

Definability of Laver-generic large cardinals and largeness of generic large cardinals with chain conditions

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<https://fuchino.ddo.jp/index.html>

(2023年04月10日 (02:37 JST) printer version)

2022年10月7日 (11:00 ~ EDT), 至 **New York Set Theory Seminar**

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The research is supported by
Kakenhi Grant-in-Aid for Scientific Research (C) 20K03717

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- ▶ Generic and Laver-generic large cardinals
 - ▶ Some standard models of Laver-generic large cardinals
 - ▶ The Trichotomy Theorem
 - ▶ Tight Laver-genericity
 - ▶ Definability of Laver-generic large cardinals
 - ▶ Small generic large cardinals
 - ▶ Largeness of (Laver-)generic large cardinals for p.o.s with chain conditions
 - ▶ Historical background
 - ▶ Resurrection Axioms (slides added after the talk)
-
- ▷ Proof of Theorem 2.
 - ▷ A sketch of the proof of Proposition 3.
 - ▷ Proof of Lemma 7.

- ▶ For a class \mathcal{P} of p.o.s, we call a cardinal κ **generically supercompact by \mathcal{P}** (**\mathcal{P} -gen. supercompact**, for short) if, for any $\lambda \geq \kappa$, there are $\mathbb{P} \in \mathcal{P}$, (V, \mathbb{P}) -generic \mathbb{G} , and j , $M \subseteq V[\mathbb{G}]$ s.t. ① $j : V \xrightarrow{\sim}_{\kappa} M$, ② $j(\kappa) > \lambda$, and ③ $j''\lambda \in M$.

- ▶ A cardinal κ is **Laver-generically supercompact for \mathcal{P}** (or **\mathcal{P} -Laver-gen. supercompact**, for short) if, for any $\lambda \geq \kappa$, $\mathbb{P} \in \mathcal{P}$ and (V, \mathbb{P}) -generic \mathbb{G} , there is a \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$ s.t., for all $(V, \mathbb{P} * \mathbb{Q})$ -generic $\mathbb{H} \supseteq \mathbb{G}$, there are j , $M \subseteq V[\mathbb{H}]$ s.t. ① $j : V \xrightarrow{\sim}_{\kappa} M$, ② $j(\kappa) > \lambda$, and ③ $\mathbb{P} * \mathbb{Q}, \mathbb{H}, j''\lambda \in M$.

- ▷ **Notation.** We denote with $j : N \xrightarrow{\sim}_{\kappa} M$ the situation that N and M are transitive (sets or classes); j is an elementary embedding of the structure $\langle N, \in \rangle$ into the structure $\langle M, \in \rangle$; $\kappa \in N$, and $\text{crit}(j) = \kappa$.

- ▶ For a class \mathcal{P} of p.o.s, we call a cardinal κ **\mathcal{P} -gen. supercompact** **\mathcal{P} -gen. super-almost-huge** **\mathcal{P} -gen. superhuge** if, for any $\lambda \geq \kappa$, there are $\mathbb{P} \in \mathcal{P}$, (V, \mathbb{P}) -generic \mathbb{G} , and j , $M \subseteq V[\mathbb{G}]$ s.t. ① $j : V \xrightarrow{\sim}_{\kappa} M$, ② $j(\kappa) > \lambda$, and ③ $j''\lambda \in M$ $j''\mu \in M$ for all $\mu < j(\kappa)$ $j''j(\kappa) \in M$.

 - ▶ A cardinal κ is **\mathcal{P} -Laver-gen. supercompact** **\mathcal{P} -Laver-gen. supe-almost-huge** **\mathcal{P} -Laver-gen. superhuge** if, for any $\lambda \geq \kappa$, $\mathbb{P} \in \mathcal{P}$ and (V, \mathbb{P}) -generic \mathbb{G} , there is a \mathcal{P} -name \tilde{Q} with $\Vdash_{\mathbb{P}} \tilde{Q} \in \mathcal{P}$ s.t., for all $(V, \mathbb{P} * \tilde{Q})$ -generic $\mathbb{H} \supseteq \mathbb{G}$, there are j , $M \subseteq V[\mathbb{H}]$ s.t. ① $j : V \xrightarrow{\sim}_{\kappa} M$, ② $j(\kappa) > \lambda$, and ③' $\mathbb{P} * \tilde{Q}, \mathbb{H} \in M$ and, $j''\lambda \in M$ $j''\mu \in M$ for all $\mu < j(\kappa)$ $j''j(\kappa) \in M$.

 - ▷ \mathcal{P} -Laver-gen. superhuge \Rightarrow \mathcal{P} -Laver-gen. super-almost-huge \Rightarrow \mathcal{P} -Laver-gen. supercompact
- | | | |
|-------------------------------|---------------|---------------------------------------|
| \downarrow | \downarrow | \downarrow |
| \mathcal{P} -gen. superhuge | \Rightarrow | \mathcal{P} -gen. super-almost-huge |
| \Rightarrow | \Rightarrow | \mathcal{P} -gen. supercompact |

Notation:

- ▶ For $\mathcal{P} = \{\mathbb{P} : \mathbb{P} \text{ is } \sigma\text{-closed}\}$, we say $\sigma\text{-closed-gen. supercompact}$, or $\sigma\text{-closed-Laver-gen. supercompact}$, etc. in place of $\mathcal{P}\text{-gen. supercompact}$, or $\mathcal{P}\text{-Laver-gen. supercompact}$, etc.
- ▷ For $\mathcal{P} = \{\mathbb{P} : \mathbb{P} \text{ is } \mu\text{-cc}\}$, we say $\mu\text{-cc-Laver-gen. supercompact}$, etc. instead of $\mathcal{P}\text{-Laver-gen. supercompact}$, etc.
- ▷ Similarly, we say $\text{Cohen-Laver-gen. supercompact}$ etc. instead of $\mathcal{P}\text{-Laver-gen. supercompact}$ for $\mathcal{P} = \{\text{Fn}(\lambda, 2) : \lambda \in \text{On}\}$.
- ▶ For a p.o. \mathbb{P} , we say $\mathbb{P}\text{-gen. supercompact}$ etc. instead of $\{\mathbb{P}\}\text{-gen. supercompact}$ etc.

Some standard models of Laver-generic large cardinals

Def.-C.C. (7/20)

- a) Suppose κ is **supercompact** and $\mathbb{P} = \text{Col}(\aleph_1, \kappa)$. Then, in $V[G]$, for any (V, \mathbb{P}) -generic G , $\aleph_2^{V[G]} (= \kappa)$ is **σ -closed-Laver-gen. supercompact** and **CH holds** (similarly for **super-almost-huge**, or **superhuge**).
- b) Suppose κ is **super-almost-huge** with a Laver function f , and \mathbb{P} is the CS-iteration for forcing **PFA** along with f . Then, in $V[G]$ for any (V, \mathbb{P}) -generic G , $\aleph_2^{V[G]} (= \kappa)$ is **proper-Laver-generically super-almosthuge** and **$2^{\aleph_0} = \aleph_2$ holds** (similarly for **superhuge**).
- c) Suppose κ is **supercompact** and $\mathbb{P} = \text{Fn}(\kappa, 2)$. Then, in $V[G]$ for any (V, \mathbb{P}) -generic G , $(2^{\aleph_0})^{V[G]} (= \kappa)$ is **Cohen-Laver-generically supercompact** (similarly for **super-almost-huge**, or **superhuge**). $\kappa = 2^{\aleph_0}$ is very large
- d) Suppose that κ is **supercompact** with a Laver function f , and \mathbb{P} is a FS-iteration for forcing **MA** along with f . Then, in $V[G]$ for any (V, \mathbb{P}) -generic G , $2^{\aleph_0} (= \kappa)$ is **ccc-Laver-generically supercompact** (similarly for **super-almost-huge**, or **superhuge**). $\kappa = 2^{\aleph_0}$ is very large

► See [II] for more details.

tightness

The Trichotomy Theorem

- ▶ The examples in the previous slide can be considered as instances of the following more general situations.

Theorem 1. (A) If κ is \mathcal{P} -Laver-gen. supercompact for a class \mathcal{P} of p.o.s such that ① all $\mathbb{P} \in \mathcal{P}$ are ω_1 preserving, ② all $\mathbb{P} \in \mathcal{P}$ do not add reals, and ③ there is a $\mathbb{P}_1 \in \mathcal{P}$ which collapses ω_2 , then $\kappa = \aleph_2$ and CH holds.

(B) If κ is \mathcal{P} -Laver-gen. supercompact for a class \mathcal{P} of p.o.s such that ① all $\mathbb{P} \in \mathcal{P}$ are ω_1 -preserving, ②' there is a $\mathbb{P}_0 \in \mathcal{P}$ which add a real, and ③ there is a \mathbb{P}_1 which collapses ω_2 , then $\kappa = \aleph_2 = 2^{\aleph_0}$.

(C) If κ is \mathcal{P} -Laver-gen. supercompact for a class \mathcal{P} of p.o.s such that ①' all $\mathbb{P} \in \mathcal{P}$ preserve cardinals, and ②' there is a $\mathbb{P}_0 \in \mathcal{P}$ which adds a real, then κ is "very large" and $\kappa \leq 2^{\aleph_0}$.

Proof. The proof is contained implicitly in [II]. For a more explicit presentation of a proof, see [Nagoya].



- ▶ (C) of Theorem 1 on the previous slide can be improved for a (slightly?) stronger variation of Laver-genericity:

- ▶ A cardinal κ is tightly \mathcal{P} -Laver-gen. superhuge

if, for any $\lambda \geq \kappa$, $\mathbb{P} \in \mathcal{P}$ and (V, \mathbb{P}) -generic \mathbb{G} , there is a \mathcal{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \mathbb{Q} \in \mathcal{P}$ s.t., for all $(V, \mathbb{P} * \mathbb{Q})$ -generic $\mathbb{H} \supseteq \mathbb{G}$, there are j , $M \subseteq V[\mathbb{H}]$ s.t. ① $j : V \xrightarrow{\kappa} M$,

②' $|\mathbb{P} * \mathbb{Q}| \leq j(\kappa)$, $j(\kappa) > \lambda$, and ③' $\mathbb{P} * \mathbb{Q}, \mathbb{H} \in M, j''j(\kappa) \in M$.

- ▶ All the examples a)~d) of Laver-genericity give actually tightly Laver-generic cardinals.

Theorem 2. ([II]) Suppose that each element of \mathcal{P} is μ -cc for some $\mu < \kappa$. If κ is \mathcal{P} -Laver-gen. superhuge then $\kappa = 2^{\aleph_0}$.

Proof.

- ▶ The statement “there is a \mathcal{P} -Laver gen. supercompact (super-almost huge, or superhuge) cardinal” is expressible as a first-order statement in the framework of ZFC.
- ▷ This follows from the Proposition 3 and Lemma 4, 5 below:
- ▶ The following Proposition 3 seems to be a folklore. It can be proved using a “generic” variant of the idea of extender:

Proposition 3. ([Def]) Suppose that \mathbb{P} is a p.o. (in V) and \mathbb{G} a (V, \mathbb{P}) -generic filter. Suppose further that θ is a regular cardinal and $j_0 : \mathcal{H}(\theta)^V \xrightarrow{\simeq} N$ for a transitive set N with $j_0, N \in V[\mathbb{G}]$ is s.t. ① $\mathbb{P} \in \mathcal{H}(\theta)^V$; and ② for any $b \in N$, there is $a \in \mathcal{H}(\theta)^V$ s.t. $b \in j_0(a)$.
Then there are $j, M \subseteq V[\mathbb{G}]$ s.t. ③ $j : V \xrightarrow{\simeq} M$, ④ $N \subseteq M$, and ⑤ $j \upharpoonright \mathcal{H}(\theta)^V = j_0$.

- The following Lemmata are easy to prove:

Lemma 4. ([Def]) Suppose that \mathbb{P} is a p.o. (in \mathbf{V}), and \mathbb{G} a (\mathbf{V}, \mathbb{P}) -generic set. Suppose that $j, M \subseteq \mathbf{V}[\mathbb{G}]$ are s.t. $j : \mathbf{V} \xrightarrow{\sim} M$.
Then, for any cardinal θ (in \mathbf{V}), we have:

$$\mathbf{V}[\mathbb{G}] \models "j \upharpoonright \mathcal{H}(\theta)^{\mathbf{V}} : \mathcal{H}(\theta)^{\mathbf{V}} \xrightarrow{\sim} \mathcal{H}(j(\theta))^M".$$



- The extra condition ② in Proposition 3 can be handled by the following:

Lemma 5. ([Def]) Suppose that \mathbb{P} is a p.o. (in \mathbf{V}), and \mathbb{G} a (\mathbf{V}, \mathbb{P}) -generic set. Suppose further that θ is a regular cardinal in \mathbf{V} and $j_0, N \in \mathbf{V}[\mathbb{G}]$ be such that N is transitive and $j_0 : \mathcal{H}(\theta) \xrightarrow{\sim} N$.

Let $N_0 = \bigcup j_0'' \mathcal{H}(\theta)^{\mathbf{V}}$. Then, we have:

- ① N_0 is transitive. ② (i) $N_0 \prec N$, (ii) $j_0'' \mathcal{H}(\theta) \subseteq N_0$, and (iii) $j_0 : \mathcal{H}(\theta)^{\mathbf{V}} \xrightarrow{\sim} N_0$. ③ For any $b \in N_0$ there is $a \in \mathcal{H}(\theta)^{\mathbf{V}}$ s.t. $b \in j_0(a)$.
④ If $\theta_0 < \theta$ is s.t. $\mathcal{H}(\theta_0)^{\mathbf{V}} \in \mathcal{H}(\theta)^{\mathbf{V}}$, then $(\mathcal{H}(j_0(\theta_0)))^N \subseteq N_0$.



Definability of Laver-generic large cardinals (3/3)

Def.-C.C. (12/20)

- Putting together Proposition 3, Lemma 4 and Lemma 5, we obtain the following:

Theorem 6. ([Def]) For a class \mathcal{P} of p.o.s, the following are equivalent:

- (a) κ is \mathcal{P} -Laver-gen. supercompact;
- (b) For any $\lambda \geq \kappa$, and for any $\mathbb{P} \in \mathcal{P}$, there is a \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ s.t.
 $\Vdash_{\mathbb{P} * \mathbb{Q}} \text{“there are a regular cardinal } \theta > \kappa, \text{ a transitive set } N, \text{ and a mapping } j_0 \text{ s.t.}$
 - ① $j_0 : \mathcal{H}(\theta)^V \xrightarrow{\cong} N,$
 - ② $\text{crit}(j_0) = \kappa, \mathbb{P} * \mathbb{Q} \in \mathcal{H}(\theta), j_0(\kappa) > \lambda,$
 - ③ for any $b \in N$, there is $a \in \mathcal{H}(\theta)^V$ s.t. $b \in j_0(a)$
 - ④ $\mathbb{P} * \mathbb{Q}, \mathbb{H} \in N$, and
 - ⑤ $j_0''\lambda \in N$ ”.

- A similar equivalence holds also for \mathcal{P} -Laver gen. super-almost-huge and superhuge cardinals.

- ▶ For a class \mathcal{P} of p.o.s, we say that a cardinal κ is \mathcal{P} -gen. **weakly compact**, if, for any $A \subseteq \kappa$ ($A \in V$), there is a transitive set model M of ZFC^- with $\kappa, A \in M$ s.t., for some $\mathbb{P} \in \mathcal{P}$ and (V, \mathbb{P}) -generic \mathbb{G} , we have $j : M \xrightarrow{\sim}_{\kappa} N$ for some j , $N \in V[\mathbb{G}]$. ([CC])
- ▶ For a class \mathcal{P} of p.o.s, a cardinal κ is \mathcal{P} -gen. **measurable**, if there is $\mathbb{P} \in \mathcal{P}$ s.t., for a (V, \mathbb{P}) -generic \mathbb{G} , there are $j, M \subseteq V[\mathbb{G}]$ with $V[\mathbb{G}] \models "j : V \xrightarrow{\sim}_{\kappa} M"$.
- ▷ For any class \mathcal{P} of p.o.s, we have

$$\kappa \text{ is } \mathcal{P}\text{-Laver-gen. supercompact} \Rightarrow \kappa \text{ is } \mathcal{P}\text{-gen. supercompact}$$

$$\Rightarrow \kappa \text{ is } \mathcal{P}\text{-gen. measurable} \Rightarrow \kappa \text{ is } \mathcal{P}\text{-gen. weakly-compact.}$$
 by definition.
- ▶ In the following we present results which show that for
 $(\dagger) \quad \mathcal{P} \subseteq \{\mathbb{P} : \mathbb{P} \text{ satisfies } \mu\text{-cc for some } \mu < \kappa\}$
 the implications above are very much "strict".


► If


(†) $\mathcal{P} \subseteq \{\mathbb{P} : \mathbb{P} \text{ satisfies } \mu\text{-cc for some } \mu < \kappa\}$,

all \mathcal{P} -gen. weakly-compact cardinals are already fairly large:

Lemma 7. ([CC]) Suppose that \mathcal{P} satisfies (†) and κ is \mathcal{P} -gen. weakly-compact. Then (1) κ is weakly Mahlo. (2) κ has the tree property.


Proof.

Theorem 8. ([CC]) Suppose that \mathcal{P} satisfies (†) and κ is \mathcal{P} -gen. measurable. Then κ is a stationary limit of \mathcal{P} -gen. weakly-compact cardinals. 

Theorem 9. ([CC]) Suppose that \mathcal{P} satisfies (†) and κ is \mathcal{P} -gen. supercompact. Then κ is a stationary limit of \mathcal{P} -gen. measurable cardinals. 

- The proof of Theorem 8 uses the following characterization of \mathcal{P} -gen. measurable cardinals for \mathcal{P} satisfying (\dagger) :

Theorem 10. ($[CC]$) For a regular cardinals κ, ν with $\nu < \kappa$, the following are equivalent:

- (a) κ is ν -cc-gen. measurable.
- (b) There is a non-trivial, non-principal and ν -saturated $< \kappa$ -complete ideal over κ .
- (c) there are ν -cc p.o. \mathbb{P} , (V, \mathbb{P}) -generic filter \mathbb{G} , and $j, M \subseteq V[\mathbb{G}]$ s.t. $V[\mathbb{G}] \models "j : V \xrightarrow{\sim}_{\kappa} M"$ and $({}^{\kappa}M)^{V[\mathbb{G}]} \subseteq M$. 

- ▶ There are several other authors who considered some variations of generic large cardinals as new axioms of set theory, notably Matt Foreman and Bernhard König. <https://arxiv.org/abs/1403.2788>
- ▶ Laver-genericity shows some reminiscence of Resurrection Axioms introduced and studied by [Hamkins and Johnstone], [Hamkins and Johnstone 2] (see also [Minden] and [Tsaprounis]). The similarity was already pointed out by Joel when I gave a talk (in person) in 2019 at the NY Set Theory Seminar.
- ▶ I first heard the idea of resurrection axioms in 2015 from Joel Hamkins when we had a long walk to and through Yamashita Park (山下公園) in Yokohama. In retrospective, this might have played subliminally an important role in me when I invented the primary version of Laver-genericity in 2018 and began to discuss it with Hiroshi and also with Andrés Ottenbreit Maschio Rodrigues, a PhD student of mine back then.

- ▶ These Axioms are different in that Resurrection Axioms are absoluteness statements while the existence of a/the Laver-generic large cardinal is a Reflection Axiom. It seems to be still open what the connections between these two types of axioms can be ([added after the talk] we know now more about what these connections are: see the following additional slides).



- ▶ For a class \mathcal{P} of p.o.s and a definable cardinal μ (e.g. defined to be $\aleph_1, \aleph_2, 2^{\aleph_0}, (2^{\aleph_0})^+$. etc.) the **Resurrection Axiom for \mathcal{P} and $\mathcal{H}(\mu)$** is defined by:

$RA_{\mathcal{H}(\mu)}^{\mathcal{P}}$: For any $\mathbb{P} \in \mathcal{P}$, there is a \mathbb{P} -name \tilde{Q} of p.o. s.t.

$\Vdash_{\mathbb{P}} \text{“} \tilde{Q} \in \mathcal{P} \text{”}$ and, for any $(V, \mathbb{P} * \tilde{Q})$ -generic \mathbb{H} , we have $\mathcal{H}(\mu)^{\tilde{V}} \prec \mathcal{H}(\mu)^{V[\mathbb{H}]}$.

- ▷ Here, μ s in the left and right side of the last formula are actually meant $\mu^{\tilde{V}}$ and $\mu^{V[\mathbb{H}]}$ respectively.

Theorem 11. (1) For a class of p.o.s \mathcal{P} satisfying the conditions in (A) of Theorem 1, if κ ($\aleph_2 = (2^{\aleph_0})^+$ see Theorem 1) is tightly \mathcal{P} -Laver-gen. superhuge, then $RA_{\mathcal{H}((2^{\aleph_0})^+)}^{\mathcal{P}}$ holds.

(2) For a class of p.o.s \mathcal{P} satisfying the conditions in one of (B) or (C) of Theorem 1, if κ ($= 2^{\aleph_0}$ see Theorem 1 and 2) is tightly \mathcal{P} -Laver-gen. superhuge, then $RA_{\mathcal{H}(2^{\aleph_0})}^{\mathcal{P}}$ holds.

Proof. (The following proof is based on the idea suggested by Gunter Fuchs during the talk).

- ▶ (1) and (2) are proved similarly. We give here a proof of (1).
- ▶ Suppose $\mathbb{P} \in \mathcal{P}$. Then, by tightly \mathcal{P} -Laver gen. superhugeness of κ ($= (2^{\aleph_0})^+$), there is a \mathbb{P} -name \mathbb{Q} of p.o. with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$ s.t., for $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} , there are $j, M \subseteq V[\mathbb{H}]$ with ① $j : V \xrightarrow{\prec}_{\kappa} M$, ② $j(\kappa) = |\mathbb{P} * \mathbb{Q}|$, ③ $\mathbb{P}, \mathbb{H} \in M$ and ④ $j''j(\kappa) \in M$.

Claim. $\mathcal{H}(j(\kappa))^V[\mathbb{H}] \subseteq M$ and hence $\mathcal{H}(j(\kappa))^M = \mathcal{H}(j(\kappa))^V[\mathbb{H}]$.

\vdash Suppose that $b \in \mathcal{H}(j(\kappa))^V[\mathbb{H}]$ and let $c \subseteq j(\kappa)$ be a code of b . Let \check{c} be a nice $\mathbb{P} * \mathbb{Q}$ -name of c . By ②, $|\check{c}| \leq j(\kappa)$. By ④ it follows that $\check{c} \in M$. Thus $c \in M$ by ③, and hence $b \in M$. \dashv

- ▶ Since $\text{crit}(j) = \kappa$, $j(a) = a$ for all $a \in (\mathcal{H}(\kappa))^V$. Thus $\text{id}_{\mathcal{H}(\kappa)} = j \upharpoonright \mathcal{H}(\kappa)^V : \mathcal{H}(\kappa)^V \xrightarrow{\prec} \mathcal{H}(j(\kappa))^V[\mathbb{H}]$.
- ▷ Since $\kappa = ((2^{\aleph_0})^+)^V$ and $j(\kappa) = ((2^{\aleph_0})^+)^V[\mathbb{H}]$, it follows that $\mathcal{H}((2^{\aleph_0})^+)^V \prec \mathcal{H}((2^{\aleph_0})^+)^V[\mathbb{H}]$.

Thank you for your attention!
ご清聴ありがとうございました。

관심을 가져 주셔서 감사합니다

Gracias por su atención.

Dziękuję za uwagę.

Grazie per l'attenzione.

Dank u voor uw aandacht.

Ich danke Ihnen für Ihre Aufmerksamkeit.

Proof of Lemma 7.

Lemma 7.([CC]) Suppose that \mathcal{P} satisfies (\dagger) and κ is \mathcal{P} -gen. weakly-compact. Then (1) κ is weakly Mahlo. (2) κ has the tree property.

Proof. We prove (1): Suppose that $C \subseteq \kappa$ is a club. Let $A \subseteq \kappa$ be s.t. it codes C as well as witnesses of singularity of all singular cardinals and being successor of successor cardinals $< \kappa$.

- ▶ Let M be a transitive model of ZFC^- s.t. $\kappa, A \in M$ and there is a ν -cc p.o. \mathbb{P} with (V, \mathbb{P}) -generic \mathbb{G} s.t. there are $j, N \in V[\mathbb{G}]$ with $j : M \overset{\sim}{\rightarrow}_{\kappa} N$.
- ▶ Note $C \in M$ by $A \in M$. We have $N \models$ “ $j(C)$ is a club subset of $j(\kappa)$ ” by elementarity. Since $j(C) \cap \kappa = C$ by $\text{crit}(j) = \kappa$, it follows that $\kappa \in j(C)$. κ is regular. Since \mathbb{P} preserves cardinality and cofinality $\geq \nu$ by its ν -cc, $V[\mathbb{G}] \models$ “ κ is regular”. It follows that $N \models$ “ κ is regular”. Thus $N \models$ “ $j(C)$ contains a regular cardinal” and $M \models$ “ C contains a regular cardinal” by elementarity. This implies that κ is a weakly Mahlo cardinal.

back

A sketch of the proof of Proposition 3.

► We imitate the ultraproduct construction:

▷ Let \mathbb{G} be a (V, \mathbb{P}) -generic filter. We work in $V[\mathbb{G}]$. Let

- $\mathcal{F} := \{f \in V : f : \text{dom}(f) \rightarrow V, \text{dom}(f) \in \mathcal{H}(\theta)^V\}$, and
- $\Pi := \{\langle f, a \rangle : f \in \mathcal{F}, a \in j_0(\text{dom}(f))\}$.

For $\langle f, a \rangle, \langle g, b \rangle \in \Pi$, let

- $\langle f, a \rangle \sim \langle g, b \rangle \iff \langle a, b \rangle \in j_0(S_{f(x)=g(y)}),$ where
 $S_{f(x)=g(y)} := \{\langle u, v \rangle : u \in \text{dom}(f), v \in \text{dom}(g), f(u) = g(v)\};$

and

- $\langle f, a \rangle E \langle g, b \rangle \iff \langle a, b \rangle \in j_0(S_{f(x) \varepsilon g(y)}),$ where
 $S_{f(x) \varepsilon g(y)} := \{\langle u, v \rangle : u \in \text{dom}(f), v \in \text{dom}(g), f(u) \in g(v)\}.$

- ① \sim is a congruent relation to E ; Let $\check{f}_u : \{\emptyset\} \rightarrow \{u\}$ for $u \in V$, then ② $i : V \rightarrow \Pi/\sim; u \mapsto \langle \check{f}_u, \emptyset \rangle/\sim$ is an elementary embedding; ③ $(\Pi/\sim, E/\sim)$ is well-founded and set-like; and ④ The Mostowski collapse M of $(\Pi/\sim, E/\sim)$ together with the canonical embedding j of V into M is as desired. \square

Proof of Theorem 2.

Theorem 2. ([III]) Suppose that each element of \mathcal{P} is μ -cc for some $\mu < \kappa$. If κ is \mathcal{P} -Laver-gen. superhuge then $\kappa = 2^{\aleph_0}$.

Proof. Let \mathbb{P} and κ be as above.

► $2^{\aleph_0} \geq \kappa$ follows from the following Lemma:

Lemma A1. (Lemma 5.5 in [II]) Suppose that \mathcal{P} is a class of p.o.s containing a p.o. \mathbb{P} which adds a real. If κ is a \mathcal{P} -Laver-gen. supercompact, then $2^{\aleph_0} \geq \kappa$.

Proof of Lemma A1.: Let $\mathbb{P} \in \mathcal{P}$ be s.t. any generic filter over \mathbb{P} codes a new real.

► Suppose that $\mu < \kappa$. We have to show that $2^{\aleph_0} > \mu$.

▷ Let $\vec{a} = \langle a_\xi : \xi < \mu \rangle$ be a sequence of subsets of ω .

► It is enough to show that \vec{a} does not enumerate $\mathcal{P}(\omega)$.

▷ By \mathcal{P} -Laver-gen. supercompactness of κ , there are \mathbb{P} -name \mathbb{Q} with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$, $(V, \mathbb{P} * \mathbb{Q})$ -generic \mathbb{H} and $j, M \subseteq V[\mathbb{H}]$ s.t.

$j : V \xrightarrow{\sim} M$ with $\mathbb{P}, \mathbb{H} \in M$.

Proof of Theorem 2. (2/3)

► Since $\mu < \kappa$, we have $j(\vec{a}) = \vec{a}$. Since $\mathbb{H} \cap \mathbb{P} \in M$ codes a real not in V , we have

▷ $M \models$ “ $j(\vec{a})$ does not enumerate $\mathcal{P}(\omega)$ ”.

By elementarity, it follows that

▷ $V \models$ “ \vec{a} does not enumerate $\mathcal{P}(\omega)$ ”.

□ (Lemma A1)

Proof of Theorem 2. (3/3)

Theorem 2. ([II]) Suppose that each element of \mathcal{P} is μ -cc for some $\mu < \kappa$. If κ is \mathcal{P} -Laver-gen. superhuge then $\kappa = 2^{\aleph_0}$.

Continuation of the Proof of Theorem 2.: We prove $2^{\aleph_0} \leq \kappa$.

- ▶ Let $\lambda \geq \kappa$, 2^{\aleph_0} be sufficiently large and let $\mathbb{P} \in \mathcal{P}$, \mathbb{Q} a \mathbb{P} -name with $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$, and \mathbb{H} a $(V, \mathbb{P} * \mathbb{Q})$ -generic set with \tilde{j} , $M \in V[\mathbb{H}]$ s.t. $j: V \xrightarrow{\tilde{j}}_{\kappa} M$, $(*) \ |\mathbb{P} * \mathbb{Q}| \leq j(\kappa) > \lambda$, $\mathbb{H}, \mathbb{P} \in M$, and $(**) \ j''j(\kappa) \in M$.
- ▶ Since κ is regular (this follows already from \mathcal{P} -gen. largeness of κ), $M \models \text{“}j(\kappa) \text{ is regular”}$ by elementarity. By $(**)$ it follows that $j(\kappa)$ is regular in $V[\mathbb{H}]$. Hence it is also regular in V .
- ▶ By assumption $\mathbb{P} * \mathbb{Q}$ has μ -cc for some $\mu < \kappa$.
- ▶ Since the chain condition of \mathcal{P} and \mathcal{P} -gen. supercompactness of κ implies SCH above $2^{<\kappa}$ ([II]), we have $V \models \text{“}(j(\kappa))^{<\mu} = j(\kappa)\text{”}$. By $(*)$ it follows that $V[G \dot{\Vdash} \text{“}2^{\aleph_0} \leq j(\kappa)\text{”}$. By $(**)$ we have $(j(\kappa)^+)^M = (j(\kappa)^+)^{V[\mathbb{H}]}$. Thus $M \models 2^{\aleph_0} \leq j(\kappa)$. By elementarity, it follows that $V \models 2^{\aleph_0} \leq \kappa$. □ (Theorem 2.)