_2$  (Todorćević).
- ▷ It follows that  $\kappa > \aleph_2 \geq 2^{\aleph_0}$ .
- ▶ Thus, we may assume that SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2$ ) does not hold. Hence there is a structure  $\mathfrak{A}$  s.t., for any  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{\aleph_0}}^- \mathfrak{A}$ , we have  $\|\mathfrak{B}\| \geq \aleph_2$ . Let  $\lambda = \|\mathfrak{A}\|$ . W.l.o.g., we may assume that the underlying set of  $\mathfrak{A}$  is  $\lambda$ . Let  $\mathfrak{A}^* = \langle \mathcal{H}(\lambda^+), \underbrace{\lambda, \dots, \in}_{=\mathfrak{A}} \rangle$ .
- ▶ By SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \kappa$ ), there is  $M \in [\mathcal{H}(\lambda^+)]^{< \kappa}$  s.t.  $\mathfrak{A}^* \upharpoonright M \prec_{\mathcal{L}_{stat}^{\aleph_0}}^- \mathfrak{A}^*$ . In particular,  $\mathfrak{A} \upharpoonright (\lambda \cap M) \prec_{\mathcal{L}_{stat}^{\aleph_0}}^- \mathfrak{A}$ . By the choice of  $\mathfrak{A}$ , we have  $|M| \geq |\lambda \cap M| \geq \aleph_2$ .
- ▶ By elementarity, there is  $C \subseteq [M]^{\aleph_0} \cap M$  which is a club in  $[M]^{\aleph_0}$ . By a theorem of Baumgartner, it follows that  $\kappa > |M| \geq |C| \geq 2^{\aleph_0}$ . □

$\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  implies  $2^{\aleph_0} = \aleph_2$ .

**Proposition 1.**  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \kappa)$  for  $\kappa > \aleph_2$  implies  $\kappa > 2^{\aleph_0}$ .

証明

- ▶ Suppose that  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  holds. Then  $2^{\aleph_0} \leq \aleph_2$  by the Proposition 1.
- ▶  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_1)$  does not hold since  
“there are uncountably many  $x$  s.t. ...”

is expressible in  $\mathcal{L}_{stat}^{\aleph_0}$ . [ e.g. by  $stat X (\exists x (\dots \wedge x \notin X))$  ]

Thus,  $2^{\aleph_0} > \aleph_1$ . □

**Corollary 2.**  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  is inconsistent.

Proof. Assume  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$ . Then  $\text{SDLS}^-(\mathcal{L}_{stat}^{\aleph_0}, < 2^{\aleph_0})$  holds. Thus  $2^{\aleph_0} = \aleph_2$  by the proof above. But then  $\text{SDLS}(\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2)$  holds. By Proposition 1. This implies  $2^{\aleph_0} = \aleph_1$ . This is a contradiction. □

## SDLS( $\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2$ ) implies CH.

- ▶ Suppose that  $\mathfrak{A} = \langle \mathcal{H}(\omega_1), \in \rangle$  and Let  $B \in [\mathcal{H}(\omega_1)]^{< \aleph_2}$  be s.t.  
 $\mathfrak{A} \upharpoonright B \prec_{\mathcal{L}_{stat}^{\aleph_0}} \mathfrak{A}$ . Then for any  $U \in [B]^{\aleph_0}$  we have  
 $\mathfrak{A} \models " \exists x \forall y (y \in x \leftrightarrow y \varepsilon U) "$ .
- ▶ By elementarity we also have  $\mathfrak{B} \models " \exists x \forall y (y \in x \leftrightarrow y \varepsilon U) "$ .
- ▷ It follows that  $U \in B$ . Thus  $[B]^{\aleph_0} \subseteq B$  and  $2^{\aleph_0} \leq |B| \leq \aleph_1$ . □

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## Strong Downward Löwneheim-Skolem Theorem for stationary logic

- ▷  $\mathcal{L}_{stat}^{\aleph_0}$  is a weak second order logic with monadic second-order variables  $X, Y$  etc. which run over the countable subsets of the underlying set of a structure. The logic has only the weak second order quantifier “ $stat$ ” and its dual “ $aa$ ” (but not the second-order existential (or universal) quantifiers) with the interpretation:

$$\mathfrak{A} \models stat X \varphi(\dots, X) \quad :\Leftrightarrow \\ \{U \in [A]^{\aleph_0} : \mathfrak{A} \models \varphi(\dots, U)\} \text{ is a stationary subset of } [A]^{\aleph_0}.$$

- ▷ For  $\mathfrak{B} = \langle B, \dots \rangle \subseteq \mathfrak{A}$ ,  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{\aleph_0}} \mathfrak{A} \quad :\Leftrightarrow$   
 $\mathfrak{B} \models \varphi(a_0, \dots, U_0, \dots) \Leftrightarrow \mathfrak{A} \models \varphi(a_0, \dots, U_0, \dots)$  for all  $\mathcal{L}_{stat}^{\aleph_0}$ -formula  $\varphi = \varphi(x_0, \dots, X_0, \dots)$  and for all  $a_0, \dots \in B$  and for all  $U_0, \dots \in [B]^{\aleph_0}$ .

- ▶  $SDLS(\mathcal{L}_{stat}^{\aleph_0}, < \kappa) \quad :\Leftrightarrow$

For any structure  $\mathfrak{A} = \langle A, \dots \rangle$  of countable signature, there is a structure  $\mathfrak{B}$  of size  $< \kappa$  s.t.  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{\aleph_0}} \mathfrak{A}$ .

# A weakening of the Strong Downward Löwneheim-Skolem Theorem

▷ For  $\mathfrak{B} = \langle B, \dots \rangle \subseteq \mathfrak{A}$ ,  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{\aleph_0}}^- \mathfrak{A} \quad :\Leftrightarrow$

$\mathfrak{B} \models \varphi(a_0, \dots) \Leftrightarrow \mathfrak{A} \models \varphi(a_0, \dots)$  for all  $\mathcal{L}_{stat}^{\aleph_0}$ -formula  $\varphi = \varphi(x_0, \dots)$   
without free second-order variables and for all  $a_0, \dots \in B$ .

▶  $SDLS^-(\mathcal{L}_{stat}^{\aleph_0}, < \kappa) \quad :\Leftrightarrow$

For any structure  $\mathfrak{A} = \langle A, \dots \rangle$  of countable signature, there is a structure  $\mathfrak{B}$  of size  $< \kappa$  s.t.  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{\aleph_0}}^- \mathfrak{A}$ .

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## Strong Downward Löwneheim-Skolem Theorem for PKL logic

- ▷  $\mathcal{L}_{stat}^{PKL}$  is the weak second-order logic with monadic second order variables  $X, Y$ , etc. with built-in unary predicate symbol  $\underline{K}$ . The monadic second order variables run over elements of  $\mathcal{P}_{\underline{K}^{\mathfrak{A}}}(A)$  for a structure  $\mathfrak{A} = \langle A, \underline{K}^{\mathfrak{A}}, \dots \rangle$  where we denote

$\mathcal{P}_S(T) = \mathcal{P}_{|S|}(T) = \{u \subseteq T : |u| < |S|\}$ . The logic has the unique second order quantifier “*stat*” (and its dual).

- ▷ The internal interpretation of the quantifier is defined by:

$\mathfrak{A} \models^{int} stat X \varphi(a_0, \dots, U_0, \dots, X) \iff$   
 $\{U \in \mathcal{P}_{\underline{K}^{\mathfrak{A}}}(A) \cap A : \mathfrak{A} \models^{int} \varphi(a_0, \dots, U_0, \dots, U)\}$  is a stationary subset of  $\mathcal{P}_{\underline{K}^{\mathfrak{A}}}(A)$  for  $a_0, \dots \in A$  and  $U_0, \dots \in \mathcal{P}_{\underline{K}^{\mathfrak{A}}}(A) \cap A$ .

- ▷ For  $\mathfrak{B} = \langle B, K \cap B, \dots \rangle \subseteq \mathfrak{A} = \langle A, K, \dots \rangle$ ,  $\mathfrak{B} \prec_{\mathcal{L}_{stat}^{PKL}}^{int} \mathfrak{A} \iff$   
 $\mathfrak{B} \models^{int} \varphi(a_0, \dots, U_0, \dots) \iff \mathfrak{A} \models^{int} \varphi(a_0, \dots, U_0, \dots)$  for all  $\mathcal{L}_{stat}^{\aleph_0}$ -formula  $\varphi = \varphi(x_0, \dots)$   $a_0, \dots \in B$  and  $U_0, \dots \in \mathcal{P}_{K \cap B}(B) \cap B$ .

## Strong Downward Löwneheim-Skolem Theorem for PKL logic (2/2)

- ▶  $\text{SDLS}^{\text{int}}(\mathcal{L}_{\text{stat}}^{\text{PKL}}, < \kappa)$  : $\Leftrightarrow$   
for any regular  $\lambda \geq \kappa$  and a structure  $\mathfrak{A} = \langle A, K, \dots \rangle$  of countable signature with  $|A| = \lambda$  and  $|K| = \kappa$ , there is a substructure  $\mathfrak{B}$  of  $\mathfrak{A}$  of size  $< \kappa$  s.t.  $\mathfrak{B} \prec_{\mathcal{L}_{\text{stat}}^{\text{PKL}}}^{\text{int}} \mathfrak{A}$ .
- ▶  $\text{SDLS}_+^{\text{int}}(\mathcal{L}_{\text{stat}}^{\text{PKL}}, < \kappa)$  : $\Leftrightarrow$   
for any regular  $\lambda \geq \kappa$  and a structure  $\mathfrak{A} = \langle A, K, \dots \rangle$  of countable signature with  $|A| = \lambda$  and  $|K| = \kappa$ , there are **stationarily many** substructures  $\mathfrak{B}$  of  $\mathfrak{A}$  of size  $< \kappa$  s.t.  $\mathfrak{B} \prec_{\mathcal{L}_{\text{stat}}^{\text{PKL}}}^{\text{int}} \mathfrak{A}$ .

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## Laver generically supercompact cardinals

- For a class  $\mathcal{P}$  of p.o.s, a cardinal  $\kappa$  is a **Laver-generically supercompact for  $\mathcal{P}$**  if, for all regular  $\lambda \geq \kappa$  and  $\mathbb{P} \in \mathcal{P}$  there is  $\mathbb{Q} \in \mathcal{P}$  with  $\mathbb{P} \leq \mathbb{Q}$ , s.t., for any  $(V, \mathbb{Q})$ -generic  $\mathbb{H}$ , there are an inner model  $M \subseteq V[\mathbb{H}]$ , and an elementary embedding  $j : V \rightarrow M$  s.t.
- (1)  $\text{crit}(j) = \kappa, j(\kappa) > \lambda$ .
  - (2)  $\mathbb{P}, \mathbb{H} \in M$ ,
  - (3)  $j''\lambda \in M$ .

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## tightly Laver generically superhuge cardinals

- For a class  $\mathcal{P}$  of p.o.s, a cardinal  $\kappa$  is a **tightly Laver-generically superhuge for  $\mathcal{P}$**  if, for all regular  $\lambda \geq \kappa$  and  $\mathbb{P} \in \mathcal{P}$  there is  $\mathbb{Q} \in \mathcal{P}$  with  $\mathbb{P} \leq \mathbb{Q}$ , s.t., for any  $(V, \mathbb{Q})$ -generic  $\mathbb{H}$ , there are an inner model  $M \subseteq V[\mathbb{H}]$ , and an elementary embedding  $j : V \rightarrow M$  s.t.

(1)  $\text{crit}(j) = \kappa, j(\kappa) > \lambda.$

(2)  $\mathbb{P}, \mathbb{H} \in M,$

(3)  $j''j(\kappa) \in M,$  and

(4)  $|\mathbb{Q}| \leq j(\kappa).$

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## Diagonal Reflection Principle

- ▶ (S. Cox) Diagonal Reflection Principle: for a regular cardinal  $\theta > \aleph_1$ ,

DRP( $\theta$ , IC): There are stationarily many  $M \in [\mathcal{H}((\theta^{\aleph_0})^+)]^{\aleph_1}$  s.t.

- (1)  $M \cap \mathcal{H}(\theta)$  is internally club;
- (2) for all  $R \in M$  s.t.  $R$  is a stationary subset of  $[\theta]^{\aleph_0}$ ,  
 $R \cap [\theta \cap M]^{\aleph_0}$  is stationary in  $[\theta \cap M]^{\aleph_0}$ .

- ▶ For a regular cardinal  $\lambda > \aleph_1$

(\*) $_{\lambda}$ : For any countable expansion  $\tilde{\mathcal{A}}$  of  $\langle \mathcal{H}(\lambda), \in \rangle$ , if  $\langle S_a : a \in \mathcal{H}(\lambda) \rangle$ , is a family of stationary subsets of  $[\mathcal{H}(\lambda)]^{\aleph_0}$ , then there is an internally club  $M \in [\mathcal{H}(\lambda)]^{\aleph_1}$  s.t.  $\tilde{\mathcal{A}} \upharpoonright M \prec \tilde{\mathcal{A}}$  and  $S_a \cap [M]^{\aleph_0}$  is stationary in  $[M]^{\aleph_0}$ , for all  $a \in M$ .

**Proposition 1.** TFAE: (a) *The global version of Diagonal Reflection Principle of S. Cox for internal clubness (i.e. DRP( $\theta$ , IC) for all regular  $\theta > \aleph_1$ ) holds.*

(b) (\*) $_{\lambda}$  for all regular  $\lambda > \aleph_1$  holds.

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(b) **(\*) $_\lambda$**  for all regular  $\lambda > \aleph_1$  holds.

(c) **SDLS $^-$ ( $\mathcal{L}_{stat}^{\aleph_0}, < \aleph_2$ )** holds.

## Reflection Principles RP??

- ▶ The following are variations of the “Reflection Principle” in [Jech, Millennium Book].

**RP<sub>IC</sub>** For any uncountable cardinal  $\lambda$ , stationary  $S \subseteq [\mathcal{H}(\lambda)]^{\aleph_0}$  and any countable expansion  $\mathfrak{A}$  of the structure  $\langle \mathcal{H}(\lambda), \in \rangle$ , there is an internally club  $M \in [\mathcal{H}(\lambda)]^{\aleph_1}$  s.t. (1)  $\mathfrak{A} \upharpoonright M \prec \mathfrak{A}$ ; and (2)  $S \cap [M]^{\aleph_0}$  is stationary in  $[M]^{\aleph_0}$ .

**RP<sub>IU</sub>** For any uncountable cardinal  $\lambda$ , stationary  $S \subseteq [\mathcal{H}(\lambda)]^{\aleph_0}$  and any countable expansion  $\mathfrak{A}$  of the structure  $\langle \mathcal{H}(\lambda), \in \rangle$ , there is an internally unbounded  $M \in [\mathcal{H}(\lambda)]^{\aleph_1}$  s.t. (1)  $\mathfrak{A} \upharpoonright M \prec \mathfrak{A}$ ; and (2)  $S \cap [M]^{\aleph_0}$  is stationary in  $[M]^{\aleph_0}$ .

Since every internally club  $M$  is internally unbounded, we have:

**Lemma 1.**  $\text{RP}_{IC}$  implies  $\text{RP}_{IU}$ .

$\text{RP}_{IU}$  is also called **Axiom R** in Set-Theoretic Topology.

**Theorem 2.** ([Fuchino, Juhasz et al. 2010])  $\text{RP}_{IU}$  implies FRP.

## Stationary subsets of $[X]^{\aleph_0}$

- ▶  $C \subseteq [X]^{\aleph_0}$  is **club in  $[X]^{\aleph_0}$**  if (1) for every  $u \in [X]^{\aleph_0}$ , there is  $v \in C$  with  $u \subseteq v$ ; and (2) for any countable increasing chain  $\mathcal{F}$  in  $C$  we have  $\bigcup \mathcal{F} \in C$ .
- ▷  $S \subseteq [X]^{\aleph_0}$  is **stationary in  $[X]^{\aleph_0}$**  if  $S \cap C \neq \emptyset$  for all club  $C \subseteq [X]^{\aleph_0}$ .
- ▶ A set  $M$  is **internally unbounded** if  $M \cap [M]^{\aleph_0}$  is cofinal in  $[M]^{\aleph_0}$  (w.r.t.  $\subseteq$ )
- ▷ A set  $M$  is **internally stationary** if  $M \cap [M]^{\aleph_0}$  is stationary in  $[M]^{\aleph_0}$
- ▷ A set  $M$  is **internally club** if  $M \cap [M]^{\aleph_0}$  contains a club in  $[M]^{\aleph_0}$ .

[powrót do "Diagonal Reflection Principle"](#)

[powrót do "RP"](#)

## Fodor-type Reflection Principle (FRP)

(FRP) For any regular  $\kappa > \omega_1$ , any stationary  $E \subseteq E_\omega^\kappa$  and any mapping  $g : E \rightarrow [\kappa]^{\aleph_0}$  with  $g(\alpha) \subseteq \alpha$  for all  $\alpha \in E$ , there is  $\gamma \in E_{\omega_1}^\kappa$  s.t.

(\*) for any  $I \in [\gamma]^{\aleph_1}$  closed w.r.t.  $g$  and club in  $\gamma$ , if  $\langle I_\alpha : \alpha < \omega_1 \rangle$  is a filtration of  $I$  then  $\sup(I_\alpha) \in E$  and  $g(\sup(I_\alpha)) \subseteq I_\alpha$  hold for stationarily many  $\alpha < \omega_1$ .

- ▷  $\mathcal{F} = \langle I_\alpha : \alpha < \lambda \rangle$  is a **filtration** of  $I$  if  $\mathcal{F}$  is a continuously increasing  $\subseteq$ -sequence of subsets of  $I$  of cardinality  $< |I|$  s.t.  $I = \bigcup_{\alpha < \lambda} I_\alpha$ .
- ▶ FRP follows from Martin's Maximum or Rado's Conjecture.  $\text{MA}^+(\sigma\text{-closed})$  already implies FRP but PFA does not imply FRP since PFA does not imply stationary reflection of subsets of  $E_\omega^{\omega_2}$  (Magidor, Beaudoin) which is a consequence of FRP.
- ▶ FRP is a large cardinal property: FRP implies the total failure of the square principle.
- ▷ FRP is known to be equivalent to the reflection of uncountable coloring number of graphs down to cardinality  $< \aleph_2$ .

## Fact 1 の証明

**Fact 1.** (A. Hajnal and I. Juhász, 1976) *For any uncountable cardinal  $\kappa$  there is a non-metrizable space  $X$  of size  $\kappa$  s.t. all subspaces  $Y$  of  $X$  of cardinality  $< \kappa$  are metrizable.*

Proof.

- ▶ Let  $\kappa' \geq \kappa$  be of cofinality  $\geq \kappa$ ,  $\omega_1$ .
  - ▷ The topological space  $(\kappa' + 1, \mathcal{O})$  with
$$\mathcal{O} = \mathcal{P}(\kappa') \cup \{(\kappa' \setminus x) \cup \{\kappa'\} : x \subseteq \kappa', x \text{ is bounded in } \kappa'\}$$
is non-metrizable since the point  $\kappa'$  has character  $= \text{cf}(\kappa') > \aleph_0$ .
  - ▷ Any subspace of  $\kappa' + 1$  of size  $< \kappa$  is discrete and hence metrizable.
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## Fact 3 の証明

- ▶ It is enough to prove the following:

**Lemma 1.** (Folklore ?, see [Fuchino, Juhasz et al. 2010]) *For a regular cardinal  $\kappa \geq \aleph_2$  if, there is a non-reflectingly stationary  $S \subseteq E_\omega^\kappa$ , then there is a non meta-lindelöf (and hence non metrizable) locally compact and locally countable topological space  $X$  of cardinality  $\kappa$  s.t. all subspace  $Y$  of  $X$  of cardinality  $< \kappa$  are metrizable.*

Proof.

- ▶ Let  $I = \{\alpha + 1 : \alpha < \kappa\}$  and  $X = S \cup I$ .
- ▷ Let  $\langle a_\alpha : \alpha \in S \rangle$  be s.t.  $a_\alpha \in [I \cap \alpha]^{\aleph_0}$ ,  $a_\alpha$  is of order-type  $\omega$  and cofinal in  $\alpha$ . Let  $\mathcal{O}$  be the topology on  $X$  introduced by letting
  - (1) elements of  $I$  are isolated; and
  - (2)  $\{a_\alpha \cup \{\alpha\} \setminus \beta : \beta < \alpha\}$  a neighborhood base of each  $\alpha \in S$ .
- ▶ Then  $(X, \mathcal{O})$  is not meta-lindelöf (by Fodor's Lemma) but each  $\alpha < \kappa$  as subspace of  $X$  is metrizable (by induction on  $\alpha$ ).  $\square$

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## Coloring number and chromatic number of a graph

- ▶ For a cardinal  $\kappa \in \text{Card}$ , a graph  $G = \langle G, K \rangle$  has **coloring number**  $\leq \kappa$  if there is a well-ordering  $\sqsubseteq$  on  $G$  s.t. for all  $p \in G$  the set

$$\{q \in G : q \sqsubseteq p \text{ and } q K p\}$$

has cardinality  $< \kappa$ .

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- ▷ The **coloring number**  $col(G)$  of a graph  $G$  is the minimal cardinal among such  $\kappa$  as above.
- ▶ The **chromatic number**  $chr(G)$  of a graph  $G = \langle G, K \rangle$  is the minimal cardinal  $\kappa$  s.t.  $G$  can be partitioned into  $\kappa$  pieces  $G = \bigcup_{\alpha < \kappa} G_\alpha$  s.t. each  $G_\alpha$  is pairwise non adjacent (independent).
- ▷ For all graph  $G$  we have  $chr(G) \leq col(G)$ .

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## $\kappa$ -special trees

- ▶ For a cardinal  $\kappa$ , a tree  $T$  is said to be  $\kappa$ -special if  $T$  can be represented as a union of  $\kappa$  subsets  $T_\alpha$ ,  $\alpha < \kappa$  s.t. each  $T_\alpha$  is an antichain (i.e. pairwise incomparable set).

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## Stationary subset of $E_\omega^\kappa$

- ▶ For a cardinal  $\kappa$ ,

$$E_\omega^\kappa = \{\gamma < \kappa : \text{cf}(\gamma) = \omega\}.$$

- ▶ A subset  $C \subseteq \xi$  of an ordinal  $\xi$  of uncountable cofinality,  $C$  is **closed unbounded (club)** in  $\xi$  if (1):  $C$  is cofinal in  $\xi$  (w.r.t. the canonical ordering of ordinals) and (2): for all  $\eta < \xi$ , if  $C \cap \eta$  is cofinal in  $\eta$  then  $\eta \in C$ .
- ▶  $S \subseteq \xi$  is **stationary** if  $S \cap C \neq \emptyset$  for all club  $C \subseteq \xi$ .
- ▶ A stationary  $S \subseteq \xi$  is **reflectingly stationary** if there is some  $\eta < \xi$  of uncountable cofinality s.t.  $S \cap \eta$  is stationary in  $\eta$ . Thus:
- ▶ A stationary  $S \subseteq \xi$  is **non reflectingly stationary** if  $S \cap \eta$  is non stationary for all  $\eta < \xi$  of uncountable cofinality.

## Theorem 1. の証明

CH  $\Rightarrow$  SDLS( $\mathcal{L}^{\aleph_0, II}$ ,  $< \aleph_2$ ): For a structure  $\mathfrak{A}$  with a countable signature  $L$  and underlying set  $A$ , let  $\theta$  be large enough and  $\tilde{\mathfrak{A}} = \langle \mathcal{H}(\theta), A, \mathfrak{A}, \in \rangle$ . where  $A = \underline{A}^{\tilde{\mathfrak{A}}}$  for a unary predicate symbol  $\underline{A}$  and  $\mathfrak{A} = \underline{\mathfrak{A}}^{\tilde{\mathfrak{A}}}$  for a constant symbol  $\underline{\mathfrak{A}}$ . Let  $\tilde{\mathfrak{B}} \prec \tilde{\mathfrak{A}}$  be s.t.  $|B| = \aleph_1$  for the underlying set  $B$  of  $\tilde{\mathfrak{B}}$  and  $[B]^{\aleph_0} \subseteq B$ .  $\tilde{\mathfrak{B}} = \mathfrak{A} \upharpoonright \underline{A}^{\tilde{\mathfrak{B}}}$  is then as desired.

SDLS( $\mathcal{L}^{\aleph_0}$ ,  $< \aleph_2$ )  $\Rightarrow$  CH: Suppose  $\mathfrak{A} = \{\omega_2 \cup [\omega_2]^{\aleph_0}, \in\}$ . Consider the  $\mathcal{L}^{\aleph_0}$ -formula  $\varphi(X) = \exists x \forall y (y \in x \leftrightarrow y \varepsilon X)$ . If  $\tilde{\mathfrak{B}} = \langle B, \dots \rangle$  is s.t.  $|B| \leq \aleph_1$  and  $\tilde{\mathfrak{B}} \prec_{\mathcal{L}^{\aleph_0}} \mathfrak{A}$ , then for  $C \in [B]^{\aleph_0}$ , since  $\mathfrak{A} \models \varphi(C)$ , we have  $\tilde{\mathfrak{B}} \models \varphi(C)$ . It follows that  $[B]^{\aleph_0} \subseteq B$  and  $2^{\aleph_0} \leq (|B|)^{\aleph_0} \leq |B| = \aleph_1$ .

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