

# Set-theoretic aspects of topological reflection theorems

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- ▶ The following results are obtained in joint researches mainly with:
  - ▷ **Assaf Rinot** (Ben Gurion University, B'er Sheba, Israel)
  - ▷ **Hiroshi Sakai** (酒井拓史, 神戸大学)
  - ▷ **Lajos Soukup** (Hungarian Academy of Science, Budapest, Hungary)
  - ▷ **Toshimichi Usuba** (薄葉季路, 名古屋大学)

[1] S.F., **István Juhász**, Lajos Soukup, **Zoltán Szentmiklóssy** and Toshimichi Usuba, [Fodor-type Reflection Principle and reflection of metrizable and meta-Lindelöfness](#), Topology and its Applications Vol.157, 8 (June 2010), Special Issue dedicated to the Proceedings of the Conference "Advances in Set-Theoretic Topology" (in Honour of Tsugunori Nogura on his 60th Birthday), 1415-1429.

[2] S.F., Lajos Soukup, Hiroshi Sakai and Toshimichi Usuba, [More about Fodor-type Reflection Principle](#), preprint.

[3] S.F., Assaf Rinot, [Openly generated Boolean algebras and the Fodor-type Reflection Principle](#), to appear in Fund. Math.

Theorem 1 (A. Dow, 1988)

For a countably compact topological space  $X$ ,

if all subspaces  $Y$  of  $X$  cardinality  $\leq \aleph_1$  are metrizable then  $X$  itself is metrizable.

- ▶ Is this theorem true for **locally** compact spaces ?
- ▶ (Folklore) Under  $\square_{\aleph_1}$  there is a locally countably compact non metrizable space  $X$  of cardinality  $\aleph_2$  s.t. all  $Y \in [X]^{\leq \aleph_1}$  are metrizable.

Theorem 1 (A. Dow, 1988)

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Theorem 2 (Balogh, 2002)

Assume Axiom R. Then, for a **locally** countably compact topological space  $X$ ,

if all subspaces  $Y$  of  $X$  cardinality  $\leq \aleph_1$  are metrizable then  $X$  itself is metrizable.

Theorem 3 (S.F., Juhász, Soukup, Szentmiklóssy and Usuba[1], 2010)

Assume FRP. Then, for a **locally** countably compact topological space  $X$ ,

if all subspaces  $Y$  of  $X$  cardinality  $\leq \aleph_1$  are metrizable then  $X$  itself is metrizable.

Corollary 4

The reflection theorem above is consistent with arbitrarily large size of the continuum (and/or with MA).

Actually, Theorem 3 is optimal in the following sense:

Theorem 5 (S.F., Sakai, Soukup and Usuba, [2], preprint)

FRP is **equivalent** to the following assertion over ZFC:

*For any locally countably compact topological space  $X$ ,*

*if all subspaces  $Y$  of  $X$  cardinality  $\leq \aleph_1$  are metrizable then  $X$  itself is metrizable.*

Sketch of the proof: “ $\Rightarrow$ ” is just Theorem 3.

For “ $\Leftarrow$ ” assume that FRP does not hold.

Then there are a regular  $\kappa > \omega_1$ , a stationary set  $S \subseteq E_\omega^\kappa$  and a ladder system  $\langle a_\alpha : \alpha \in S \rangle$  s.t. for all  $\beta < \kappa$  there is a regressive  $f : S \cap \beta \rightarrow \beta$  s.t.

(\*)  $a_\alpha \setminus f(\alpha)$ ,  $\alpha \in S \cap \beta$  is pairwise disjoint.

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Without loss of generality we may assume that  $a_\alpha \subseteq \kappa \setminus \text{Lim}(\kappa)$  for all  $\alpha \in E$ .

Let  $X = E_\omega^\kappa \cup (\kappa \setminus \text{Lim}(\kappa))$  be with the Mrowka topology w.r.t. the ladder system  $\langle a_\alpha : \alpha \in S \rangle$ .

Then  $X$  is locally compact and non-metrizable (by Fodor's Lemma).

But all subspaces  $Y$  of  $X$  of cardinality  $< \kappa$  are metrizable (use  $f$  as above for  $\beta = \sup Y$  with (\*)). □ (Theorem 5)

FRP is also equivalent to the following topological reflection theorems:

For a locally separable, countably tight space  $X$ , if all subspaces  $Y$  of  $X$  of cardinality  $\leq \aleph_1$  are meta-Lindelöf then  $X$  itself is meta-Lindelöf.

(S.F., Lajos Soukup, Hiroshi Sakai and Toshimichi Usuba [2])

► The reflection of metrizability of Theorem 3 or Theorem 5 is actually a corollary of the assertion above.

For a  $T_1$  space with point countable base, if all subspaces  $Y$  of  $X$  of cardinality  $\leq \aleph_1$  are left-separated then  $X$  itself is left-separated.

([4] S.F., [Left-separated topological spaces under Fodor-type Reflection Principle](#), RIMS Kokyuroku No.1619 (2008), 32-42.)

► W. Fleissner (1986) proved that the assertion above follows from Axiom R and it is refuted under the negation of the square principle.



FRP is also equivalent to the following topological reflection theorem:

For a countably tight space of local density  $\aleph_1$ , if all subspaces  $Y$  of  $X$  of cardinality  $\leq \aleph_1$  are collectionwise Hausdorff then  $X$  itself is collectionwise Hausdorff.

(S.F., Lajos Soukup, Hiroshi Sakai and Toshimichi Usuba [2])

► Fleissner (1986) proved also the assertion above under Axiom R.

Theorem 6 (S.F. and Rinot [3], to appear)

*The following assertion is equivalent to FRP over ZFC:*

*A Boolean algebra  $B$  is openly generated if and only if  $\{C \in [B]^{<\aleph_2} : C \text{ is projective}\}$  contains a club subset.*

- ▶ S.F.(1994) proved the assertion above under Axiom R.
- ▶ Ingredients of the proof:
  - ▷ S. Koppelberg's theory of projective Boolean algebras
  - ▷ Freese-Nation property and weak Freese-Nation property
  - ▷ Bockstein separation property
  - ▷  $\omega$ -stability of structures
  - ▷ FRP implies **Shelah's Strong Hypothesis (SSH)**

This file and the version for the presentation as well as the present versions of [1]  $\sim$  [4] are downloadable from:

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- **Axiom R** is the principle asserting that the following  $\text{AR}([\kappa]^{\aleph_0})$  holds for all cardinal  $\kappa \geq \aleph_2$ :

$(\text{AR}([\kappa]^{\aleph_0}))$  For any stationary  $S \subseteq [\kappa]^{\aleph_0}$  and a  $\omega_1$ -club  $\mathcal{T} \subseteq [\kappa]^{\aleph_1}$ , there is  $I \in \mathcal{T}$  s.t.  $S \cap [I]^{\aleph_0}$  is stationary in  $[I]^{\aleph_0}$ .

- For a set  $X$  and cardinal  $\kappa$ ,  $[X]^\kappa = \{x \in \mathcal{P}(X) : |x| = \kappa\}$ .  
 $[X]^{<\kappa}$  and  $[X]^{\leq \kappa}$  are defined similarly.
- $\mathcal{T} \subseteq [\kappa]^{\aleph_1}$  is said to be  **$\omega_1$ -club** if it is unbounded w.r.t.  $\subseteq$  and closed w.r.t. union of  $\subseteq$ -chain of length  $\omega_1$ .

► **Fodor-type Reflection Principle (FRP)** is the principle asserting that the following  $\text{FRP}(\kappa)$  holds for all **regular** cardinal  $\kappa \geq \aleph_2$ :

**(FRP( $\kappa$ )):** For any stationary  $S \subseteq E_\omega^\kappa$  and  $g : S \rightarrow [\kappa]^{\aleph_0}$  there is  $I \in [\kappa]^{\aleph_1}$  such that

- ▷  $\text{cf}(I) = \omega_1$ ;  $g(\alpha) \subseteq I$  for all  $\alpha \in I \cap S$ ;
- ▷ for any  $f : S \cap I \rightarrow \kappa$  s.t.  $f(\alpha) \in g(\alpha) \cap \alpha$  for all  $\alpha \in S \cap I$ , there is  $\xi^* < \kappa$  s.t.  $f^{-1} \upharpoonright \{\xi^*\}$  is stationary in  $\text{sup}(I)$ .

►  $E_\lambda^\kappa = \{\alpha < \kappa : \text{cf}(\alpha) = \lambda\}$ .

## Facts 7

- (1) FRP follows from (a weakening of) Axiom R.
- (2) FRP is consistent with CH (under certain large cardinal axiom)
- (3) FRP is preserved under c.c.c. generic extensions.

- ▶ A space  $X$  is **countably tight** if, for any  $U \subseteq X$  and  $x \in \overline{U}$  there is  $U' \in [U]^{\aleph_0}$  s.t.  $x \in \overline{U'}$ .
- ▶ A space  $X$  is **meta-Lindelöf** if every open cover of  $X$  has a point countable refinement which is also an open cover.

- ▶ A space  $X$  is *left-separated* if there is a well-ordering  $<$  of  $X$  s.t. each initial segment of  $X$  w.r.t.  $<$  is a closed subset of  $X$ .

- ▶ A space  $X$  is **of local density**  $\kappa$  if for every  $p \in X$  there is  $Y \in [X]^{\leq \kappa}$  s.t.  $p \in \text{int}(\overline{Y})$ .
- ▶ A space  $X$  is **collectionwise Hausdorff** if any closed discrete subset  $D$  of  $X$  can be simultaneously separated by disjoint open sets, i.e., if, for any closed and discrete  $D \subseteq X$ , there is a family  $\mathcal{U}$  of pairwise disjoint open sets such that, for all  $d \in D$ , there is  $U \in \mathcal{U}$  with  $D \cap U = \{d\}$ .



► A Boolean algebra  $B$  is said to be **openly generated**

iff  $\{A \in [B]^{\aleph_0} : A \leq_{rc} B\}$  contains a club set ( $\subseteq [B]^{\aleph_0}$ )

▷  $A \leq_{rc} B \Leftrightarrow A$  is a relatively complete subalgebra of  $B$

$\Leftrightarrow A$  is a subalgebra of  $B$  and  $\forall b \in B$  (the ideal  $A \upharpoonright b$  is generated by a single element (lower projection of  $b$ )).

Theorem 8 (S.F., Heindorf, Shapiro, 1994)

For a Boolean algebra  $B$ , the following are equivalent:

- (1)  $B$  is openly generated;
- (2)  $\Vdash_{\mathbb{P}}$  “ $B$  is projective” for any  $\sigma$ -closed  $\mathbb{P}$  forcing  $|B| = \aleph_1$ ;
- (3)  $B$  has Freese-Nation property. I.e., there is a mapping (Freese-Nation mapping (or FN-mapping))  $f : B \rightarrow [B]^{<\aleph_0}$  s.t.  $\forall a, b \in B (a \leq b \rightarrow \exists c \in f(a) \cap f(b) (a \leq c \leq b))$ .